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T U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

Atty Dkt. No. 98 P 7570 US

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Jeffrey GAMBINO

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Execution Date: August 31, 1998

2. Name and address of receiving party(ies)

Name: International Business Machines Corporation

Internal Address: _____

Street Address: _____

City: Armonk State: NY ZIP: 10504Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/105,226

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Elsa Keller

Internal Address: _____

Siemens CorporationIntellectual Property DepartmentStreet Address: 186 Wood Avenue SouthCity: Iselin State: NJ ZIP: 088306. Total number of applications and patents involved: 17. Total Fee (37 CFR 3.41) \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit Account No.

19-2179

09/30/1998 JSHABAZZ 00000060 192179 09105226

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Donald B. Paschburg, Reg. No. 33,753

Name of Person Signing

Signature

September 23, 1998

Date

Total number of pages including cover sheet, attachments, and document: 2PATENT
REEL: 9477 FRAME: 0379

ASSIGNMENT

WHEREAS, I,

Jeffrey GAMBINO
County of

of Gaylordsville,
and State of Connecticut

have invented certain improvements in **SELF-ALIGNED FORMATION AND METHOD FOR SEMICONDUCTORS**

and executed a United States patent application therefor on:
(dates that Inventors signed the declaration)

8/31/ 1998

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire, right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and the invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed
(city and date)

at East Fishkill, NY
on 8/31/ 1998



Jeffrey GAMBINO