

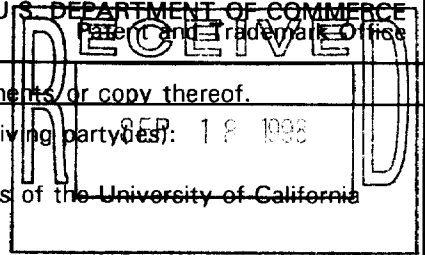
09-23-1998

Attorney Docket No. 023070-776-1-1

FORM PTO-1595  
(Rev. 6-93)

100835986

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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Asst. Commissioner for Patents. Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

John J. Harada

## 2. Name and address of receiving party(ies): 18 1998

Name: The Regents of the University of California

Internal Address:

Street Address: 1111 Franklin Street, 12th Floor

City: Oakland State: CA ZIP: 94607-5200

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ NoAdditional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:



Assignment

MRD  
9.18.98  
Merger

Security Agreement



Change of Name



Other:

Execution Date: August 25, 1998, August 31, 1998 and September 1, 1998

## 4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 09/103,478

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Gregory P. Einhorn  
TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, California 94111-3834  
(415) 576-0200

## 6. Total number of applications and patents involved: 1

## 7. Total fee (37 CFR 3.41):..... \$ 40.00



Enclosed



Charge Fees to Deposit Account



Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account

## 8. Deposit account number: 20-1430

09/22/1998 JSH:BAZZ 00000032 201430 09103478

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gregory P. Einhorn

Name of Person Signing

Signature

September 14, 1998

Date

Atty. Reg. No. 38,440

Total number of pages including cover sheet, attachments, and document:

7

10. Change Correspondence Address to that of Part 5? ☒ Yes ☐ No

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:

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Box Assignments  
Washington, D.C. 20231

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PATENT  
REEL: 9459 FRAME: 0740

1. Additional name(s) of conveying party(ies):  
(Continued from Page 1)

Tamar Lotan  
Masa-aki Ohto  
Robert B. Goldberg  
Robert L. Fischer

2. Additional name(s) and address(es) of receiving party(ies):  
(Continued from Page 1)

4. Additional application number(s) or patent number(s):  
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

**ASSIGNMENT**U.C. Case No. 97-177-3

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- |                             |                              |
|-----------------------------|------------------------------|
| 1. <u>John J. Harada</u>    | 2. <u>Tamar Lotan</u>        |
| 3. <u>Masa-aki Ohto</u>     | 4. <u>Robert B. Goldberg</u> |
| 5. <u>Robert L. Fischer</u> |                              |

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, 12th Fl., Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

**LEAFY COTYLEDON1 GENES AND THEIR USES**

and which is found in

- (a) \_\_\_\_\_ U.S. provisional application filed herewith and listing the above-named persons as inventors
- (b) \_\_\_\_\_ U.S. patent application filed herewith and listing the above-named persons as inventors
- (c) X \_\_\_\_\_ U.S. application serial no. 09/103,478, filed on June 24, 1998
- (d) \_\_\_\_\_ U.S. Patent No.: , issued \_\_\_\_\_

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111 (a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

**ASSIGNOR(S)** hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

**ASSIGNOR(S)** further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the **ASSIGNOR(S)** requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

25 day of August, 1998

\_\_\_\_ day of \_\_\_\_\_, 19\_\_

\_\_\_\_ day of \_\_\_\_\_, 19\_\_

\_\_\_\_ day of \_\_\_\_\_, 19\_\_

\_\_\_\_ day of \_\_\_\_\_, 19\_\_

*J Harada*

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\_\_\_\_ day of \_\_\_\_\_, 19\_\_

1<sup>st</sup> day of September, 1998

\_\_\_\_ day of \_\_\_\_\_, 19\_\_

\_\_\_\_ day of \_\_\_\_\_, 19\_\_

\_\_\_\_ day of \_\_\_\_\_, 19\_\_

\_\_\_\_\_  
T. Lotan  
 \_\_\_\_\_  
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executed this

Signature of Inventor(s)

\_\_\_\_ day of \_\_\_\_\_, 19\_\_

\_\_\_\_ day of \_\_\_\_\_, 19\_\_

1st day of September, 1998

\_\_\_\_ day of \_\_\_\_\_, 19\_\_

\_\_\_\_ day of \_\_\_\_\_, 19\_\_

\_\_\_\_\_

\_\_\_\_\_

Masa-aki Ohto

\_\_\_\_\_

\_\_\_\_\_



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\_\_\_\_ day of \_\_\_\_\_, 19\_\_

31 day of August, 1998Robert Fischer

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