

09-18-1998

FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)



100832486

/ER SHEET

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

David J. Norris

Yurii A. Vlasov

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Execution Date: September 4, 1998

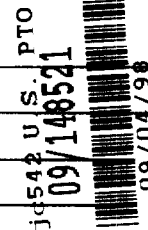
2. Name and address of receiving party(ies)

Name: NEC Research Institute, Inc.

Internal Address: _____

Street Address: 4 Independence Way

City: Princeton State: NJ ZIP: 08540

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: September 4, 1998

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Andrew G. Isztwan

Internal Address: _____

Street Address: NEC Research Institute, Inc.

4 Independence Way

City: Princeton State: NJ ZIP: 08540

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

14-0627

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Andrew G. Isztwan

Name of Person Signing

Signature

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20231

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00000234 140627

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PATENT
REEL: 9452 FRAME: 0803

ASSIGNMENT

Whereas, we,

**INVENTOR
AND CITY**

(1) David J. Norris
County of Mercer

of Princeton
and State of New Jersey

(2) Yurii A. Vlasov
County of Middlesex

of Plainsboro
and State of New Jersey

have invented certain improvements in

TITLE **Three-Dimensionally Patterned Materials and Methods for Manufacturing Same Using Nanocrystals**

**DATE THAT
DECLARATION
WAS SIGNED**

and executed, a United States patent application therefor on

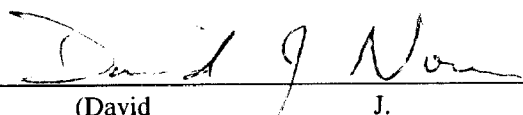
(1) ~~9/4/98~~ and (2) 9/4/98

Whereas, NEC RESEARCH INSTITUTE, INC., a corporation of New York, having a place of business at 4 Independence Way, Princeton, New Jersey, 08540, (hereinafter called NECI), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign and transfer to NECI, its successors and assigns, the entire right, title and interest in the said application and any division or continuation thereof and the invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents and Trademarks to issue any Letters Patent granted upon the invention set forth in said application to NECI, its successors and assigns; and we hereby agree that NECI may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by NECI.

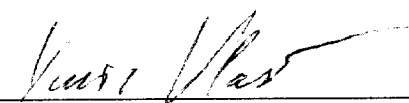
Signed and Sealed

CITY (1) at South Brunswick, NJ
DATE on 9/4/98, 1998



(David J. Norris)
First Name Middle Initial Last Name

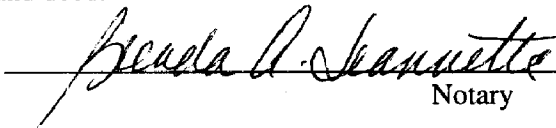
CITY (2) at South Brunswick, NJ,
DATE on 9/4/98, 1998



(Yurii A. Vlasov)
First Name Middle Initial Last Name

State of New Jersey)
County of Middlesex) ss.:

Inventor (1) On this 4 th day of September, 1998 before me personally came David J. Norris to me personally known, and known to me to be the person described in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed.


Notary

BRENDA A. JEANNETTE
Notary Public of New Jersey
My Commission Expires July 29, 2003

State of New Jersey)
County of Middlesex) ss.:

Inventor (2) On this 4 th day of September, 1998 before me personally came Yurii A. Vlasov to me personally known, and known to me to be the person described in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed,


Notary

BRENDA A. JEANNETTE
Notary Public of New Jersey
My Commission Expires July 29, 2003