

# Attorney's Docket No. C0441/7911

# RECORDATION

09-28-1998

## **'HEET**

**FORM PTO-1595** 

(Rev. 6-93)

OME No. 0651-0011 (exp. 4/94)



U.S. DEPARTMENT OF COMMERCE

100839451

1. Name of conveying party(ies): Tong-Bi Pei; Kohei Abe; Robert E. Thomas

To the Commissioner of Patents

Additional name(s) of conveying party(ies) attached? [] Yes [X] No

3. Nature of conveyance:

[] Assignment

[] Merger

[] Security Agreement

[] Change of Name

[X] Other Corrective Assignment/Recordation

(to add joint assignee as additional receiving party)

Execution Date: October 7, 21 and 22, 1997

2. Name and address of receiving party(ies)

Name: Digital Equipment Corporation

Internal Address:

Street Address: 111 Powdermill Road

Maynard, MA 01754

Additional name(s) & addresses(es) attached? [X] Yes [] No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No.(s)

1. 08/972,663

18-Nov-1997

B. Patent No.(s)

Additional numbers attached? [ ] Yes [ X ] No

lame and address of party to whom correspondence Concerning document should be mailed:

Name: Therese A. Hendricks

Address: WOLF, GREENFIELD & SACKS, P.C.

Federal Reserve Plaza 600 Atlantic Avenue Boston, MA 02210

6. Total number of applications involved: [1]

7. Total fee (37 CFR 3.41).....\$40.00

[X] Enclosed

[] Authorized to be charged to deposit account

The Commissioner is authorized to charge:

8. Deposit Account No: 23/2825

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any atached copy is a true

copy of the original document.

Paul D. Sorkin

Name of Person Signing

Signature

Total number of pages including cover sheet, attachments, and document [11]

Mail documents to be recorded with required cover sheet informatio to: Box Assignment, , Washington, D.C. 20231

**REEL: 9470 FRAME: 0752** 

### **ATTACHMENT**

Serial No. 08/972,663 C0441/7911

Additional name & address of receiving party:

Kabushiki Kaisya Toshiba 72 Horikawa-cho, Saiwai-ku, Kawaski-shi, JAPAN

post office address: c/o Semiconductor Intellectual Property &

> Licensing Department Kabushiki Kaisya Toshiba

580-1, Korikawa-Cho, Saiwai-ku

Kawasaki-shi, 210, JAPAN

**PATENT REEL: 9470 FRAME: 0753** 

205330.1







Y

To the Assistant Commission documents or copy thereof.

100609048

d the attached original

1. Name of conveying party(ies):

Tong-Bi Pei, Kohei Abe and Robert E. Thomas

Additional name(s) of conveying party(ies) at:ached? []Yes [x]No

Nature of Conveyance:

[X] Assignment

[]Merger

[] Security Agreement [] Other

Execution Dates: OCTOBER 7, 21 AND 22, 1997

Name and address of receiving party(ies):

Name: DIGITAL EQUIPMENT CORPORATION

Internal Address: MSO2-3/G3

HEET

Street Address: 111 POWDERMILL ROAD

City: MAYNARD, State: MA Zip: 01754

Additional name(s) and address(es) attached? []Yes

- 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: October 7, 21 and 22, 1997.
  - A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? []Yes [x]No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Digital Equipment Corporation

Internal Address: Patent Law Group

MSO2-3/G3

6. Total number of applications and patents involved: [1]

7. Total fee (37CFR 3.41):

[ ] Enclosed

- Authorized to be charged to deposit account
- Deposit account number: 04-1079

Street Address: 111 Powdermill Road

City: Maynard, State: MA Zip: 01754-1499

DO NOT USE THIS SPACE

01/16/1998 LT958Ntem0000036rDAH::941979Atu08972663 04 FC#581 To the DOGHt of my knowledge

To the well of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Cathy L. Peterson Name of Person Signing

November **17**, 1997

Total number of pages comprising cover sheet:

ASCURITE FRM PLG 1/93

> PATENT REEL: 9470 FRAME: 0754

#### ASSIGNMENT

WHEREAS, Robert E. Thomas has made certain inventions or discoveries (or both) set forth in an Application for Letters Patent of the United States of America entitled Non-Zero Minimum Cell Rate for Available Bit Rate ATM, the specification of which:

below;	was	executed	by	me	on	the	e date	e(s)	indio	cated	1
	was	filed on		_ as	gA :	pli	icatio	on S	erial	No.	;
	was	patented	und	der	u.s	. 1	Paten	t No		on	;

WHEREAS, jointly, Digital Equipment Corporation, a corporation of the Commonwealth of Massachusetts, whose address is 111 Powdermill Road, Maynard, Massachusetts 01754, U.S.A., and its successors and assigns, and KABUSHIKI KAISYA TOSHIBA, a Japanese corporation, whose address is 72 Horikawa-cho, Saiwai-ku, Kawasaki-shi, Japan; and whose post office address is c/o Semiconductor Intellectual Property & Licensing Department, KABUSHIKI KAISYA TOSHIBA, 580-1, Korikawa-Cho, Saiwai-ku, Kawasaki-shi, 210, Japan, and its successors and assigns, the two corporations hereinafter called "Assignee", is desirous of acquiring the entire right, title, and interest together with the benefits and privileges hereinafter recited;

