

RECORDATION FORM

09-28-1998

EET

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W07-004,016,028,054

To the Honorable Commissioner of Patents



100840091

Attached original documents or copy

1998 SEP 21 AM 11:56

1. Name of conveying party(ies):

Peter J. Wilk & Naomi L. Makao

9-21-98

2. Name and address of receiving party(ies)

Name: Wilk and Nakao Medical Technology
Incorporated

Internal Address:

Street Address: 160 Third Avenue

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name

☐ Other

Execution Date: 16 Sept. 1997 and 19 Sept. 1997

City New York State NY ZIP 10003

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

5,015,249 5,049,153
5,156,609 5,222,961

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name Coleman Sudol, LLP.

Internal Address: c/o R. Neil Sudol

Street Address: 270 Madison Avenue, Suite 1301

City: New York State: New York ZIP: 10016

6. Total number of applications and patents involved:

1

7. Total fee (37 C.F.R. 3.41)..... \$160.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

R. Neil Sudol, Reg. No. 31,669

Name of Person Signing

R. Neil Sudol

Signature

September 16, 1998

Date

Total number of pages including cover sheet, attachments, and document:

10

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

09/25/1998 JMW/TMS 0000055 5015249 160.00

01 FC:581

PATENT
REEL: 9463 FRAME: 0604

ASSIGNMENT

WHEREAS, we, Peter J. Wilk and Naomi L. Nakao, citizens of the United States of America, residing at 185 West End Avenue, New York, New York 10023, and 303 East 57th Street, New York, New York 10022, respectively, have invented new and useful improvements in several different kinds of medical devices and techniques, for which we have made applications for Letters Patent of the United States and for which have have obtained some patents, said applications and issued patents being listed on Appendix A attached hereto.

WHEREAS, Wilk & Nakao Medical Technology Incorporated (hereinafter "WILK & NAKAO MEDICAL TECHNOLOGY"), a corporation organized and existing under the laws of the State of New York, having a place of business at 160 Third Avenue, New York, New York 10003, is desirous of acquiring the entire right, title and interest in and to the applications and patents and inventions therein described and claimed and any Letters Patents which may be issued upon the applications or for improvements therein contained.

Now, THEREFORE, in consideration of our employment by said WILK & NAKAO MEDICAL TECHNOLOGY and other good and valuable considerations, to us in hand paid, the receipt and sufficiency of which are hereby acknowledged, we, Peter J. Wilk and Naomi L. Nakao, have sold, assigned and transferred and do hereby sell, assign and transfer unto said WILK & NAKAO MEDICAL TECHNOLOGY, its successors and assigns, our entire right, title and interest in and to the applications, the patents and to the inventions therein disclosed and the entire right, title and interest in and to any Letters Patents which may issue on the applications, and the right to apply for any Letters Patent in the United States of America and in any and all foreign countries on said inventions, including all divisional, renewal, substitute and continuation applications based in whole or in part upon said inventions, or upon any and all Letters Patents

that may issue thereon, and every priority right that is or may be predicated upon or arise from said inventions, the same to be held and enjoyed by said WILK & NAKAO MEDICAL TECHNOLOGY, for its own use and enjoyment and for the use and enjoyment of its successors and assigns, to the full end of the term for which any said Letters Patent are granted, as fully and as entirely as the same would have been held and enjoyed by us had this Assignment and sale not been made.

We, Peter J. Wilk and Naomi L. Nakao, hereby authorize said WILK & NAKAO MEDICAL TECHNOLOGY to file patent applications in any or all other countries on said inventions in our names or in the name of said WILK & NAKAO MEDICAL TECHNOLOGY or otherwise as said WILK & NAKAO MEDICAL TECHNOLOGY may deem advisable.

We, Peter J. Wilk and Naomo L. Nakao, hereby authorize the empowered officials of all governments to issue or transfer any said Letters Patent granted, to said WILK & NAKAO MEDICAL TECHNOLOGY, as assignee of the entire right, title and interest therein or otherwise as said WILK & NAKAO MEDICAL TECHNOLOGY may direct, in accordance with this instrument or assignment.

In further consideration of said good and valuable considerations, we, Peter J. Wilk and Naomi L. Nakao, further represent and warrant to said WILK & NAKAO MEDICAL TECHNOLOGY, its successors and assigns, that there are no rights and interests outstanding inconsistent with the rights and interests granted herein and that we have not executed any instrument or granted or transferred any rights or interests inconsistent with the rights and interests granted herein and that we will not execute any instrument or grant or transfer any rights or interests inconsistent herewith, and we bind ourselves, our heirs, executors, administrators and legal representatives, as the case may be, to execute and deliver to said WILK & NAKAO

MEDICAL TECHNOLOGY, its successors and assigns, any further documents or instruments and do any and all further acts that may be deemed necessary or desirable by said WILK & NAKAO MEDICAL TECHNOLOGY, its successors and assigns, to vest in said WILK & NAKAO MEDICAL TECHNOLOGY, its successors and assigns, the title whose conveyance is made hereby, and to enable such title to be recorded in the United States and all other countries, and to enable said WILK & NAKAO MEDICAL TECHNOLOGY to sustain or reissue any said Letters Patent granted, and to maintain, perfect, support and protect the right, title and interest of said WILK & NAKAO MEDICAL TECHNOLOGY, its successors and assigns, in and to the said inventions, and any Letters Patent granted, particularly in cases of interference and litigation.

We, Peter J. Wilk and Naomo L. Nakao, further covenant and agree that we, our executors and administrators, will at any time upon request of said WILK & NAKAO MEDICAL TECHNOLOGY, its successors or assigns, and at its expense, communicate to said WILK & NAKAO MEDICAL TECHNOLOGY, its successors and assigns, any facts relating to the inventions and improvements and the history thereof, known to us or our heirs, executors, administrators or legal representatives, and that we will testify as to the same in any interference, or other litigation when requested to do so by said WILK & NAKAO MEDICAL TECHNOLOGY, its successors and assigns.

