

09-29-1998

COVER SHEET
FILE

U.S. DEPARTMENT OF COMMERCE
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To the Honorable Commiss

100840569

Send the attached original documents or copy thereof.

1. Name of conveying party(ies):

a) Lipo, Thomas A.
b) Sinha, Gautam

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: Wisconsin Alumni Research Foundation

Internal Address: _____

Street Address: 614 Walnut Street

City: Madison State: WI ZIP: 53705

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:

9-21-98

- Assignment Merger
- Security Agreement Change of Name
- Other _____

Execution Date: a) 09/03/98 b) : 08/21/98

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/098,118 Filed 06/16/98

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Wisconsin Alumni Research Foundation

Internal Address: _____

Street Address: 614 Walnut Street

City: Madison State: WI ZIP: 53705

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41).....\$ 40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

23-2545

(Attach duplicate copy of this page if paying by deposit account)

09/28/1998 JBN:WAZZ 00000134 232343 09098118

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Leslie Rector

Name of Person Signing

Leslie Rector
Signature

9/14/98

Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 9475 FRAME: 0826

ASSIGNMENT
(Joint Inventors)

I, one of the persons identified below as an "Inventor," have made solely or jointly with others a certain new and useful invention (the "Invention"), as set forth in an application for United States Letters Patent, bearing substantially the following title and bearing the following serial number. The application was executed by me, and it was filed on the indicated date:

Title: DC BUS VOLTAGE BALANCING AND CONTROL IN MULTI-LEVEL
INVERTERS

Serial Number: 09/098118

Date Filed: 6/16/98

The assignee under this agreement is hereby authorized to insert the filing date and serial number referred to above, when ascertained.

For good and valuable consideration, I do hereby sell, assign, and transfer to the Wisconsin Alumni Research Foundation (hereinafter "WARF") a non-stock, non-profit Wisconsin corporation, and to its legal representatives, successors, and assigns, my entire right, title and interest in and to the Invention and to all United States and foreign patent applications any of whose claims cover all or part of the Invention, together with all patents resulting from such applications. WARF shall hold such right, title, and interest as fully and completely as they would have been held by me had this assignment and sale not been made.

I agree, upon WARF's request, to execute or assent to such United States and foreign patent applications and to execute all separate assignments and other legal documents that WARF may find necessary or desirable in its exercise of the right, title, and interest assigned above. I also agree to communicate to WARF any facts relating to the Invention or to any of the patent applications or patents contemplated above that may be useful to WARF and to testify as to such facts in any interferences or in litigation, if requested to do so. I shall do all these things without additional compensation but at no expense to me.

I hereby request the Commissioner of Patents to issue to WARF, as the owner of my entire right, title, and interest therein, any Letters Patent of the United States that may be issued for the Invention.

REF: P98109US
WISC. ALUMNI RESEARCH FOUND.

PATENT
REEL: 9475 FRAME: 0827

ASSIGNMENT
WISC. ALUMNI RESEARCH FOUND.
REF: P98109US

09/098,118 Filed: 06/16/98

Inventor: *Gautam Sinha*
Gautam Sinha
218 Shaker Run
Albany, NY 12205

Date: 8/21/98

State of New York)
County of Schuyler) ss.

On this 21st day of August, 1998, before me personally appeared Gautam Sinha, known to me to be the person identified above as the "Inventor," who executed the above instrument and acknowledged to me that he or she executed the same for the uses and purposes therein set forth.

ALMA ALEXANDER
Notary Public, State of New York
No. 6000700
Qualified in Schenectady County
Commission Expires December 22, 1999

SEAL *Alma Alexander*
Notary Public

My Commission expires: Dec 22, 1999