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10-14-1998



**U.S. Department of Commerce Patent and Trademark Office** PATEN\*

100849312

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copsubmission Type    New	e ear_
Assignment   Security Agreement	e ear_
Resubmission (Non-Recordation) Document ID#  Correction of PTO Error Reel # Frame # U.S. Government Agreement Corrective Document Reel # Frame # Departmental File Secret File  Conveying Party(ies)  Mark if additional names of conveying parties attached Execution Date Month Day Y 06-25-98	e ear_
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Second Party Name (line 1)  Execution Date Month Day	
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Name (line 1) RIMC, Inc. If document to be r is an assignment a	nd the
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Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover should, in the Commissioner of Patents and Trademarks, Box Assignments , Washington, D.C. 20231
PATENT Mail documents to be recorded with required cover sheet(s) information to:

FORM PTO-1619B Expires 06/30/99 OMB 0651-0027	Page 2	U.S. Department of Commerce Patent and Trademark Office PATENT
Correspondent Name and	Area Code and Telephone Num	ber 202.261.1000
Name Mary Boney	Denison	
Address (line 1) Farkas & N	Manelli PLLC	
Address (line 2) 2000 M Str	eet, N.W.	
Address (line 3) Suite 700		
Address (line 4) Washington	n, D.C. 20036-3307	
Pages Enter the total including any	number of pages of the attached conveyance dattachments.	ocument # 7
Application Number(s) or	· · ·	lark if additional numbers attached
Enter either the Patent Application Patent Application	Number or the Patent Number (DO NOT ENTER BOTH nur	* * **
07/786,463 08/066,		Patent Number(s) 4,287,086 4,450,095
08/820,498 08/745,	906 08/388,059 4,208,218	4,226,754 4,342,858
08/315,000 08/538,		4,210,572 4,200,565
If this document is being filed together signed by the first named executing in	r with a <u>new</u> Patent Application, enter the date the patent app nventor.	plication was Month Day Year
Patent Cooperation Treaty		
Enter PCT application only if a U.S. Application has not been assign	ation Number PCT PCT	PCT PCT
Number of Properties	Enter the total number of properties involved.	# 76
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Method of Payment: Deposit Account	Enclosed X Deposit Account	]
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Statement and Signature		
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Mary Boney Denison	Many Bones Durson	June 26, 1998
Name of Person Signi	ng Signature	Date

# RECORDATION FORM COVER SHEET CONTINUATION PATENTS ONLY

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08/667,165	08/761,870	08/440,96	1 4,210,571	4,270,9	77 4,475,950
08/554,885	08/697,970	08/813,19	2 4,412,018	4,434,0	76 4,410,364
08/628,368	08/621,932	08/707,56	3 4,517,112	4,386,0	59 4,499,233
08/758,069	08/835,750		4,695,402	4,742,0	98 4,664,820
			4,929,644	5,023,3	09 4,789,706

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		· <u></u>	4,778,84	4,861,4	5,231,147
			5,075,40	5,416,1	158 4,975,119
			5,034,44	5,112,4	5,075,033
			5,180,80	5,130,0	5,349,011
			5,336,64	5,374,6	5,162,600

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			5,164,433	5,336,647	5,264,515
			5,340,390	5,407,477	5,358,562
			5,389,200	5,634,969	5,510,452
			5,536,871	5,425,806	5,429,999

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			5,558,777	5,591,7	96

**PATENT** 

## RELEASE OF CONDITIONAL ASSIGNMENT OF AND SECURITY INTEREST IN PATENT RIGHTS

THIS RELEASE OF CONDITIONAL ASSIGNMENT OF AND SECURITY INTEREST IN PATENT RIGHTS ("Release"), effective as of January 30, 1998, is made by The Chase Manhattan Bank, a New York banking corporation with offices at 270 Park Avenue, New York, New York 10017 ("Chase").

