

09-28-1998

RECORD



101.3632USX

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TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

Please record the attached original documents or copies thereof.



1. Name of conveying party(ies):  
**DANIEL W. VAN ALSTINE; DAVID A. DONATELLO and MARK HAGER**

2. Name and address of receiving party(ies):  
**ALSTOM SIGNALING INC.  
150 SAWGRASS DRIVE  
ROCHESTER, NEW YORK 14620**

3. Nature of conveyance:  
XXXXX Assignment \_\_\_\_\_ Merger  
\_\_\_\_\_ Security Agreement \_\_\_\_\_ Change of Name  
\_\_\_\_\_ Other: \_\_\_\_\_  
Execution Date: **September 9; 10 and 14, 1998, respectively**

9-17-98

4. Application number(s) or application date(s):  
If this document is being filed together with a new application,  
the execution date of the application is: **September 9, 10 and 14,  
1998, respectively.**

A. Application No. B. Application Date

09 154 978

5. Name and address of party to whom correspondence concerning this  
document should be mailed:

John F. Ohlandt, Esq.  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
One Landmark Square, 9th Floor  
Stamford, Connecticut 06901-2682 U.S.A.

6. Total No. of applications and patents involved: 1.

7. Total Fee (37 C.F.R. 3.41(h)).....\$40.00/assignment.

XXXXX Enclosed  
\_\_\_\_\_ Authorized to be charged to deposit account.

The Commissioner is hereby authorized to charge any additional fees  
under 37 C.F.R. 3.41 that may be required with this communication or  
credit any overpayment, to Deposit Account No. 01-0468. A duplicate  
copy of this Form is enclosed.

09/23/1998 15:00 KES 00000002 09/15/1998

02 FC:581

To the best of my knowledge and belief, the foregoing information is  
true and correct and any attached copy is a true copy of the original  
document.

JOHN F. OHLANDT  
Name of Person Signing

John F. Ohlandt  
Signature

9/17/98  
Date

Total number of pages including cover sheet, attachments and document: 3.

**PATENT  
REEL: 9469 FRAME: 0473**

## JOINT ASSIGNMENT

The ASSIGNORS,       **DANIEL W. VAN ALSTINE**  
                              **4229 FROST HOLLOW ROAD**  
                              **LIVONIA, NEW YORK 14487**

**DAVID A. DONATELLO**  
                              **20 WOODRIDGE DRIVE**  
                              **MENDON, NEW YORK 14506**

**MARK HAGER**  
                              **331 VOLLMER PARKWAY**  
                              **ROCHESTER, NEW YORK 14623**

have invented certain new and useful improvements in       **VANDAL RESISTANT LIGHT SIGNAL UNIT**

an invention which is fully described in an application for Letters Patent of the United States executed by them this day; and

The ASSIGNEE, **ALSTOM SIGNALING INC.**, a Delaware corporation having its principal office at 150 Sawgrass Drive, Rochester, New York 14620, wishes to acquire the entire right, title and interest in and to the invention and under Letters Patent which may be obtained for this invention.

For valuable and legally sufficient considerations, receipt of which are hereby acknowledged, the Assignors sell, assign and transfer to Assignee, its successors and assigns, the entire right, title and interest for the United States in and to the above-identified invention and application, divisionals, continuations, and continuation-in-part and for the full term of any Letters Patent of the United States that may issue for the invention.

The Assignors also sell, assign and transfer to Assignee the entire right, title and interest in and to the invention and application for Letters Patent and Letters Patent therefor in all countries foreign to the United States, including all rights under the International Convention. The Assignors further authorize Assignee to apply for Letters Patent in foreign countries in the name of Assignee, and to claim the priority of the filing date of the United States Application under the provisions of the International Convention.

The Assignors further agree, for themselves and their legal representatives, they will assist Assignee in the prosecution before the United States Patent Office and the Federal Courts of this application, and other applications for Letters Patent including renewals, continuations, divisions, reissues and substitutions, that the Assignee elects to make covering the invention. The Assignors vest in Assignee like exclusive title in and to all such other applications and Letters Patent. The Assignors will execute and deliver to Assignee any documents which may be requested by Assignee to carry out the terms of this Assignment.

The Commissioner of Patents of the United States is authorized and requested to issue Letters Patent of the United States to Assignee. The Assignors also authorize and request the equivalent authorities in foreign countries to issue the patents of foreign countries to Assignee.

Daniel W. Van Alstine

Inventor's Name

Daniel W. Van Alstine

Signature

9/9, 1998  
Date

David A. Donatello

Inventor's Name

David A. Donatello

Signature

9/10, 1998  
Date

STATE OF New York )  
COUNTY OF Monroe ) SS

Subscribed and sworn to before me on  
9-9-98

Patricia S. Patterson

Notary Public

STATE OF NY )  
COUNTY OF Monroe ) SS

Subscribed and sworn to before me on  
9-10-98

Patricia S. Patterson

Notary Public

Mark Hager

Inventor's Name

Mark Hager

Signature

9-14-99  
Date

STATE OF NY )  
COUNTY OF Monroe ) SS

Subscribed and sworn to before me on  
Sept. 14, 1998

Patricia S. Patterson

Notary Public

PATRICIA S. PATTERSON  
NOTARY PUBLIC, STATE OF NY,  
MONROE CO.  
NO 4751913  
MY COMMISSION EXPIRES MARCH 30, 1999