

## 10-02-1998



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U.S. Denartment of Commerce

OMB No. 0651-0011 (exp. 4/94)	ISSEN28 AN II: 22 Patent and Trademark Office		
To the Honorable Commissioner of Patents and Trademarks:	Please record the attached original document or copy thereof.		
1. Name of conveying party(ies):  Eric S. Lader  Additional name(s) of conveying party(ies) attached? Yes No  3. Nature of conveyance:  Assignment Merger  Security Agreement Change of Name  Other  Execution Date: September 3, 1998	2. Name and address of receiving party(ies):  Name: AMBION, INC.  Internal Address:  Street Address: 2130 Woodward St., #200  City: Austin State: TX zip: 78704  Additional name(s) & address(es) attached? Yes No		
4. Application number(s) or registration number(s):			
If this document is being filed together with a new application, the execution dat			
A. Patent Application No.(s):	B. Patent No.(s):		
09/127,435			
Additional numbers atta	ached? Yes No		
Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 1		
Name: Mark B. Wilson Arnold, White & Durkee P.O. Box 4433  City: Houston State: Texas Zip: 77210	7. Total fee (37 C.F.R. 3.41) \$\square  \text{40.00}\$\$ Enclosed \$\square \text{Authorized to be charged to deposit account if check insufficient or inadvertently omitted}\$  8. Deposit account number: \frac{01-2508/AMBI:045/WIM}{0.00000000000000000000000000000000000		
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9 Statement and signature.  To the best of my knowledge and belief, the foregoing information is true and co  Mark B. Wilson	rree grif gry granted copy is a trile copy of the original document. 9 23 98		
Name of Person Signing, Reg. No. 37,259	Signature Date		
	Total number of pages including cover sheet, attachments and documents		
Mail documents to be recorded with recorded	marks, Office of Public Records, Washington, D.C. 20231  MAILING 8		
I hereby certify that this correspondence is being deposited with the U.S. Pos addressed to: Commissioner of Patents and Trademarks, Office of Fullic Recorbelow:  September 23, 1998	tal Service with sufficient postage as First Class Mail in an envelop		

A: 175852(3R\_S011.DOC)

PATENT REEL: 9478 FRAME: 0776

## ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, does hereby:

SELL, ASSIGN AND TRANSFER to AMBION, INC. (the "Assignee"), having a place of business at 2130 Woodward Street, #200, Austin, Texas 78704, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. 09/127,435 which has been filed on July 31, 1998 and is entitled "METHODS AND REAGENTS FOR PRESERVING RNA IN CELL AND TISSUE SAMPLES", such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

A: 172894(3P#M01!.DOC)

PATENT REEL: 9478 FRAME: 0777 Signature:

Name: Eric S. Lader

Date: 9/3/98

STATE OF TEXAS

State of Texas

BEFORE ME, the undersigned authority, on this 3rd day of subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Notary Public. State of Texas My Commission Expires

Notary Of Consular Officer

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned

ARNOLD, WHITE & DURKEE

P. O. Box 4433 Houston, Texas 77210

SEPTEMBER 25, 1999

2001 Jefferson Davis Hwy., Ste. 401 Arlington, Virginia 22202

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**RECORDED: 09/28/1998** 

PATENT REEL: 9478 FRAME: 0778