NOW, THEREFORE, for valuable consideration furnished by Assignee to me, receipt and sufficiency of which I hereby acknowledge, I hereby, without reservation;

1. Assign, transfer and convey to Assignee the entire right, title and interest together with the benefits and privileges in and to said inventions and discoveries, said Application for Letters Patent or similar forms of protection of the United States of America, and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation and convention applications based in whole or in part upon said inventions or discoveries, or upon said application, and any and all Letters Patent, reissues and extensions of Letters Patent or similar forms of protection granted for said inventions and discoveries or upon said applications, and every priority

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right that is or may be predicated upon or arise from said inventions, said discoveries, said applications and said Letters Patent;

- 2. Authorize Assignee to file patent applications in any or all countries or groups of countries on any or all of said inventions and discoveries in my name or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or any other relevant convention or treaty or otherwise;
- 3. Authorize and request the Assistant Commissioner for Patents of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as Assignee of the entire right, title and interest therein or otherwise as Assignee may direct;
- 4. Warrant that I have not knowingly conveyed to others any rights in said inventions, discoveries, applications or patents or any license to use the same or to make, use or sell anything embodying or utilizing any of said inventions or discoveries; and that I have good right to assign the same to Assignee without encumbrance;
- Bind my heirs, legal representatives and assigns, as well as myself, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to me or them, all acts reasonable serving to assure that said inventions and discoveries, said patent applications and said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by me, my heirs, legal representatives and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to me relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples and other physical exhibits in my control or in the control of my heirs, legal representatives or assigns which may be useful for establishing any facts of my conceptions, disclosures, and reduction to practice of said inventions or discoveries.

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IN WITNESS WHEREOF:
DATE I SIGNED INVENTOR'S DECLARATION:
Today's Date: 10 - 21 - 1997
State of California, County of:
SANTA CLARA
On October 21, 1997 before me,  Melvyn J. Arsenaut , personally appeared,  Robert E. Thomas , personally known to  me (or proved to me on the basis of satisfactory evidence)
to be the person whose name is subscribed to the within
instrument and acknowledged to me that he executed the same
in his authorized capacity, and that by his signature on the
instrument the person, or the entity upon behalf of which
the person acted, executed the instrument.
Witness my hand and official seal:
Signature: Melvyn J. Arsenault
Name: Melvyn J. Arsenault
My Commission Expires: 4/21/2000
Seal:  MELVYN J. ARSENAULT Commission # 1095334 Notary Public — California Santa Clara County My Comm. Expires Apr 21, 2000

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#### ASSIGNMENT

WHEREAS, Tong-Bi Pei, has made certain inventions or discoveries (or both) set forth in an Application for Letters Patent of the United States of America entitled NON-ZERO MINIMUM CELL RATE FOR AVAILABLE BIT RATE ATM SERVICE, the specification of which:

below;	was	executed	by	me	on	the	date	(s)	indic	cated	i	
	was	filed on		_ as	Ap	pli	cation	n Se	rial	No.		_;
<del></del>	was	patented	und	der	u.s	. Pa	atent	No.		on		;

WHEREAS, jointly, Digital Equipment Corporation, a corporation of the Commonwealth of Massachusetts, whose address is 111 Powdermill Road, Maynard, Massachusetts 01754, U.S.A., and its successors and assigns, and KABUSHIKI KAISYA TOSHIBA, a Japanese corporation, whose address is 72 Horikawa-cho, Saiwai-ku, Kawasaki-shi, Japan; and whose post office address is c/o Semiconductor Intellectual Property & Licensing Department, KABUSHIKI KAISYA TOSHIBA, 580-1, Korikawa-Cho, Saiwai-ku, Kawasaki-shi, 210, Japan, and its successors and assigns, the two corporations hereinafter together called "Assignee", is desirous of acquiring the entire right, title, and interest together with the benefits and privileges hereinafter recited;

NOW, THEREFORE, for valuable consideration furnished by Assignee to me, receipt and sufficiency of which I hereby acknowledge, I hereby, without reservation;

1. Assign, transfer and convey to Assignee the entire right, title and interest together with the benefits and privileges in and to said inventions and discoveries, said Application for Letters Patent or similar forms of protection of the United States of America, and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation and convention applications based in whole or in part upon said inventions or discoveries, or upon said application, and any and all Letters Patent, reissues and extensions of Letters Patent or similar forms of protection granted for said inventions and discoveries or upon said applications, and every priority

PLG 10/94

right that is or may be predicated upon or arise from said inventions, said discoveries, said applications and said Letters Patent;

