

09-29-1998



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Docket No. 49853-B-PCT-US/JPW/EMW

1998 SEP 21 PM 2:47

FORM PTO-1595 (Substitute)

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U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

(Rev. 11-95)

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Gary K. Schwartz and Anthony P. Albino

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

9-21-98

2. Name and address of receiving party(ies):

Sloan-Kettering Institute for
Name: Cancer Research

Internal Address: _____

Street Address: 1275 York Avenue

City/State/Zip: New York, New York 10021

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Execution Date(s): September 1, 1998 & September
3, 1998Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date(s) of the application is(are): _____

A. Patent Application No.(s) 09/137,442

Filed on August 20, 1998

B. Patent No.(s) _____

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John P. White, Esq.

Internal Address: _____

Street Address: Cooper & Dunham LLP

1185 Avenue of the Americas

City/State/Zip: New York, New York 10036

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR §3.41): \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

03-3125

09/28/1998 JSW:RAZZ 00000139 033125 09137442

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Albert Wai-Kit Chan

Name of Person Signing

Signature

September 16, 1998

Date

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Date

Total Number of pages including cover sheet, attachments and document: 3

OMB No 0651-0011 (exp 4/94)

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

PATENT
REEL: 9474 FRAME: 0263

Assignment

In consideration of One Dollar (\$1.00). and other good and valuable considerations, the receipt of which is hereby acknowledged. We, the undersigned.

Gary K. Schwartz and Anthony P. Albino residing at 11 Hidden Oak Road,
Briarcliff Manor, New York 10510, U.S.A. and 215 West 92nd Street,
New York, New York 10025, U.S.A., respectively

Hereby sell, assign and transfer to Sloan-Kettering Institute for Cancer Research
a corporation of the State of New York
having a place of business at 1275 York Avenue
in the County of New York *and State of* New York 10021, U.S.A.
its successors, assigns and legal representatives, the entire right, title and interest for all
countries, in and to any and all inventions which are disclosed and claimed, and any and all
inventions which are disclosed but not claimed, in the application for United States Patent,
which has been executed by the undersigned on September 1, 1998 *and is entitled*
and September 3, 1998

COMBINATIONS OF PKC INHIBITORS AND THERAPEUTIC AGENTS FOR TREATING
CANCERS

(U.S. Serial No. 09/137,442 filed August 20, 1998, a continuation
application of PCT International Application No. PCT/US97/03341,
filed February 20, 1997 claiming priority of U.S. Serial No.
08/619,304, filed March 21, 1996, now abandoned, which is a
continuation-in-part of U.S. Serial No. 08/603,814, filed February 20, 1996)

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all
other applications for U.S. Letters Patent or other related property rights in any and all
foreign countries which have been or shall be filed on any of said inventions disclosed in said
application; and in and to all original and reissued patents or related foreign documents which
have been or shall be issued on said inventions;

Authorize and request the Commissioner of Patents of the United States to issue to said
Assignee, the corporation above named, their successors, assigns and legal representatives, in
accordance with this assignment, any and all United States Letters Patent on said inventions
or any of them disclosed in said application;

Agree that said Assignee may apply for and receive foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned or the undersigned's executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: 9/1/98 19

Witness: Valerie Watts
790 MADISON ST 2L
BROOKLYN NY 11221

Valerie Watts

Gary K. Schwartz [L.S.]
Gary K. Schwartz

Date: 9/3/98 19

Witness: H. Elizabeth Appel
22 Spruce St.
Lake Peekskill, NY 10537

Anthony P. Albino [L.S.]
Anthony P. Albino