



09-24-1998

cket No. E0295/7073 MBL

100837359
PATENTS ONLY

ER SHEET

FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Edgar J. St. Pierre, Alton Gill, Madhav Mutalik and
John WallaceAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger

9-17-98

☐ Security Agreement☐ Change of Name☐ Other _____

Execution Date: August 26, 1998

2. Name and address of receiving party(ies)

Name: EMC Corporation

Internal Address:

Street Address: 171 South Street
Hopkinton, MA 01748-9103Additional name(s) & addresses(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No.(s)

09/107,742

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence
Concerning document should be mailed:

Name: Matthew B. Lowrie

Address: WOLF, GREENFIELD & SACKS, P.C.
Federal Reserve Plaza
600 Atlantic Avenue
Boston, MA 02210

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

The Commissioner is authorized to charge:

8. Deposit Account No: 23/2825

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true
copy of the original document.

Matthew B. Lowrie

Signature

Date

Total number of pages including cover sheet, attachments, and document: [9]

Mail documents to be recorded with required cover sheet information to:

ASSIGNMENT

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, we the undersigned Edgar J. St. Pierre, 1510 Highland Avenue, Fall River, MA 02720; Alton Gill 79 Brown Road, Harvard, MA 01451; Madhav Mutalik, 115 Colburn Street, Northboro, MA 01532; and John Wallace, 22 baron Road, Franklin, MA 02038 hereby

Sell, assign and transfer to EMC Corporation, a Massachusetts corporation having a place of business at 171 South Street, Hopkinton, MA 01748-9103, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent filed in the United States Patent and Trademark Office on June 30, 1998 under Serial No. 09/107,742 and entitled METHOD AND APPARATUS FOR BACKING UP DATA BEFORE UPDATING THE DATA AND FOR RESTORING FROM THE BACKUPS, and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

We agree that said Assignee may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, we agree to carry out in good faith the intent and purpose of this assignment, by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts known to us relating to said inventions and the history thereof, and generally by doing everything possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee.

We hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said Assignee.

We covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

8/26/98
Date

Edgar J. St. Pierre
EDGAR J. ST. PIERRE

STATE OF Massachusetts :
COUNTY OF Norfolk :

Subscribed and sworn to before me this 26th day of August 1998.

SEAL

Roxana M Waters
Notary Public

My Commission Expires Feb 19, 2004

09-26-98
Date

Alton Gill
ALTON GILL

STATE OF Massachusetts :
COUNTY OF Norfolk :

Subscribed and sworn to before me this 26th day of August 1998.

SEAL

Roxana M Waters
Notary Public

My Commission Expires Feb 19, 2004

8/26/98
Date

Madhav Mutalik
MADHAV MUTALIK

STATE OF Massachusetts :
COUNTY OF Norfolk :

Subscribed and sworn to before me this 26th day of August 1998.

SEAL

Linda M. Waters
Notary Public

My Commission Expires Feb 19, 2004

08/26/98
Date

John Wallace
JOHN WALLACE

STATE OF Massachusetts :
COUNTY OF Norfolk :

Subscribed and sworn to before me this 26th day of August 1998.

SEAL

Linda M. Waters
Notary Public

My Commission Expires Feb 19, 2004