- 2. Authorize Assignee to file patent applications in any or all countries or groups of countries on any or all of said inventions and discoveries in my name or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or any other relevant convention or treaty or otherwise;
- 3. Authorize and request the Assistant Commissioner for Patents of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as Assignee of the entire right, title and interest therein or otherwise as Assignee may direct;
- 4. Warrant that I have not knowingly conveyed to others any rights in said inventions, discoveries, applications or patents or any license to use the same or to make, use or sell anything embodying or utilizing any of said inventions or discoveries; and that I have good right to assign the same to Assignee without encumbrance;
- Bind my heirs, legal representatives and assigns, as well as myself, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to me or them, all acts reasonable serving to assure that said inventions and discoveries, said patent applications and said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by me, my heirs, legal representatives and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to me relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples and other physical exhibits in my control or in the control of my heirs, legal representatives or assigns which may be useful for establishing any facts of my conceptions, disclosures, and reduction to practice of said inventions or discoveries.

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IN WITNESS WHEREOF:
DATE I SIGNED INVENTOR'S DECLARATION:
Today's Date: 10.7-97 (Inventor's Name) Tong-Bi Pei
State of California, County of:
Santa Clara
on 10-7-97 before me,  The undersigned , personally appeared,
Tong-Bi Pei, personally known to me (or
proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument
and acknowledged to me that he executed the same in his
authorized capacity, and that by his signature on the
instrument the person, or the entity upon behalf of which
the person acted, executed the instrument.
Witness my hand and official seal:
Signature: Rollman A. Ruil
Name: Rozlynn A. Puiz
My Commission Expires: 4-17-98
Seal:  Rozlynn A. Ruiz  Comm #1023723  Comm #1023723  ALAMEDA COUNTY Comm Expires April 17 1998

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#### ASSIGNMENT

WHEREAS, Kohei Abe, has made certain inventions or discoveries (or both) set forth in an Application for Letters Patent of the United States of America entitled NON-ZERO MINIMUM CELL RATE FOR AVAILABLE BIT RATE ATM SERVICE, the specification of which:

below;	was executed by me on the date(s) indicated	
DCIOW,		
	was filed on as Application Serial No	_;
	was patented under U.S. Patent No on	;

WHEREAS, jointly, Digital Equipment Corporation, a corporation of the Commonwealth of Massachusetts, whose address is 111 Powdermill Road, Maynard, Massachusetts 01754, U.S.A., and its successors and assigns, and KABUSHIKI KAISYA TOSHIBA, a Japanese corporation, whose address is 72 Horikawa-cho, Saiwai-ku, Kawasaki-shi, Japan; and whose post office address is c/o Semiconductor Intellectual Property & Licensing Department, KABUSHIKI KAISYA TOSHIBA, 580-1, Korikawa-Cho, Saiwai-ku, Kawasaki-shi, 210, Japan, and its successors and assigns, the two corporations hereinafter together called "Assignee", is desirous of acquiring the entire right, title, and interest together with the benefits and privileges hereinafter recited;

NOW, THEREFORE, for valuable consideration furnished by Assignee to me, receipt and sufficiency of which I hereby acknowledge, I hereby, without reservation;

1. Assign, transfer and convey to Assignee the entire right, title and interest together with the benefits and privileges in and to said inventions and discoveries, said Application for Letters Patent or similar forms of protection of the United States of America, and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation and convention applications based in whole or in part upon said inventions or discoveries, or upon said application, and any and all Letters Patent, reissues and extensions of Letters Patent or similar forms of protection granted for said inventions and discoveries or upon said applications, and every priority

1

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right that is or may be predicated upon or arise from said inventions, said discoveries, said applications and said Letters Patent;

- 2. Authorize Assignee to file patent applications in any or all countries or groups of countries on any or all of said inventions and discoveries in my name or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or any other relevant convention or treaty or otherwise;
- 3. Authorize and request the Assistant Commissioner for Patents of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as Assignee of the entire right, title and interest therein or otherwise as Assignee may direct;
- 4. Warrant that I have not knowingly conveyed to others any rights in said inventions, discoveries, applications or patents or any license to use the same or to make, use or sell anything embodying or utilizing any of said inventions or discoveries; and that I have good right to assign the same to Assignee without encumbrance;
- Bind my heirs, legal representatives and assigns, as well as myself, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to me or them, all acts reasonable serving to assure that said inventions and discoveries, said patent applications and said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by me, my heirs, legal representatives and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to me relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples and other physical exhibits in my control or in the control of my heirs, legal representatives or assigns which may be useful for establishing any facts of my conceptions, disclosures, and reduction to practice of said inventions or discoveries.

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IN WITNESS WHEREOF:  DATE I SIGNED INVENTOR'S DECLARATION: October 22 1997
无部治平.  KOHEI ABE (SIGNATURE)  Date: October 22, 1997
Witnessed:  (PRINTED NAME) SHOJI NOMURA  (SIGNATURE) S. Domuno
KOICHI TANAKA Koichi Tanaka

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**RECORDED: 09/21/1998** 

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PATENT REEL: 9470 FRAME: 0763