|  | SHEET  |
|--|--|
|  | Attny Docket No. X-10087   |
| To the Honorable Commissi documents or copy thereof 100833964  |  |
| 1. Name of conveying party(ies):   | 2. Name & address of receiving party(iss)  |
| James E. Audia Daniel J. Koch Thomas E. Mabry Jeffrey S. Nissen  | Name: Eli Lilly and Company See 14 Internal Address: Patent Division   |
| Yao-Chang Xu  Vincent P. Rocco Additional name(s) of conveying party(ies) attached? () Yes (x) No  | Street Address: Lilly Corporate Center City: Indianapolis State: IN Zip: 46285   |
| 3. Nature of conveyance:   | Additional name(s) & address(es) attached?   |
| (x ) Assignment ( ) Merger ( ) Security Agreement ( ) Change of Name ( ) Other Execution Date: May 21, 1997  | () Yes (x) No  |
| 4. Application number(s) or patent Number(s  | 1):  |
| If this document is being filed together with a new application, the execution date of the application is:  A. Patent Application No.(s):  08/862,208    Additional Numbers attached () Yes (x) No |  |
| 5. Name and address of party to whom   | 6. Total number of applications and  |
| correspondence concerning documents should be mailed:  | patents involved: (1)  |
| Cheryl Eyed Eli Lilly and Company Lilly Corporate Center Indianapolis, IN 46285  | 7. Total fee (37 CFR §3.41) \$40.00 (\$40.00 per assignment)  ( ) Enclosed (X) Authorized to be charged to deposit account  8. Deposit account number: 05-0840 |
| DO NOT IISE  | 8. Deposit account number: 05-0840 THIS SPACE  |
|  | INIS SPACE   |
| 9. Statement and signature.  To the best of my knowledge and belief, correct and any attached copy is a true.  | the foregoing information is true and copy of the original document.   |
| Robert D. Titus Signature Reg. No. 40,206  | Date Date  |
| Total number of pages including cover sheet, attachments and document: (3)   |  |
| Certificate of Mailing I hereby certify that this correspondence is being deposited with the United States   |  |
| october that chies correspondence is being deposited with the United States  |  |

Mail documents to be recorded with required cover sheet information to:

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Washington, D.C. 20231

Postal Service as first class mail in an envelope addressed to: Commissioner of Patents

ELI LILLY AND COMPANY

and Trademarks, Washington, D.C. 20231, on the date appearing below.

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> **PATENT REEL: 9457 FRAME: 0169**

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## **ASSIGNMENT**

WHEREAS we, James E. Audia, Daniel J. Koch, Thomas E. Mabry, Jeffrey S. Nissen, and Vincent P. Rocco, all of Indianapolis, Indiana, Marion County; and Yao-Chang Xu of Fishers, Indiana, Hamilton County have made an invention which is the subject of an application for Letters Patent of the United States ("Application") INHIBITION OF SEROTONIN REUPTAKE which has been executed by us on the 21 day of MCAY, 1997; and

WHEREAS ELI LILLY AND COMPANY, an Indiana corporation having its principal place of business at Lilly Corporate Center, Indianapolis, Indiana 46285, wishes to acquire the entire interest in all inventions disclosed in such Application;

NOW, THEREFORE, in consideration of the sum of one dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, we hereby sell, assign, transfer and set over unto Eli Lilly and Company, its successors and assigns (collectively "Lilly") our entire right, title and interest in, to and under the Application, including all priority rights for other countries arising therefrom, all inventions therein disclosed, and any and all present or future patent applications to such inventions that may be filed in the United States or any foreign country, inclusive of, but not limited to, continuations, continuations-in-part, divisions, substitutions, reexaminations, reissues, Patent Cooperation Treaty applications, United States provisional patent applications, certificates of addition, utility models, petty patents, as well as all other intellectual property related to the Application, inclusive of, but not limited to, supplementary protection certificates, copyrights, trademarks, and data package exclusivity rights; and any and all Letters Patent of the United States and of all foreign countries and all related patent term extensions which may be granted for Letters Patent with respect to the Application; all of the above to be held and enjoyed by Lilly for its own use and enjoyment to the full end of the term or terms for which such Letters Patent and related intellectual property rights may be granted, as fully and entirely as the same would have been held and enjoyed by us had this Assignment and sale to Lilly not been made.

For ourselves and for our heirs, successors and legal representatives, we covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

For ourselves and for our heirs, successors and legal representatives, we further covenant and agree with Lilly that upon request we and they will, without further consideration than that now paid, but at the expense of Lilly: (i) execute original, provisional, substitute, continuation, divisional, continuation-in-part, reexamined, or reissued applications, amended specifications, or rightful declarations or oaths for such application; (ii) communicate to Lilly any facts known to us or them relating to such inventions or the history thereof; (iii) execute preliminary statements and testify in any interference proceedings, litigation discovery proceedings and depositions, oppositions, cancellation proceedings, priority contests, public use proceedings, administrative agency proceedings, litigation and other court actions and the like; (iv) execute and deliver any application papers, affidavits, declarations, assignments, or other instruments; and (v) do all other acts which, in the opinion of counsel for Lilly, may be necessary or desirable to secure the grant of Letters Patent and related intellectual property to Lilly or its nominees, in the United States and in all other countries where Lilly may desire to have such inventions, or any of them, patented, with

PATENT REEL: 9457 FRAME: 0170 specifications and claims in such form as shall be approved by counsel for Lilly and to vest and confirm in Lilly or its nominees the full and complete legal and equitable title to all such Letters Patent and related intellectual property.

IN WITNESS WHEREOF we have executed this assignment on the day of May, 1997 Koch E. Mabry UNITED STATES OF AMERICA STATE OF INDIANA ss: COUNTY OF MARION Before me, a Notary Public for <u>Johnson</u> County, State of Indiana, personally appeared <u>James E. Audia</u>, <u>Daniel J. Koch</u>, <u>Thomas E. Mabry</u>, <u>Jeffrey S. Nissen</u>, <u>Vincent P. Rocco</u>, <u>and Yao-Chang Xu</u> and acknowledged the execution of the foregoing instrument this 21 day of 21, 1997. My commission expires:

Colleen Ann Steinmetz Johnson County My Commission Expires November 30, 1999

RECORDED: 09/14/1998

PATENT REEL: 9457 FRAME: 0171