

09-22-1998



To The Honorable Commissioner

100835454

a record the attached original document

1. Name of conveying party(ies):
Boris N. Sverdlov

Additional name(s) of conveying party(ies) attached?
☐ Yes ☒ No

2. Name and address of receiving party(ies):
Name: SDL, Inc.
Internal Address:
Street Address:
80 Rose Orchard Way
San Jose, CA 95134-1365

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of

Name

☐ Other

Execution Date: September 11, 1998

Additional name(s) and addresses attached?
Yes ☐ No ☒

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:
September 11, 1998

A. Patent Application No(s).

09151792

B. Patent No(s).

5. Name and address of party to whom
correspondence concerning document should be
mailed:

W. Douglas Carothers, Jr.
SDL, Inc.
80 Rose Orchard Way
San Jose, CA 95134-1365

6. Total number of applications and patents
involved:

1

7. Total fee (37 CFR 3.41)\$40

8. Deposit Account Number: 19-1015
(Attach duplicate copy of this page if paying by
deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any copy is a
true copy of the original document.

W. Douglas Carothers, Jr.
Registration No. 22,024

Date: September 11, 1998

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, I/WE, the undersigned,

Boris N. Sverdlov

who have created a certain invention for which an application for United States Letters Patent has been executed concurrently herewith and is entitled

**GROUP III-V NITRIDE LASER DEVICES WITH CLADDING LAYERS TO SUPPRESS DEFECTS
SUCH AS CRACKING**

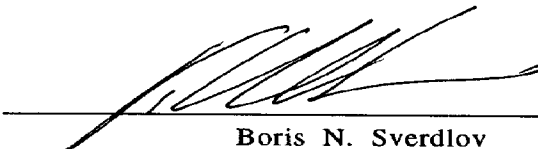
Do hereby sell, assign and transfer to **SDL, Inc.**, a corporation of Delaware, having a place of business at 80 Rose Orchard Way, San Jose, California, 95134-1365, its successors, assigns, and legal representatives, the full and exclusive right to said invention and said application and to any and all inventions described in said application for the United States, its territorial possessions and all foreign countries, and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States, its territorial possessions and all foreign countries; and in and to any and all continuations-in-part, continuations, divisions, substitutes, reissues, extensions thereof, and all other applications for Letters Patent relating thereto which have been or shall be filed in the United States, its territorial possessions and/or any foreign countries, and all rights, together with all priority rights, under any of the international conventions, unions, agreements, acts, and treaties, including all future conventions, unions, agreements, acts, and treaties;

Agree that **SDL, Inc.**, hereinafter referred to as Assignee, may apply for and receive Letters Patent for said invention and said inventions, hereinafter referred to as said invention, in its own name, in the United States, its territorial possessions, and all foreign countries; and that, when requested to carry out in good faith the intent and purpose of this assignment, at the expense of said Assignee, its successors, assigns and legal representatives, the undersigned will execute all continuations-in-part, continuations, divisions, substitutes, reissues, extensions thereof, execute all rightful oaths, assignments, powers of attorney and other papers, testify in any legal or quasi legal proceedings; communicate to said Assignee, its successors, assigns or legal representatives all facts known to the undersigned relating to said invention and the history thereof; and generally do everything possible which said Assignee, its successors, assigns, or legal representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said invention and for vesting title to said invention and all applications for patents on said invention in said Assignee, its successors, assigns, or legal representatives; and

Covenant with said Assignee, its successors, assigns, or legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

IN TESTIMONY WHEREOF I/WE have hereunto set MY/OUR signature seal on the date indicated below.

Date: September 11, 1998


Boris N. Sverdlov

Witness: Virginia A. Griffith