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U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

Docket No.: 50229-119	ZE OLIER	
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereto:		
1. Name of Conveying Party:	2. Name and address of receiving party:	
David M. KAETZEL	Name: The University of Kentucky Research Foundation	
	Address: A144	
Additional name(s) of conveying party(ies) attached? [Yes	ASTeCC Building	
3. Nature of Conveyance:	Lexington, Kentucky 40506-0286	
☐ Security Agreement ☐ Change of Name		
Other		
Execution Date: August 7, 1998	Additional name(s) & address(es) attached? Yes	
4. Application number(s) or patent number(s):		
If the document is being filed together with a new application, the execution date of the application is:		
A. Patent Application No(s).	B. Patent No(s).	
09/090,381, filed June 4, 1998		
Additional numbers attached? Yes		
5. Name and address of party to whom correspondence	6. Total number of applications and patents involved:	
concerning document should be mailed:		
Name: MCDERMOTT, WILL & EMERY	7. Total fee (37 CFR 3.41) \$40.00	
Internal Address:	☐ Enclosed	
	Authorized to be charged to deposit account	
Street Address: 99 Canal Center Plaza, Suite 300	8. Deposit account number:	
	500417	
City: Alexandria State: VA Zip; 22314		
DO NOT USE THIS SPACE		
9. Statement and signature.		
To the best of my knowledge and belief, the foregoing info	ormation is true and correct and any attached copy is a true copy	
of the original document.	•	
James H. Meadows, 33,965	If Madour September 18, 1998	
Name and Registration No. of Person Signing	Signature Date	
	Total number of pages comprising cover sheet: 1	
CMB No. 0851-0011 (exp. 4/94)		
	2 2	

PATENT

REEL: 9477 FRAME: 0109

Docket No.: 50229-119 (434-078)

RECORDED: 09/18/1998

ASSIGNMENT

WHEREAS I, David M. Kaetzel of Lexington, Kentucky, have made a certain new and useful invention as set forth in an application for United States Letters Patent, entitled ENHANCED EXPRESSION OF HUMAN PLATELET-DERIVED GROWTH FACTOR IN PICHIA PASTORIS, for which an application for United States Letters Patent was filed on June 4, 1998, and identified by United States Serial No. 09/090,381;

AND WHEREAS, The University of Kentucky Research Foundation, a corporation of the State of <u>Kentucky</u> and having an address of A144, ASTeCC Building, Lexington, KY 40506-0286 is desirous of acquiring the entire right, title and interest in and to said invention and in and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt for and sufficiency of which is hereby acknowledged, I do hereby sell, assign, transfer and set over unto The University of Kentucky Research Foundation, its legal representatives, successors, and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned application, including any continuations, continuations-in-part, divisions, reissues, re-examinations or extensions thereof, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, I hereby agree with the said assignee that I will not execute any writing or do any act whatsoever conflicting with these presents, and that I will, at any time upon request, without further or additional consideration but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuations, continuations-in-part, reexamined, reissued, or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of assignor and assignee;

AND I request the Commissioner of Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said University of Kentucky Research Foundation, its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in and to said patent and the invention covered thereby.

0. 4.5515	s, as the total of the chart right, the and merest in and to that patent and the invention covered thereby.
 Date	David M. Kaetzel
STATE	OF Starficky OF Fayette On this, 1998, before me personally appeared
David N	. Kaetzel, to me known to be the person named/in and who executed the above instrument, and acknowledged to
me that	ne/she executed the same for the uses and purposes therein set forth. When du H. Llill
SEA	L Notary Public My commission expires 7-20-2000

PATENT REEL: 9477 FRAME: 0110