

09-21-1998

Attorney Docket No. 30-4528 (4370)

FORM PTO-1595  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)



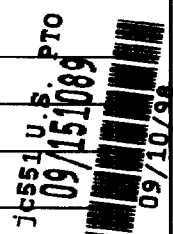
EET U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

100834068

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying parties:  
Han Zou and Karl W. Beeson  
  
Additional name(s) & party(ies) attached?  Yes  No

2. Name and address of receiving party(ies):  
Name: AlliedSignal Inc.  
Internal Address: \_\_\_\_\_  
Street Address:  
101 Columbia Road  
City: Morristown State: NJ Zip: 07962  
Additional name(s) & address(es) attached?  Yes  No



3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_  
Execution Date: 9/10/98

4. Application number(s) or patent number(s): \_\_\_\_\_  
If this document is being filed together with a new application, the execution date of the application is: 9/10/98  
A. Patent Application No.(s) \_\_\_\_\_  
B. Patent No.(s) \_\_\_\_\_  
Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: Ernest D. Buff, Esq.  
Internal Address: \_\_\_\_\_  
Riker, Danzig, Scherer, Hyland & Perretti LLP  
Street Address:  
Headquarters Plaza  
One Speedwell Avenue  
City: Morristown State: NJ Zip: 07962

6. Total number of applications and patents involved: \_\_\_\_\_  
7. Total fee (37 CFR 3.41) .....\$ 40.00  
 Enclosed  
 Authorized to be charged to deposit account  
8. Deposit account number:  
01-1125

09151069  
00000171-011125  
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9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*  
Ernest D. Buff  
Name of Person Signing Signature Date  
Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents and Trademarks, Box Assignments  
Washington, D.C. 20231

PATENT  
REEL: 9457 FRAME: 0717

09/18/1998 TT0811  
01 FC:5M1

ASSIGNMENT

Assignment before issue of Letters Patent

Allied File 30-4528 (4370)

WHEREAS, we, Han Zou, a resident of Windsor, County of Mercer and Karl W. Beeson, a resident of Princeton, County of Mercer, both of the State of New Jersey

(hereinafter "Assignor") have invented certain new and useful improvements in

ILLUMINATION SYSTEM USING EDGE-ILLUMINATED HOLLOW WAVEGUIDE AND LENTICULAR OPTICAL STRUCTURES

(hereinafter "invention") for which Assignor is making or has made application for LETTERS PATENT OF THE UNITED STATES, which application has been duly executed by Assignor on the day(s) and date(s) of these presents.

AND WHEREAS, AlliedSignal Inc., a corporation organized and existing under the laws of the State of Delaware, U.S.A., having a place of business at 101 Columbia Road, Morristown, Morris County, New Jersey, U.S.A., hereinafter called the Assignee, is desirous of acquiring the entire right, title and interest in and to said invention within the United States of America and its territorial possessions and all foreign countries and any United States or foreign LETTERS PATENT that may be granted therefor.

NOW, THIS INDENTURE WITNESSETH, that for good and valuable considerations, the receipt whereof is hereby acknowledged, Assignor has assigned, sold and transferred, and does hereby assign, sell and transfer to the said Assignee the entire right, title and interest in and to the said invention, within the United States of America and its territorial possessions and all foreign countries and in and to any LETTERS PATENT of the United States and foreign countries, including utility models, inventor's certificates and like government grants, and all divisions, reissues, continuations and extensions thereof that may be granted therefor, and the right to apply for LETTERS PATENT in foreign countries with full benefit of such priorities as may now or hereafter be granted to Assignor by local laws or by treaty, including any international convention, for the protection of industrial property, together with the right to extend the protection of said U.S. LETTERS PATENT to the various territorial possessions now owned or which may be hereafter acquired by the United States of America, all said rights to be held and enjoyed by the Assignee for its own use and benefit, and for the use and benefit of its successors or assigns, to the full end of the term for which said LETTERS PATENT may be granted, as fully and entirely as the same would have been held and enjoyed by Assignor if this assignment and sale had not been made. And Assignor does hereby request and authorize the Commissioner of Patents and Trademarks, U.S.A., to issue said U.S. LETTERS PATENT, when granted, in accordance with this assignment.

Assignor further covenants and agrees with the Assignee that Assignor has a full and unencumbered title to the invention hereby assigned, which title Assignor warrants unto the Assignee, and Assignor further agrees that Assignor will, without demanding any further consideration therefor, at the request but at the expense of the Assignee, do all lawful and just acts, including the execution and acknowledgment of instruments, that may be or become necessary for obtaining, sustaining, extending, reissuing or reexamining United States and foreign LETTERS PATENT or the like for the said invention, and for maintaining and perfecting the Assignee's right to said invention and LETTERS PATENT particularly in cases of interference, conflict, opposition and litigation.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 10th day of Sep., 19 98

Han Zou
Han Zou

IN TESTIMONY WHEREOF, I have hereunto set my hand this 10th day of Sept., 19 98

Karl W. Beeson
Karl W. Beeson

IN TESTIMONY WHEREOF, I have hereunto set my hand this \_\_\_\_\_ day of \_\_\_\_\_, 19 \_\_\_\_\_

STATE OF New Jersey )  
 ) ss.:  
COUNTY OF Mercer )

On this 10<sup>th</sup> day September, 19 98 before me personally appeared

Han Zou

to me known and known to me to be the individual \_\_\_\_\_ described in and who executed the foregoing instrument,  
and \_\_\_\_\_ he \_\_\_\_\_ acknowledged to me that \_\_\_\_\_ he \_\_\_\_\_ executed the same.

[SEAL]

*Muriel Fleming*

Notary Public

My commission expires:

~~MURIEL FLEMING~~

**A Notary Public of New Jersey  
My Commission Expires March 31, 2000**

STATE OF New Jersey )  
 ) ss.:  
COUNTY OF Mercer )

On this 10<sup>th</sup> day September, 19 98 before me personally appeared

Karl W. Beeson

to me known and known to me to be the individual \_\_\_\_\_ described in and who executed the foregoing instrument,  
and \_\_\_\_\_ he \_\_\_\_\_ acknowledged to me that \_\_\_\_\_ he \_\_\_\_\_ executed the same.

[SEAL]

*Muriel Fleming*

Notary Public

My commission expires:

~~MURIEL FLEMING~~

**A Notary Public of New Jersey  
My Commission Expires March 31, 2000**

STATE OF \_\_\_\_\_ )  
 ) ss.:  
COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day \_\_\_\_\_, 19 \_\_\_\_\_ before me personally appeared

to me known and known to me to be the individual \_\_\_\_\_ described in and who executed the foregoing instrument,  
and \_\_\_\_\_ he \_\_\_\_\_ acknowledged to me that \_\_\_\_\_ he \_\_\_\_\_ executed the same.

[SEAL]

Notary Public

My commission expires:

**Assignment**

Han Zou and Karl W. Beeson

**TO  
ALLIEDSIGNAL INC.**

**INVENTION:  
ILLUMINATION SYSTEM USING  
EDGE-ILLUMINATED HOLLOW  
WAVEGUIDE AND LENTICULAR  
OPTICAL STRUCTURES**

**Allied File: 30-4528 (4370)**

AlliedSignal Inc.  
101 Columbia Road  
Morristown, New Jersey 07962

**PATENT**