



09-18-1998



100834706

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies):</p> <p>Robert S. Anderson Mirza Q. Baig Jeffrey A. DeNeve Brian R. Dershem Hasan A. Kabir Rodney D. McLamb Richard A. Naugle Huan T. Nguyen Kevin E. Pielmeier Matthew P. Rosefsky</p> <p>Additional Names attached: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: <u>Caterpillar Commercial S.A.R.L.</u></p> <p>Internal Address: <u>c/o Caterpillar Overseas S.A.</u></p> <p>Street Address: <u>76, Route de Frontenex</u></p> <p>City: <u>Geneva</u> State: <u>Switzerland</u></p> <p>Zip: <u>1211</u></p>
<p>3. Nature of Conveyance:</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Security Agreement <input type="checkbox"/> Other</p> <p>Execution Date(s): <u>08/25/98, 08/06/98, 08/12/98, 08/06/98, 08/25/98, 08/06/98, 08/13/98, 07/26/98, 08/11/98, and 08/13/98</u></p>	<p>Additional name(s) & addresses attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>4. Application number(s) or patent number(s):</p> <p>If this document is being filed together with a new application, the execution date of the application is _____.</p> <p>A. Patent Application No.(s) <u>09/090,696, filed: June 5, 1998</u> B. Patent No.(s)</p> <p style="text-align: center;">Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>Title of Invention: <u>COMBINATION STEP/STOP ARRANGEMENT FOR A SKID STEER LOADER</u></p> <p>Attorney Docket No.: <u>98-152</u></p>	
<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: <u>O. Gordon Pence</u> <u>CATERPILLAR INC.</u></p> <p>Internal Address: <u>Intellectual Property Department</u></p> <p>Street Address: <u>100 N. E. Adams Street</u></p> <p>City: <u>Peoria</u> State: <u>IL</u></p> <p>Zip: <u>61629-6490</u></p>	<p>6. Total number of applications and patents involved [1]</p> <p>7. Total fee (37 CFR §3.41) \$ 40.00 <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account</p> <p>8. Deposit account number: <u>03-1129</u> (If charged duplicate copy attached)</p>
<p>9. Statement and signature:</p> <p>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</p> <p>(09/15/1998 KDJ:UNCAN 00000031 031129 09090696)</p> <p>40.00 CH <u>O. Gordon Pence</u> <u><i>O. Gordon Pence</i></u> <u>08/31/98</u> Name of Person Signing Signature Date</p> <p>Total number of pages comprising cover sheet, attachments, and document: [6]</p>	
<p>Mail document to be recorded with required cover sheet information to:</p> <p>Commissioner of Patents and Trademarks Box Assignments Washington, D.C. 20231</p>	

ASSIGNMENT

WHEREAS, ROBERT S. ANDERSON; MIRZA Q. BAIG; JEFFREY A. DENEVE; BRIAN R. DERSHEM; HASAN A. KABIR; RODNEY D. MCLAMB; RICHARD A. NAUGLE; HUAN T. NGUYEN; KEVIN E. PIELMEIER; and MATTHEW P. ROSEFSKY, residing respectively at Garner, North Carolina; Sanford, North Carolina; Sanford, North Carolina; Sanford, North Carolina; Sanford, North Carolina; Cary, North Carolina; Fuquay-Varina, North Carolina; Forth Worth, Texas; Apex, North Carolina; and Haverford, Pennsylvania, hereinafter referred to as ASSIGNORS, have invented a new and useful

COMBINATION STEP/STOP ARRANGEMENT FOR A SKID STEER LOADER

for which ASSIGNORS have made application for Letters Patent in the United States of America, such invention being described and identified by said application identified as Application No. 09/090,696, filed June 5, 1998; and

WHEREAS, CATERPILLAR COMMERCIAL SARL, a corporation duly organized and existing under the laws of Switzerland, domiciled at c/o Caterpillar Overseas S. A., 76, Route de Frontenex, 1211 Geneva, Switzerland, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNORS from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNORS;

1. ASSIGNORS hereby sell, assign, transfer and convey unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNORS have the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNORS agree to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNORS hereby authorize and request the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to CATERPILLAR COMMERCIAL SARL.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNORS, ASSIGNORS' heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNORS have executed this instrument

Robert S. Anderson
Robert S. Anderson

8-25-98

jad

8-17-98

ROBERT S. ANDERSON

Date

IN WITNESS OF the execution hereof by ROBERT S. ANDERSON we have hereunto set our hands.

