

09-23-1998



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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

FORM PTO-1596

1-31-92

Docket No.: 50103-152

PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereto:

1. Name of Conveying Party(ies):

Qixu (David) CHEN, Liji HUANG, Charles LEU, & Rajiv
Yadav RANJANAdditional name(s) of conveying party(ies) attached? ☐ Yes

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: August 28, 1998 and September 1, 1998

2. Name and address of receiving party(ies):

Name: SEAGATE TECHNOLOGY, INC.

Address: 920 Disc Drive, P.O. Box 66360

Scotts Valley, California 95067-0360

Additional name(s) & address(es) attached? ☐ Yes

4. Application number(s) or patent number(s):

If the document is being filed together with a new application, the execution date of the application is: August 28, 1998 and
September 1, 1998

A. Patent Application No(s).

B. Patent No(s).

Additional numbers attached? ☐ Yes

5. Name and address of party to whom correspondence

Concerning document should be mailed:

Name: MCDERMOTT, WILL & EMERY

Internal Address:

Street Address: 99 Canal Center Plaza, Suite 300

City: Alexandria State: VA Zip: 22314

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

500417

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Arthur J. Steiner, 26,106

September 14, 1998

Name and Registration No. of Person Signing

Signature

Date

Total number of pages comprising cover sheet: 1

CMB No. 0851-0011 (exp. 4/94)

09/22/1998 TTOM11 00000002 500417 09152326

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PATENT
REEL: 9460 FRAME: 0499

ASSIGNMENT

WHEREAS WE, Qixu (David) Chen, Liji Huang, Charles Leu and Rajiv Yadav Ranjan of Milpitas, CA, San Jose, CA, Fremont, CA and San Jose, CA, respectively, have made a certain new and useful invention as set forth in an application for United States Letters Patent, entitled THIN FILM MEDIUM WITH SURFACE-OXIDIZED NiAl SEED LAYER, executed by us on the date of execution of this document, as shown below, and filed concurrently herewith;

AND WHEREAS, SEAGATE TECHNOLOGY, INC., a corporation of the State of Delaware and having an address of 920 Disc Drive, P. O. Box 66360, Scotts Valley, California 95067-0360 is desirous of acquiring the entire right, title and interest in and to said invention and in and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt for and sufficiency of which is hereby acknowledged, We do hereby sell, assign, transfer and set over unto SEAGATE TECHNOLOGY, INC., its legal representatives, successors, and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned application, including any continuations, continuations-in-part, divisions, reissues, re-examinations or extensions thereof, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, We hereby agree with the said assignee that We will not execute any writing or do any act whatsoever conflicting with these presents, and that We will, at any time upon request, without further or additional consideration but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuations, continuations-in-part, reexamined, reissued, or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of assignor and assignee;

AND We request the Commissioner of Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said SEAGATE TECHNOLOGY, INC., its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in and to said patent and the invention covered thereby.

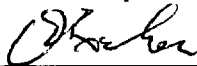
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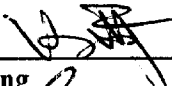
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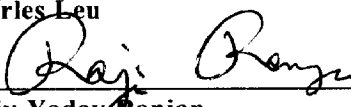
Date
8/28/98

Date



Qixu (David) Chen


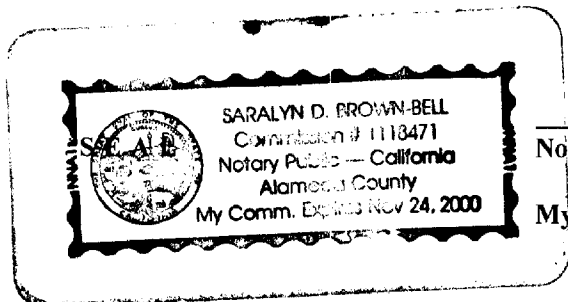
Liji Huang


Charles Leu


Rajiv Yadav Ranjan

STATE OF California)
COUNTY OF Santa Clara) SS:

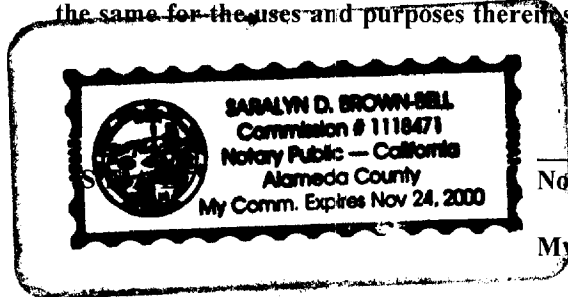
On this 28th day of August, 1998, before me personally appeared Qixu (David) Chen, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/~~she~~ executed the same for the uses and purposes therein set forth.



Saralyn D. Brown-Bell
Notary Public
My commission expires November 24, 2000

STATE OF California)
COUNTY OF Santa Clara) SS:

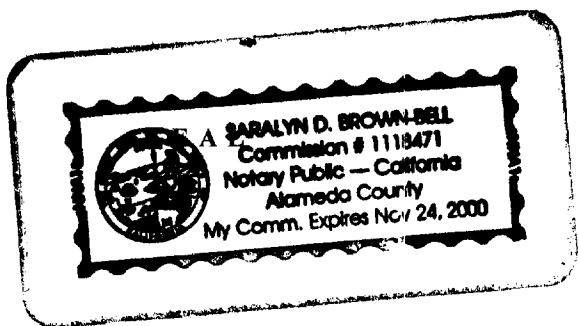
On this 1st day of September, 1998, before me personally appeared Liji Huang, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/~~she~~ executed the same for the uses and purposes therein set forth.



Saralyn D. Brown-Bell
Notary Public
My commission expires November 24, 2000 STATE OF)

STATE OF California)
COUNTY OF Santa Clara) SS:

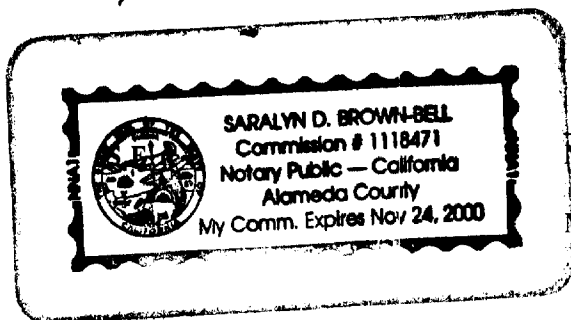
On this 28th day of August, 1998, before me personally appeared Charles Leu, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/~~she~~ executed the same for the uses and purposes therein set forth.



Saralyn D. Brown-Bell
Notary Public
My commission expires November 24, 2000

STATE OF California)
COUNTY OF Santa Clara) SS:

On this 28th day of August, 1998, before me personally appeared Rajiv Yadav Ranjan, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/~~she~~ executed the same for the uses and purposes therein set forth.



Saralyn D. Brown - Bell
Notary Public
My commission expires November 24, 2000