In further consideration of the rights, titles, and interests transferred hereby, said WILK & NAKAO MEDICAL TECHNOLOGY hereby agrees that no rights, titles or interests transferred herein will be transferred, sold, assigned or licensed to any third party by said WILK & NAKAO MEDICAL TECHNOLOGY without the written consent of both Peter J. Wilk and Naomi L. Nakao.

my hand and seal this 16th day of June
Peter J. Wilk
 Peter J. Wilk

On this 16th day of September, 1997, before me personally appeared Peter J. Wilk, to me known and known to me to be the individual described in the foregoing instrument, and he duly acknowledged to me that he executed the foregoing instrument, and he acknowledged to me that he executed the same for the purpose therein set forth.

Notary Public
My Commission Expires:

DANSINGHANI MOHAN K.
Notary Public, State of New York
No. 01DA5039674
Qualified in Nassau County
Commission Expires Feb. 21, 1999

9, 1997.

Naomi L. Nakao

COUNTY OF)

acknowledged to me that she executed the same for the purpose therein set forth.

My Commission Expires:

(SEAL)

Commission Expires September 30, 1997

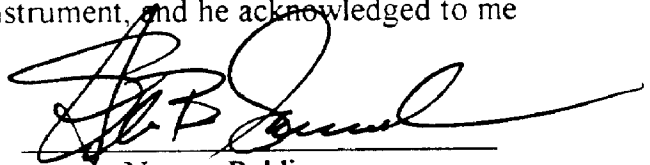
IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 18 day of 9, 1997.

WILK & NAKAO MEDICAL TECHNOLOGY INCORPORATED

By: Naomi Nakao, us
Naomi L. Nakao
Co-President

STATE OF)
) ss.:
COUNTY OF)

On this 18 day of 9, 1997, before me personally appeared Peter J. Wilk, to me known and known to me to be the individual described in the foregoing instrument, and he duly acknowledged to me that he executed the foregoing instrument, and he acknowledged to me that he executed the same for the purpose therein set forth.


Notary Public
My Commission Expires:

(SEAL)

STEVEN B. SAMUEL
Notary Public, State of New York
No. 30-4713257
Qualified in Nassau County
Commission Expires September 30, 1998

APPENDIX A

<u>Patent No.</u>	<u>Serial No.</u>	<u>Filing Date</u>	<u>Application Title</u>
5,201,740	788,035	11/5/91	Surgical Retrieval Assembly and Related Method
5,190,542	892,214	6/02/92	Surgical Retrieval Assembly and Related Method
5,234,439	926,762	8/06/92	Method and Instrument Assembly for Removing Organ
5,195,967	836,768	2/18/92	Anticlotting Device and Method for Use with IV Catheters
5,336,181	875,455	4/29/92	Anticlotting Device and Method for Use with IV Catheters
5,217,001	803,569	12/9/91	Endoscope Sheath and Related Method
5,400,770	821,410	1/15/92	Device Utilizable with Endoscope and Related Surgical Method
Abandoned	899,468	6/16/92	Endoscope Assembly and Associated Method
5,015,249	456,960	12/26/89	Endoscopic Stapling Device and Method
5,049,153	543,704	6/26/90	Endoscopic Stapling Device and Method
5,156,609	695,702	5/2/91	Endoscopic Stapling Device and Method
5,222,961	818,161	1/08/92	Endoscopic Stapling Device and Related Staple
Abandoned	831,797	2/05/92	Endoscopic or Laparoscopic Device with Video Monitor
5,398,685	904,862	6/26/92	Endoscopic Diagnostic System and Associated Method
5,282,788	853,987	3/20/92	Method and Device for Obtaining Continued Transdermal Access
Abandoned	788,034	11/5/91	Method and Apparatus for Use in Laparoscopic and Endoscopic Surgery

<u>Patent No</u>	<u>Serial No.</u>	<u>Filing Date</u>	<u>Application Title</u>
Abandoned	833,086	2/10/92	Endoscope with Disposable Insertion Member
Abandoned	835,765	2/13/92	Catheter Assembly and Related Method
Abandoned	848,831	3/10/92	Laparoscopic Instrument Assembly and Related Method
Abandoned	848,830	3/10/92	Reduction Port for Laparoscopic Trocar Sleeve and Related Method
Abandoned	854,201	3/20/92	System, Device and Method for Delivering Medicine Intravenously
5,396,879	866,815	4/09/92	Elongate Medical Instrument with Distal End Orientation Control
Abandoned	874,819	4/28/92	Method and Apparatus for Detecting Blood Transfusion Reaction and Terminating Transfusion
5,360,412	956,113	10/5/92	Method and Device for Regulating Flow in Intravenous Line
5,374,273	957,416	10/5/92	Method and Instrument Assembly for Retrieval of Retained Common Bile Duct Stones
5,318,179	962,268	10/16/92	Safety Method and Device for Intravenous Feed Systems
5,336,227	012,657	2/01/93	Surgical Retrieval Assembly and Associated Method
5,353,783	072,774	6/07/93	Endoscopic Sheath and Related Method
5,486,182	213,196	3/14/94	Surgical Retrieval Assembly and Associated Method
Pending	333,363	11/02/94	Surgical Retrieval Assembly and Associated Method
Pending	648,906	5/16/96	Endoscopic Biopsy Channel Liner and Related Method
Pending	700,562	8/08/96	Surgical Retrieval Assembly and Associated Method
Pending	800,607	2/14/97	Improved Snare Cauterization Surgical Instrument Assembly and Method of Manufacture