

09-23-1998

Patent Case No. 19771

U.S. DEPARTMENT OF COMMERCE
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1. Name(s) of Conveying party(ies):

MICHEL CHARTRAIN, BRIAN HEIMBUCH, BARBARA A. JACKEY AND COLLEEN S. TAYLOR

OPR/FINANCE

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Nature of conveyance:

- ☒ Assignment
- ☐ Merger
- ☐ Security Agreement
- ☐ Change of Name
- ☐ Other:

3. Name and address of receiving party(ies):

Name: MERCK & CO., INC.Internal Address: RY60-30Street Address: P.O. Box 2000City & State: RAHWAY, NEW JERSEYZip: 07065-0907Execution Date: Feb 3, 1997Additional name(s) & addresses attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s) are as follows:

(a) Patent Application No(s). 08/909,867, filed on Aug 12, 1997, and titled:

CONVERSION OF INDENE TO (1S)-AMINO-(2R)-INDANOL FREE OF ANY STEREOISOMER BY COMBINATION OF FERMENTATION OF RHODOCOCCUS SP. ATCC 55805 AND CHEMICAL STEPS

(b) If this document is being filed together with a new application, the execution date of the application:

Additional numbers attached? ☐ Yes ☒ No

5. Name & address of party to whom correspondence concerning documents should be mailed:

Name: KENNETH R. WALTONInternal Address: PATENT DEPARTMENT
MERCK & CO., INC.
P.O. BOX 2000 -- RY60-30
City & State: RAHWAY, N.J. Zip: 07065-0907

6. Total no. of applications & patents involved:

7. Total fee (37 CFR 3.41).....\$ 40.00☐ Enclosed☒ The Commissioner is hereby authorized to charge deposit account number 13-2755 for any fees which may be required or to credit any overpayment.

09/23/1998 JSH/BAZZ 00000007 132/55 08909867 DO NOT USE THIS SPACE

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8. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

KENNETH R. WALTON Reg. No. 32,951

Name of Person Signing

Kenneth R. Walton

Signature

Sept. 18, 1998

Date

Total number of pages including cover sheet, attachments, and document: 3

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Rev. 8/5/93

PATENT
REEL: 9460 FRAME: 0899

ASSIGNMENT AND AGREEMENT

For value received, we, Michel Chartrain, Brian Heimbuch, Barbara A. Jackey and Colleen S. Taylor

of 1213 Boulevard, Westfield, New Jersey 07090, 283 Constitution Circle, North Brunswick, New Jersey 08902, 166 Broughton Avenue, Bloomfield, New Jersey 07003 and 28 Buffa Drive, Somerset, New Jersey 08873

hereby sell, assign and transfer to MERCK & CO., Inc., a corporation of the State of New Jersey, having an office at Lincoln Avenue, City of Rahway, State of New Jersey, and its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to

CONVERSION OF INDENE TO (1S)-AMINO-(2R)-INDANOL FREE OF ANY STEREOISOMER, BY COMBINATION OF MONOOXYGENASE BIOCONVERSION AND CHEMICAL STEPS

described in an application for Letters Patent of the United States, executed by each of us on even date herewith, or executed on the date shown in the Declaration and Power of Attorney relating to said application, and all the rights and privileges, including any and all benefits under the International Convention for the Protection of Industrial Property and related treaties, under any and all Letters Patents which may be granted in any foreign country, and under any and all extensions, divisionals, reissues and continuations of said Letters Patents.

We request that any and all Patents for said inventions be issued to said assignee, its successor, assigns and legal representatives, or to such nominees as it may designate.

We agree that, when requested, we will, without charge to said assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining Patents for said inventions in any and all countries and for vesting title thereto in said assignee, its successors, assigns and legal representatives or nominees.

We covenant with said assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

We hereby authorize our attorney, Mary A. Appollina or an attorney with Power of Attorney in this application, of the said MERCK & CO., Inc., to insert **Serial No.**, and **Filing Date** of said application(s) when known.

Signed at Rahway, New Jersey this 3rd day of February 1997.

Michel Chartrain
Michel Chartrain

Brian Heimbuch
Brian Heimbuch

Barbara A. Jackey
Barbara A. Jackey

Colleen S. Taylor
Colleen S. Taylor

ASSIGNMENT AND AGREEMENT

Signed at _____ this _____ day of _____.

STATE OF NEW JERSEY

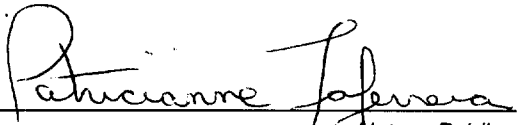
County of Union

SS.

Personally appeared before me the above-named Michel Chartrain Brian Heimbuch Barbara A. Jackey Colleen S. Taylor

to me known and known to me to be the person(s) who executed the foregoing instrument and acknowledged said instrument to be their

free act and deed this 3rd day of February 1997


Notary Public

County of

SS.

PATRICIANNE LAFERRERA
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires June 02, 2000

Personally appeared before me the above-named

to me known and known to me to be the person(s) who executed the foregoing instrument and acknowledged said instrument to be their

free act and deed this _____ day of _____.

Notary Public