

09-29-1998



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FORM PTO-1596
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U.S. DEPARTMENT OF COMMERCE

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Docket No.: 50229-136

PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereto:

9-24-98
MCD

1. Name of Conveying Parties:

Peter A. CROOKS and Linda P. DWOSKIN

Additional name(s) of conveying party(ies) attached? Yes

3. Nature of Conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other

Execution Date: September 2, 1998

2. Name and address of receiving party:

Name: The University of Kentucky Research Foundation

Address: Room A144

ASTeCC Building

Lexington, Kentucky 40506-0286

Additional name(s) & address(es) attached? Yes

4. Application number(s) or patent number(s):

If the document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.

09/089,420, filed June 3, 1998

B. Patent No(s).

Additional numbers attached? Yes

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: MCDERMOTT, WILL & EMERY

Internal Address:

Street Address: 99 Canal Center Plaza, Suite 300

City: Alexandria State: VA Zip: 22314

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number:

500417

09/20/1998 JSH/DAZZ 00000254 500417 09009420

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DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

James H. Meadows, 33,965

September 24, 1998

Name and Registration No. of Person Signing

Signature

Date

Total number of pages comprising cover sheet: 1

CMB No. 0851-0011 (exp. 4/94)

ASSIGNMENT

WHEREAS WE, Peter A. Crooks, and Linda P. Dwoskin of Lexington, KY, respectively, have made a certain new and useful invention as set forth in an application for United States Letters Patent, entitled **USE OF LOBELINE COMPOUNDS IN THE TREATMENT OF CENTRAL NERVOUS SYSTEM DISEASES AND PATHOLOGIES**, executed by us on the date of execution of this document, as shown below, and filed concurrently herewith;

OR

for which an application for United States Letters Patent was filed on June 3, 1998, and identified by United States Serial No. 09/089,420;

AND WHEREAS, The University of Kentucky Research Foundation, a corporation of the State of Kentucky and having an address of Room A144, ASTeCC Building, Lexington, KY 40506-0286 is desirous of acquiring the entire right, title and interest in and to said invention and in and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, We do hereby sell, assign, transfer and set over unto The University of Kentucky Research Foundation, its legal representatives, successors, and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned application, including any continuations, continuations-in-part, divisions, reissues, re-examinations or extensions thereof, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, We hereby agree with the said assignee that We will not execute any writing or do any act whatsoever conflicting with these presents, and that We will, at any time upon request, without further or additional consideration but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuations, continuations-in-part, re-examined, reissued, or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or chooses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of assignor and assignee;

AND We request the Commissioner of Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said University of Kentucky Research Foundation, its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in and to said patent and the invention covered thereby.

September 2nd, 1998
Date

Peter A Crooks
Name: Peter A. Crooks

September 2nd, 1998
Date

Linda P. Dwoskin
Name: Linda P. Dwoskin

Date

Name:

Date

Name:

STATE OF Kentucky)
) SS:
COUNTY OF Fayette)

On this 2nd day of September, 1998, before me personally appeared Peter A. Crooks, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

SEAL

Cathy Ann Goetz
Notary Public
My commission expires January 23 2001

STATE OF Kentucky)
) SS:
COUNTY OF Fayette)

On this 2nd day of September, 1998, before me personally appeared Linda P. Dwoskin, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

SEAL

Cathy Ann Goetz
Notary Public
My commission expires January 23, 2001

STATE OF _____)
) SS:
COUNTY OF _____)