

09-29-1998

Attorney Docket No: 4952.US.P3

Form PTO-1595

1-31-92



100840674

COVER SHEET  
ONLY

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

1998-SEP-21-FIL 2-55

OPR/FINANCE

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies) Execution Date

Leonard Katz May 15, 1998  
 Diane L. Stassi May 15, 1998  
 Richard G. Summers, Jr. May 20, 1998  
 Xiaolan Ruan May 15, 1998  
 Ana-Pereda-Lopez May 15, 1998  
 Stephan J. Kakavas May 19, 1998

Additional name(s) of conveying party(ies) attached ☐ yes ☒ no

## 3. Nature of Conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: See above dates

## 2. Name and address of receiving party(ies):

Name: Abbott Laboratories  
 Internal Address: Patent and Trademark Department  
 D377, AP6D

City Abbott Park State Illinois ZIP 60064-3500

Additional name(s) and address(es) attached ☐ yes ☒ no

## 4. Application number(s) or patent number(s):

TITLE: NOVEL POLYKETIDE DERIVATIVES AND RECOMBINANT METHODS FOR MAKING SAME

If this document is being filed together with a new application, the execution date of the application is:

## A. Patent Application No.(s) and Filing Date(s)

Serial No.: 09/078,166

Filed: May 13, 1998

## B. Patent No.(s)

Additional numbers attached ☐ yes ☒ no

## 5. Name and address of party or whom correspondence concerning document should be mailed:

Name: Steven F. Weinstock  
 Internal Address: Patent and Trademark Department  
 D377, AP6D  
 Street Address: Abbott Laboratories  
 100 Abbott Park Road  
 City: Abbott Park State: Illinois ZIP: 60064-3500

## 6. Total number of applications and patents involved:

1

## 7. Total Fee (37 CFR 3.41): ..... \$40.00

☐ Enclosed  
☒ Authorized to charge to deposit account

## 8. Deposit account number:

01-0025

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Dianne Casuto Reg. No. 40,943  
 Name of Person Signing

Signature

Date

09/28/1998 JSWBAZZ 00000150 010025 09078166 Total number of pages comprising cover sheet, attachments and document

4

01 FC:581

40.00 CH

## Certificate of Mailing Under 37 C.F.R. 1.8(a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Patent Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to:

Commissioner of Patents and Trademarks  
 Box Assignments  
 Washington, D.C. 20231

Signature

Date

PATENT  
 REEL: 9474 FRAME: 0312

Serial No: 09/078,166

Filed: May 13, 1998

Case No. 4952.US.P3

### ASSIGNMENT

For One Dollar (\$1.00) and other valuable consideration received from Abbott Laboratories, a corporation organized and existing under the laws of the State of Illinois and having an office and place of business at Abbott Park, County of Lake, State of Illinois, United States of America, Leonard Katz, 375 Plum Creek Drive, Wheeling, IL 60090; Diane L. Stassi, 1883 Elmwood Drive, Highland Park, IL 60035; Richard G. Summers, Jr., 117 E. Harris, Appleton WI 54911; Xiaoan Ruan, 29734 North Environ Circle, Lake Bluff, IL 60044; Ana Pereda-Lopez, 1033 Concord Circle, Mundelein, IL 60060; and Stephen J. Kakavas, 1441 Johnson Drive, Apt. #1112, Buffalo Grove, IL 60089, all in the United States of America, inventors and owners of an invention entitled NOVEL POLYKETIDE DERIVATIVES AND RECOMBINANT METHODS FOR MAKING SAME, which has been assigned Attorney's docket number 4952.US.P3, as set forth in the application signed by us, do hereby sell, assign, and transfer unto Abbott Laboratories, the entire right, title and interest, for the United States of America and the Territories thereof and for all foreign countries and territories, in and to said invention (including the above-referenced patent application and any foreign equivalents thereof, any continuation, continuation-in-part, or division thereof, and any patent, reissue, extension or reexamination based thereon); and we do authorize and request the Commissioner of Patents and Trademarks to issue any letters patent issuing on said invention to Abbott Laboratories in accordance herewith; this assignment being under covenant that we have full power to make the same, and also that such assigned right is not encumbered by any grant, license or other right heretofore given, and that we will do any further lawful act desired by this assignee in the prosecution of such application, and any and all other applications, United States or foreign, which may be filed on said invention by us or on our behalf.

