

MRD 9-28-98

10-01-1998

Docket No.: 98.25US

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
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P09/REV02



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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents by copy thereof.

1. Name of conveying party(ies):

Timothy Roland Kapsner
Peter Matravers

2. Name and address of receiving party(ies):

Name: **AVEDA CORPORATION**

Internal Address:

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Street Address: **4000 Pheasant Ridge Drive**City: **Blaine** State: **MN** ZIP: **55449**Execution Date: **August 11, 1998**Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/126,460

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Karen A. Lowney, Esq.**Internal Address: **ESTEE LAUDER, INC**Street Address: **125 Pinelawn Road**City: **Melville** State: **NY** ZIP: **11747**

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ **40.00**

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

05-1320

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Karen A. Lowney, Esq. (Reg. No. 31,274)

Name of Person Signing

Signature

09/23/98

Date

Total number of pages including cover sheet, attachments, and document:

6

PATENT
REEL: 9477 FRAME: 0868

ASSIGNMENT

WHEREAS, WE, **TIMOTHY ROLAND KAPSNER AND PETER MATRAVERS** ASSIGNORS, citizens of the United States of America and residing at **5240 Abbott Avenue S., Minneapolis, Minnesota 55410, 4235 Minnesota Lane N., Plymouth, Minnesota 55446-2737** respectively, are the inventors of the invention in **FOAMING HAIR CARE PRODUCT** for which we have executed an application for a Patent of the United States concurrently herewith which is identified by **Docket No. 98.25US** and

WHEREAS, **AVEDA CORPORATION** a Delaware corporation having an office at 4000 Pheasant Ridge Drive, Blaine, Minnesota 55449, ASSIGNEE is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreements in conflict herewith.

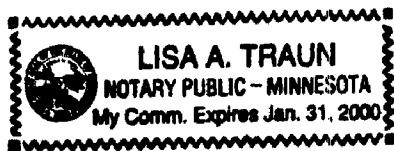
AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date August 11, 1998 Timothy Roland Kapsner L.S.
TIMOTHY ROLAND KAPSNER

State of mn)
) SS:
County of Anoka)

On this 11th day of August, 1998, before me, a Notary Public in and for the State and County aforesaid, personally appeared **TIMOTHY ROLAND KAPSNER**, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

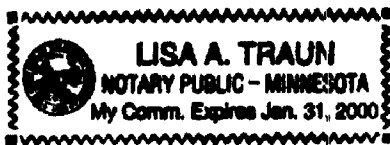


[Signature]
Notary Public.

Date Aug 11, 1998 Peter Matrauers L.S.
PETER MATRAVERS

State of mn)
) SS:
County of Anoka)

On this 11th day of August, 1998, before me, a Notary Public in and for the State and County aforesaid, personally appeared **PETER MATRAVERS**, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.



[Signature]
Notary Public.