



09-28-1998

DEPARTMENT OF COMMERCE
Patent and Trademark Office



100840005

To the Honorable Commissioner of Patents and Trademarks or copy thereof.

attached original

1. Name of conveying party(ies):

Robert S. Anderson
Mirza Q. Baig
Jeffrey A. DeNeve
Brian R. Dershem
Hasan (NMI) Kabir
Rodney D. McLamb
Huan T. Nguyen
Kevin E. Pielmeier
James H. Siwicke
Reid W. Waitt

Additional Names attached: Yes No

2. Name and address of receiving party(ies):

Name: CATERPILLAR COMMERCIAL SARL
Internal Address: c/o Caterpillar Overseas S.A.
Street Address: 76, Route de Frontenex
City: Geneva State: Switzerland
Zip: 1211

Additional name(s) & addresses attached? Yes No

3. Nature of Conveyance:

Assignment
 Security Agreement
 Other

9-17-98

Execution Date(s): 08/17/98, 08/17/98, 09/03/98,
08/17/98, 09/02/98, 08/17/98,
07/27/98, 08/17/98, 08/03/98,
and 09/02/98

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is _____.

A. Patent Application No.(s)
09/092,804, filed: June 5, 1998

B. Patent No.(s)

Additional numbers attached? Yes No

Title of Invention: ENGINE MOUNTING ARRANGEMENT

Attorney Docket No.: 98-407

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Diana L. Charlton
CATERPILLAR INC.

Internal Address: Intellectual Property
Department 00000004 031129 09032004

Street Address: 100 N. E. Adams Street

City: Peoria State: IL

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6. Total number of applications and patents involved [1]

7. Total fee (37 CFR §3.41) \$ 40.00
 Enclosed
 Authorized to be charged to deposit account

8. Deposit account number: 03-1129
(If charged duplicate copy attached)

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Diana L. Charlton
Name of Person Signing

Diana L. Charlton
Signature

09/14/98
Date

Total number of pages comprising cover sheet, attachments, and document: [6]

Mail document to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

09/22/1998 SOWIS
01 FC:561

ASSIGNMENT

WHEREAS, ROBERT S. ANDERSON; MIRZA Q. BAIG; JEFFREY A. DENEVE; BRIAN R. DERSHEM; HASAN (NMI) KABIR; RODNEY D. MCLAMB; HUAN T. NGUYEN; KEVIN E. PIELMEIER; JAMES H. SIWICKE; and REID W. WAITT, residing respectively at Garner, North Carolina; Sanford, North Carolina; Sanford, North Carolina; Sanford, North Carolina; Sanford, North Carolina; Cary, North Carolina; Forth Worth, Texas; Apex, North Carolina; Monterrey, Mexico; and Willow Springs, North Carolina, hereinafter referred to as ASSIGNORS, have invented a new and useful

ENGINE MOUNTING ARRANGEMENT

for which ASSIGNORS have made application for Letters Patent in the United States of America, such invention being described and identified by said application identified as Application No. 09/092,804, filed June 5, 1998; and

WHEREAS, CATERPILLAR COMMERCIAL SARL, a corporation duly organized and existing under the laws of Switzerland, domiciled at c/o Caterpillar Overseas S. A., 76, Route de Frontenex, 1211 Geneva, Switzerland, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNORS from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNORS;

1. ASSIGNORS hereby sell, assign, transfer and convey unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNORS have the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNORS agree to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNORS hereby authorize and request the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to CATERPILLAR COMMERCIAL SARL.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNORS, ASSIGNORS' heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNORS have executed this instrument.

Robert S. Anderson
ROBERT S. ANDERSON

8-17-98
Date

IN WITNESS OF the execution hereof by ROBERT S. ANDERSON we have hereunto set our hands.

R. S. Anderson 17/AUG/98
Witness (1) (Date)

Address: 212 Lost Tree Lane
Cary, NC 27513

Mirza Q. Baig
MIRZA Q. BAIG
Witness (2) (Date)

Address: 2537-6 Buffalo
Club Rd Sanford NC 27338

Mirza Q. Baig
MIRZA Q. BAIG

8/17/98
Date

IN WITNESS OF the execution hereof by MIRZA Q. BAIG we have hereunto set our hands.

Robert S. Anderson 8-17-98
Witness (1) (Date)

Address: 1340 CANE CREEK
GARNER, NC 27529

Dwain Loughrin 17/Aug/98
Witness (2) (Date)

Address: 217 N GULF ST
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Jeffrey A. Deneve
JEFFREY A. DENEVE

3 September 1998
Date

IN WITNESS OF the execution hereof by JEFFREY A. DENEVE we have hereunto set our hands.

Matthew Bean 3-9-98
Witness (1) (Date)

Address: 1002 Markham St.
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David ... 9/3/98
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Brian R. Dershem
BRIAN R. DERSHEM

8/17/98
Date

IN WITNESS OF the execution hereof by BRIAN R. DERSHEM we have hereunto set our hands.

[Signature] 17/AUG/98
Witness (1) (Date)

QAL
MIRVA Q. BALG 8/17/98
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Address: 312 Lost Tide Lane
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Hasan Kabir
HASAN (NMI) KABIR

09-02-98
Date

IN WITNESS OF the execution hereof by HASAN (NMI) KABIR we have hereunto set our hands.

[Signature] 02/Sep/98
Witness (1) (Date)

[Signature] 9/2/98
Witness (2) (Date)

Address: 212 Lost Tide Lane
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[Signature]
RODNEY D. MCLAMB

17/AUG/98
Date

IN WITNESS OF the execution hereof by RODNEY D. MCLAMB we have hereunto set our hands.

Robert Anderson 8-17-98
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Address: 1340 CANE CREEK
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Huan Nguyen T.
HUAN T. NGUYEN

7/26/98
Date

IN WITNESS OF the execution hereof by HUAN T. NGUYEN we have hereunto set our hands.

Witness 1 7.26.98
Witness (1) (Date)

Address: 6712 Summers Dr. W
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Kevin Pielmeier
KEVIN E. PIELMEIER

17 AUG 98
Date

IN WITNESS OF the execution hereof by KEVIN E. PIELMEIER we have hereunto set our hands.

Witness 1 8-17-98
Witness (1) (Date)

Address: 1340 CANE CREEK
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Witness 2 8/17/98
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James H. Siwicke
JAMES H. SIWICKE

8/3/98
Date

IN WITNESS OF the execution hereof by JAMES H. SIWICKE we have hereunto set our hands.

Witness 1 8/3/98
Witness (1) (Date)

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Witness 2 8/3/98
Witness (2) (Date)

Address: Richard E. Powell
ORQUIDES 629, LOS COLOMBES
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66250

Reid W. Waitt

Sept. 2, 1998

REID W. WAITT

Date

IN WITNESS OF the execution hereof by REID W. WAITT we have hereunto set our hands.

[Signature] 9/2/98
Witness (1) (Date)

[Signature] 02 SEP 1998
Witness (2) (Date)

Address: 123 GREEN ST. LN.
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27511

Address: 106 CARMEL COURT
CARY, NC 27511