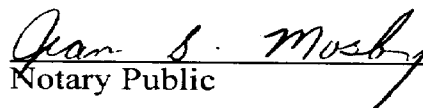
We hereby authorize and request the attorneys appointed by us in said application to record on this assignment the Serial No. and filing date of said application when such are ascertained.

Signed by us on the dates hereinafter set forth.

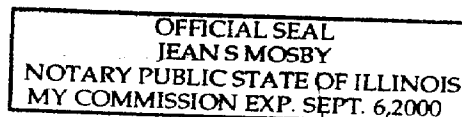
 5/15/98  
Leonard Katz Date

UNITED STATES OF AMERICA )  
STATE OF ILLINOIS ) ss.  
COUNTY OF LAKE )

On May 15, 1998  
before me personally appeared Leonard Katz to me known to be the person named in  
and who executed the foregoing instrument and acknowledged that he executed the  
same as his free act and deed.

  
Notary Public

My Commission Expires: \_\_\_\_\_



**PATENT**  
**REEL: 9474 FRAME: 0313**

Diane L. Stassi 5/15/98  
Diane L. Stassi Date

UNITED STATES OF AMERICA )  
STATE OF ILLINOIS ) ss.  
COUNTY OF LAKE )

On May 15, 1998  
before me personally appeared Diane L. Stassi to me known to be the person named in  
and who executed the foregoing instrument and acknowledged that she executed the  
same as her free act and deed.

Jean S. Mosby  
Notary Public

My Commission Expires: \_\_\_\_\_

OFFICIAL SEAL  
JEAN S MOSBY  
NOTARY PUBLIC STATE OF ILLINOIS  
MY COMMISSION EXP. SEPT. 6, 2000

Richard G. Summers, Jr. 5/20/98  
Richard G. Summers, Jr. Date

UNITED STATES OF AMERICA )  
STATE OF Wisconsin ) ss.  
COUNTY OF Outagamie )

On MAY 20, 1998  
before me personally appeared Richard G. Summers, Jr. to me known to be the person  
named in and who executed the foregoing instrument and acknowledged that he  
executed the same as his free act and deed.

MJ Clements  
Notary Public

My Commission Expires: 9/17/00

Xiaoan Ruan 5/15/98  
Xiaoan Ruan Date

UNITED STATES OF AMERICA )  
STATE OF ILLINOIS ) ss.  
COUNTY OF LAKE )

On May 15, 1998  
before me personally appeared Xiaoan Ruan to me known to be the person named in  
and who executed the foregoing instrument and acknowledged that he executed the  
same as his free act and deed.

Jean S. Mosby  
Notary Public

My Commission Expires: \_\_\_\_\_

OFFICIAL SEAL  
JEAN S MOSBY  
NOTARY PUBLIC STATE OF ILLINOIS  
MY COMMISSION EXP. SEPT. 6,2000

Ana Pereda-Lopez 5/15/98  
Ana Pereda-Lopez Date

UNITED STATES OF AMERICA )  
STATE OF ILLINOIS ) ss.  
COUNTY OF LAKE )

On May 15, 1998  
before me personally appeared Ana Pereda-Lopez to me known to be the person named  
in and who executed the foregoing instrument and acknowledged that she executed the  
same as her free act and deed.

Jean S. Mosby  
Notary Public

My Commission Expires: \_\_\_\_\_

OFFICIAL SEAL  
JEAN S MOSBY  
NOTARY PUBLIC STATE OF ILLINOIS  
COMMISSION EXP. SEPT. 6,2000

Stephen J. Kakavas 5/19/98  
Stephen J. Kakavas Date

UNITED STATES OF AMERICA )  
STATE OF ILLINOIS ) ss.  
COUNTY OF LAKE )

On May 19, 1998  
before me personally appeared Stephen J. Kakavas to me known to be the person  
named in and who executed the foregoing instrument and acknowledged that he  
executed the same as his free act and deed.

Jean S. Mosby  
Notary Public

My Commission Expires: \_\_\_\_\_

OFFICIAL SEAL  
JEAN S MOSBY  
NOTARY PUBLIC STATE OF ILLINOIS  
MY COMMISSION EXP. SEPT. 6,2000