[Signature] 17/Aug/98
Witness (1) (Date)

[Signature] 17/Aug/98
Witness (2) (Date)

Address: 212 Lost Tree Lane
CARY, NC 27513

Address: 217 N GOLF ST
SANFORD NC 27330

[Signature]
MIRZA Q. BAIG

Aug 06, 98
Date

IN WITNESS OF the execution hereof by MIRZA Q. BAIG we have hereunto set our hands.

[Signature] 06/Aug/98
Witness (1) (Date)

[Signature] 06 Aug 98
Witness (2) (Date)

Address: 212 Lost Tree Lane
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Address: 2006 Conover Ave.
SANFORD, NC 27330

[Signature]
JEFFREY A. DENEVE

8/12/98
Date

IN WITNESS OF the execution hereof by JEFFREY A. DENEVE we have hereunto set our hands.

[Signature] 12 Aug 98
Witness (1) (Date)

[Signature] 12 Aug 98
Witness (2) (Date)

Address: 217 N GOLF ST
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Brian R. Dershem
BRIAN R. DERSHEM

8/6/98
Date

IN WITNESS OF the execution hereof by BRIAN R. DERSHEM we have hereunto set our hands.

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Witness (1) (Date)

[Signature] 06/AUG/98
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Address: 2537-6 Buffalo Club Rd
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Hasan Kabir
HASAN A. KABIR

08-25-98
Date

IN WITNESS OF the execution hereof by HASAN A. KABIR we have hereunto set our hands.

MIRZA BAIQ 08/25/98
Witness (1) (Date)

[Signature] 25 Aug 98
Witness (2) (Date)

Address: 2537-6 Buffalo Club Rd.
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[Signature]
RODNEY D. MCLAMB

06/AUG/98
Date

IN WITNESS OF the execution hereof by RODNEY D. MCLAMB we have hereunto set our hands.

Das Aug 06, 98
Witness (1) (Date)

[Signature] 6 Aug 98
Witness (2) (Date)

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RICHARD A. NAUGLE

8/13/98
Date

IN WITNESS OF the execution hereof by RICHARD A. NAUGLE we have hereunto set our hands.

Matthew P. Rosafly 8/25/98
Matthew P. Rosafly 8/13/98
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HUAN T. NGUYEN

7/26/98
Date

IN WITNESS OF the execution hereof by HUAN T. NGUYEN we have hereunto set our hands.

Nguyen T. Nguyen 7.26.98
Witness (1) (Date)

Maudie M 7/28/98
Witness (2) (Date)

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Address: 6712 Summers Dr. W
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Ft. Worth, TX 76137

Kevin Pielmeier
KEVIN E. PIELMEIER

11 AUG 98
Date

IN WITNESS OF the execution hereof by KEVIN E. PIELMEIER we have hereunto set our hands.

David Henderson 8/11/98
Witness (1) (Date)

Andrew Burris 8/11/98
Witness (2) (Date)

Address: DAVID HENDERSON
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Raleigh, NC 27606

Matthew P. Rosefsky⁻⁵⁻
Matthew P. Rosefsky
MATTHEW P. ROSEFSKY

8/13/98
8/13/98
Date

IN WITNESS OF the execution hereof by MATTHEW P. ROSEFSKY we have hereunto set our hands.

[Signature] 8/13/98
Witness (1) (Date)

[Signature] 8/13/98
Witness (2) (Date)

Address: 109 OAK LAKE CT
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