

09-22-1998

Form PTO-1595 (Modified)



100835550

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

COVER SHEET

1998 SEP 21 PM 1:00

85-12-9 (200)

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Robert V. Farese, Jr.
Sylvaine Cases
Steven Smith
Sandra K. Erickson

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies):

Name: The Regents Of The University Of California

Internal Address:

Street Address: 1111 Franklin Street, 12th Floor

City: Oakland State: CA ZIP: 94607-5200

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:

Assignment Merger
 Security Agreement Change of Name
 Other:

Execution Date: August 28, 1998, August 31, 1998 & September 4, 1998

4. Application numbers(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/103,754; Filed June 24, 1998

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Bret E. Field
Street Address: BOZICEVIC & REED LLP
285 Hamilton Avenue, Suite 200
Palo Alto, CA 94301

Telephone: (650) 327-3400
Fax: (650) 327-3231

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6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit Account No. 18-0580

The Commissioner is authorized to charge any underpayment or credit any overpayment to Deposit Account No. 18-0580 with regards to this correspondence.

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Bret E. Field, Reg. No. 37,620

Name of Person Signing

Signature

September 15, 1998

Date

Total number of pages including cover sheet 3

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged,
ASSIGNOR(S),

- | | |
|--------------------------|-----------------------|
| 1. Robert V. Farese, Jr. | 2. Sylvaine Cases |
| 3. Steven Smith | 4. Sandra K. Erickson |

hereby sell, assign and transfer to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Oakland California 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled: *DIACYLGLYCEROL O-ACYLTRANSFERASE*

and which is found in

(c) U.S. Application Serial No. 09/103,754; filed on June 24, 1998.

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC III (a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenant that no assignment sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenant that ASSIGNEE will, upon its request be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) request the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

28 day of August, 1998

Robert V. Farese, Jr.
Robert V. Farese, Jr.

31 day of August, 1998

Sylvaine Cases
Sylvaine Cases

31 day of August, 1998

Steven Smith
Steven Smith

4 day of September, 1998

Sandra K. Erickson
Sandra K. Erickson