

09-23-1998

Docket No.: AHP-97135

FORM PTO-1595 (Modified)

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PATENTS ONLY

ER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

SEP 17

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Amedeo A. Failli
Jay S. Shumsky
Robert J. Steffan

2. Name and address of receiving party(ies):

Name: AMERICAN HOME PRODUCTS CORPORATION

Internal Address: _____

Additional name(s) of conveying party(ies)

☐ Yes☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherStreet Address: FIVE GIRALDA FARMSCity: MADISON State: NJ ZIP: 07940

Execution Date: July 22, 1998

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

U. S. Serial No. 09/122,123, filed July 24, 1998

B. Patent No.(s)

Additional numbers attached?

☐ Yes☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: R. W. Alice - AMERICAN HOME PRODUCTS

Internal Address: _____

Street Address: ONE CAMPUS DRIVECity: PARSIPPANY State: NJ ZIP: 070546. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41):..... \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

8. American Home Products Corp. Deposit Account No.:

01-1425

09/22/1998 JMW/RAZZ 00000023 011425 09122123

01 FC:581 40.00 CH

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Steven R. Eck

Name of Person Signing

Signature

September 14, 1998

Date

Total number of pages including cover sheet, attachments, and document: - 4

PATENT
REEL: 9461 FRAME: 0238

SERIAL NO. 09/122,123
FILED JULY 24, 1998

ASSIGNMENT

WHEREAS we,

- (1) Amedeo A. Failli
- (2) Jay S. Shumsky
- (3) Robert J. Steffan

residing, respectively, at:

	<u>TOWN OR CITY:</u>	<u>IN THE COUNTY OF:</u>	<u>AND IN THE STATE OF:</u>
(1)	Princeton Junction	Mercer	New Jersey
(2)	Cranbury	Mercer	New Jersey
(3)	Langhorne	Bucks	Pennsylvania

have invented certain new and useful Improvements in

TRICYCLIC PYRIDO VASOPRESSIN AGONISTS

for which we have, respectively, on:

- (1) the 22nd day of July, 1998
- (2) the 22nd day of July, 1998
- (3) the 22nd day of July, 1998

executed an application for Letters Patent of the United States, the date of filing and serial number of said application, when known to be inserted above; and

WHEREAS, AMERICAN HOME PRODUCTS CORPORATION, a corporation duly organized and existing under the laws of the State of Delaware, and having a place of business at Five Giralda Farms, in the County of Morris, and State of New Jersey, hereinafter called ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention, in the United States of America, its territories, dependencies and possessions, and in all foreign countries, and in and to any United States or foreign Letters Patent that may be granted therefor, and in and to such other forms of protection of industrial property as may be granted therefor pursuant to the laws of any country:

NOW, THEREFORE, in consideration of ONE DOLLAR (\$1.00) in hand paid to each of us, and other good and valuable considerations, the receipt of which is hereby acknowledged, we by these presents, have sold, assigned and transferred, and do hereby sell, assign and transfer, to ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in and to the said invention, in the United

States of America, its territories, dependencies and possessions, and in all foreign countries, in and to any subsequently filed provisional or nonprovisional patent application of the United States, and in and to any Letters Patent of the United States and foreign countries (including all divisions, reissues, continuations and extensions thereof) that may be granted therefor, and in and to such other forms of protection of industrial property as may be granted therefor pursuant to the laws of any country, and the right to apply for Letters Patent and such other forms of protection of industrial property as may be provided by any country, with full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty or by international convention, together with the right to extend the protection of said United States Letters Patent to territorial possessions now owned or which may hereafter be acquired by the United States of America, for the full term for which said Letters Patent or said other forms of protection may be granted;

And we covenant and agree with ASSIGNEE that we have a full and unencumbered title to the invention hereby assigned, which title we warrant to ASSIGNEE;

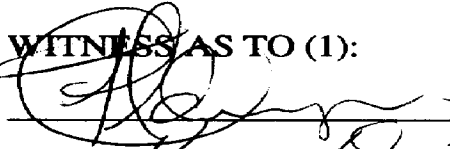
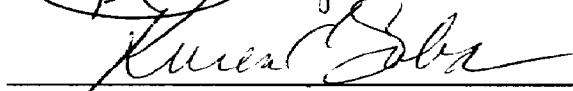
And we further agree that we will, at ASSIGNEE's request, without demanding further consideration therefor but entirely at ASSIGNEE's expense, do all lawful and just acts, including the execution and acknowledgement of instruments, that may be or become necessary for obtaining, sustaining or reissuing United States and foreign Letters Patent or said other forms of protection covering the invention hereby assigned, and for maintaining and perfecting ASSIGNEE's right to said invention, Letters Patent and other forms of protection, particularly in cases of interference and litigation.

IN WITNESS WHEREOF, we have respectively, hereunto set our hands and seals:

- (1) this 22nd day of July, 1998
- (2) this 22nd day of July, 1998
- (3) this 22nd day of July, 1998

(1)  (L.S.)
Amedeo A. Failli

WITNESS AS TO (1):

(2) Jay S. Shumsky (L.S.)
Jay S. Shumsky

WITNESS AS TO (2):

Karen C. Stebbins
Karen C. Stebbins

(3) Robert J. Steffan (L.S.)
Robert J. Steffan

WITNESS AS TO (3):

Karen C. Stebbins
Karen C. Stebbins

STATE OF NEW JERSEY)
 : SS:
COUNTY OF MIDDLESEX)

On this 22nd day of July, 1998, before me personally appeared

- (1) Amedeo A. Failli
- (2) Jay S. Shumsky
- (3) Robert J. Steffan

to me known and known to me to be the individuals described in and who executed the foregoing instrument, and they acknowledged to me that they executed the same.

Marilyn Winkler
Notary Public

MARILYN WINKLER
NOTARY PUBLIC OF NEW JERSEY
Commission Expires 1/2/2002