



09-28-1998



100838395

Docket No. UC97-188-1

**BOX ASSIGNMENT**

**Assistant Commissioner for Patents**

**Washington, D.C. 20231**

**ASSIGNMENT (DOCUMENT) COVER LETTER**

**PATENT OR PATENT APPLICATION**

*mld 3-25-98*

**1. NAMES OF PARTY(IES) MAKING TRANSFER**

Name 1: ARTHUR J. KRENER

Name 2: MIROSLAV KRSTIC

**2. IDENTITY OF PARTY(IES) TO WHOM TRANSFER IS MADE**

This transfer is being made to:

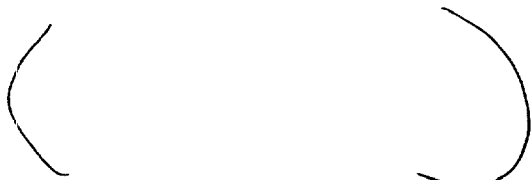
Name: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA  
Address: 300 LAKESIDE DRIVE, 22nd FLOOR  
OAKLAND, CA 94612-3550

**3. INTENTION OF DOCUMENT**

A brief description of what the accompanying document intends to accomplish is that it is an:

- Assignment
- License
- Security Interest
- Other

**98 APR - 1 AM 11: 52**



4. **IDENTIFICATION OF PATENT OR APPLICATION**

Particulars of the patent or application to which the accompanying document applies are:

Inventor(s): ARTHUR J. KRENER; MIROSLAV KRSTIC

For (title): METHOD AND APPARATUS FOR PREDICTING AND STABILIZING COMPRESSOR STALL

- (a)  U.S. patent application filed herewith.
- (b)  U.S. patent application serial no. 08/974,942 filed on NOVEMBER 20, 1997.
- (c)  U.S. patent no. \_\_\_\_\_ issued \_\_\_\_\_.

5. **NAME AND ADDRESS TO WHICH CORRESPONDENCE SHOULD BE MAILED**

Please address all correspondence and return the recorded document to:

John P. O'Banion  
GERBER, RITCHEY & O'BANION LLP  
400 Capitol Mall, Suite 1550  
Sacramento, CA 95814

6. **NUMBER OF APPLICATIONS OR PATENTS IDENTIFIED AND TOTAL FEE DUE**

The number of applications or patents identified herein is: 1

Total Fee Due \$ 40.00

7. **DATE DOCUMENT WAS EXECUTED**

The accompanying document was executed on: FEBRUARY 28, 1998.

8. **FEE PAYMENT**

Fee payment is provided for as follows:

- Submittal of Missing Parts (INCLUDED IN FILING FEES)
- FWC Transmittal (Item VII)
- Transmittal of Filing under 37 CFR 1.60(b) (Item 11)

X Attached is a check in the sum of \$40.00

\_\_\_ Charge Account No. 07-1137 if any additional fee is due.

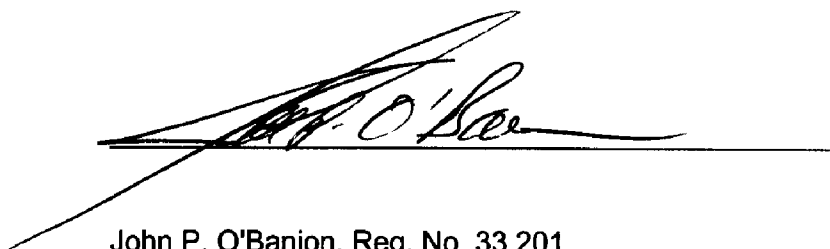
\_\_\_ Charge Account No. \_\_\_\_\_ in the sum of \_\_\_\_\_ A duplicate of this transmittal is attached.

9. **STATEMENT OF AUTHENTICITY**

The undersigned certifies that, to the best of his or her knowledge and belief, the information contained in this cover sheet is true and correct, and any copy of the document submitted for recording is a true copy of the original document.

10. **SIGNATURE**

Dated: 3/23/98

A handwritten signature in black ink, appearing to read "John P. O'Banion", is written over a horizontal line.

John P. O'Banion, Reg. No. 33,201  
GERBER, RITCHEY & O'BANION LLP  
400 Capitol Mall, Suite 1550  
Sacramento, CA 95814  
(916) 498-1010

## ASSIGNMENT

Docket No.: UC97-188-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

Name: ARTHUR J. KRENER  
Address: 213 COTTAGE CIRCLE  
DAVIS, CA 95616-4673

Nationality: U.S.

Name: MIROSLAV KRSTIC  
Address: 5088 SEACHASE DRIVE  
SAN DIEGO, CA 92130

Nationality: YUGOSLAVIA

hereby sell(s), assign(s) and transfer(s) to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 300 Lakeside Drive, 22nd Fl., Oakland, CA 94612-3550, and the successors, assigns and legal representatives of the ASSIGNEE all of its/their right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

**METHOD AND APPARATUS FOR PREDICTING AND STABILIZING COMPRESSOR STALL**

and which is found in

- (a)  U.S. provisional patent application filed herewith and listing the above named person(s) as inventor(s).
- (b)  U.S. patent application filed herewith listing the above named person(s) as inventor(s).
- (c)  U.S. patent application serial no. 08/974,942, filed on NOVEMBER 20, 1997.
- (d)  U.S. Patent No.: \_\_\_\_\_, issued \_\_\_\_\_.

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

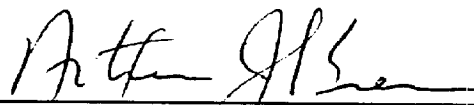
ASSIGNOR(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenant(s) that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said Invention and said Letters Patent and legal equivalents as


may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) request(s) the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

Dated: 2/28/98

  
ARTHUR J. KRENER

Dated: 2/9/98

  
MIROSLAV KRSTIC