#### WITNESSETH:

WHEREAS, Rheox, Inc. (presently known as NL Capital Corporation), a Delaware corporation with offices at Wyckoffs Mill Road, Hightstown, NJ 08520 (Rheox) and Chase, as agent for each of the Lenders (as defined in the Security Agreement) are parties to a Credit Agreement dated January 30, 1997 (as amended from time to time, the "Credit Agreement"), and to an Amended and Restated Security Agreement dated January 30, 1997 (as amended from time to time, the "Security Agreement") in which Rheox granted a security interest in its Patent Collateral (as defined in the Security Agreement) in favor of Chase, including without limitation a security interest in that United States patents listed on Schedule A attached hereto (the "Patents"), to secure all Secured Obligations (as defined in the Security Agreement) of Rheox;

WHEREAS, Chase executed and delivered to Rheox a Release of Conditional Assignment of and Security Interest in Patent Rights dated December 29, 1997;

WHEREAS, as required by Section 6.12(a) of the Credit Agreement, RIMC, Inc. (the Company) has executed and delivered a Joinder Agreement, dated as of December 29, 1997, pursuant to which the Company has for all purposes become a Subsidiary Guarantor under the Credit Agreement and the Security Agreement;

WHEREAS, Rheox assigned all its right, title and interest in the Patents to the Company and the Company is now the owner of the Patents and all reissues, divisions, continuations, extensions, renewals and continuations-in-part of that Patents pursuant to the Intellectual Property Assignment and Assumption Agreement between Rheox and the Company dated December 29, 1997 (the "Assignment Agreement");

WHEREAS, in accordance with the terms of the Credit Agreement and the Security Agreement, the Company executed and delivered to Chase a Conditional Assignment of and Security Interest in Patent Rights dated December 29, 1997;

WHEREAS, Chase as agent for each of the Lenders as set forth in the Security Agreement is authorized by the Lenders to release any Lien (as defined in the Credit Agreement) covering property that is subject to a Disposition (as defined in the Credit Agreement) of property permitted under the Credit Agreement;

WHEREAS, pursuant to an Asset Purchase Agreement, dated as of December 29, 1997, by and among NL Industries, Inc., Rheox, Rheox International, Inc., Harrisons and Crosfield plc (presently known as Elementis plc), Harrisons and Crosfield (America) Inc. (presently known as Elementis America Inc.) and Elementis Acquisition 98, Inc. (presently known as Rheox, Inc.) the security interest in the Company's Patent Collateral was the subject of a Disposition;

NOW THEREFORE, as permitted under the Credit Agreement, Chase hereby releases (subject to any future security interest which may exist in favor of Chase in accordance with the terms of the Security Agreement) the security interest in, and reassigns, transfers and conveys to the Company, any and all right, title and interest which Chase has in or to the Patents listed on Schedule A attached hereto, and more particularly the security interest in and to the Patents listed on Schedule A. Chase agrees that it will execute all such further documents as may be requested by the Company to carry out the transactions contemplated hereunder.

IN WITNESS WHEREOF, Chase has cause this release to be duly executed by its duly authorized officer as of the day and year first above written.

THE CHASE MANHATTAN BANK

By: Name:

Title:

STATE OF NEW YORK

SS.:

COUNTY OF NEW YORK )

On this 25 day of June, 1998, before me personally appeared known to me to be the individual who executed the foregoing instrument and who acknowledged to me that he/she executed the same as a duly authorized officer of The Chase Manhattan Bank.

ublic. State of New York lo. 24-5004282 Qualified in Kings County Certificate Filed in New York Co

### SCHEDULE A

## INTELLECTUAL PROPERTY

## U.S. PATENTS

Intellectual property has	been assigned or transferre	d to RIMC, Inc. pursuant to
documentation provided	to Purchaser.	

DOCKET NUMBER	R COUNTRY	PATDATE	PATENT NUMBER	EXPIRES
NL-1636	U. S. A.	23JE1981	4274987	11SE1998
Coupling Agents For				
NL-1644A	U. S. A.	01SE1981	4287086	01SE1998
Viscous Organic Sys	tems Contai			
NL-1644C	U. S. A.	22MY1984	4450095	22MY2001
Organophilic Clay				
NL-1674	U. S. A.	17JE1980	4208218	27MR1998
Improved Viscosity	Increasing			
NL-1678	U. S. A.	07OC1980	4226754	08JE1998
Synthetic Polymer				
NL-1691B	U. S. A.	03AU19 <b>8</b> 2	4342858	03AU1999
Polymeric Polyelecu				
NL-1711	U. S. A.	05AU1980	4216135	27MR1998
Organophilic Clays a				
NL-1718	U. S. A.	01月1980	4210572	29AU1998
Coupling Agents for				
NL-1719	U, S. A.	29AP1980	4200565	29AU1998
Coupling Agents for				
NL_1735	<b>U. S. A.</b>	01JL1980	4210571	28SE1998
Surfactants and Their				
NL-1756	U. S. A.	02JE1981	4270977	01NO1999
Process for Preparing	_			
NL-2033A	U. S. A.	09OC1984	4475950	09OC2001
Printing Ink Compos				
NL-2034	<b>U.</b> S. A.	25OC1983	4412018	17NO2000
Organophilic Clay C	•			
NL-2041	U. S. A.	28FE1984	4434076	19OC2001
Clay-cation Complex				
NL-2043	U. S. A.	18 <b>OC</b> 1983	4410364	19OC2001
Improved Printing In				
NL-2055	U. S. A.	14MY1985	4517112	14MY2002
Modified Organophil				
NL-2056	U. S. A.	31 <b>MY</b> 1983	4386059	07MY2002
Zinc Hydroxy Phospi				
NL-2060	U.S.A.	02FE1985	4499233	03MY2003

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Thermally Stabl	e Thickener			
NL-2068	U. S. A.	22SE1987	4695402	20AU2005
Process for Mar	ufacturing			201102003
NL-2068A	U. S. A.	03MY1988	4742098	22SE2007
Organophilic Cl	ay Gellants &			-
NL-2069	U. S. A.	12MY1987	4664820	28OC2005
Preactivated Org	ganophilic Clay			20002003
NL-2074	U. S. A.	29MY1990	4929644	29 <b>MY</b> 2007
Biocidal Organo	philic Clays			23141 ( 200 )
NL-2079	U. S. A.	11Æ1991	5023309	11JE2008
Water Dispersible	le, Modified		70257	11702006
NL-2113B	U. S. A.	06DE1988	4789706	21AP2006
Narrow Molecul	ar Weight Polyes		4702700	21AF2000
NL-2114	Ŭ. S. A.	18OC1988	4778843	24OC2006
Polyamide Organ		10001,00	4710043	24OC2000
NL-2125	U.S.A.	29AU1989	4861491	02JE2008
Method of Detac			400,471	021E2000
NL-8000A	U. S. A.	27 <b>J</b> L1993	5231147	27Л.2010
Thermosetting P	olyurethane Str			273[20]0
NL-8001	U. S. A.	24DE1991	5075407	10AP2009
Foamable Therm			3073407	10AP2009
NL-8003B	U. S. A.	16 <b>MY</b> 1995	5416158	16) (1/2012
Crosslinked Carb		1010111775	3710136	16MY2012
NL-8004	U. S. A.	04DE1990	4975119	26 4 77000
Liquid Antisettin		04221770	77/3/17	25AP2009
NL-8006A	U. S. A.	23几1991	5034444	06477000
Rheological Addi		2312(77)	3034444	26AU2008
NI_8008	U. S. A.	12MY1992	5112400	00 0000
Clay Thickener fo		120111992	3112400	06MR2010
NL-8009	U. S. A.	24DE1991	5075033	C 477.
Organophilic Clay		24061771	2012033	24DE2008
NL-8010	U.S.A.	19JA1993	5180802	40700
Polyamide Rheolo		17JA1773	3180802	18JL2011
NL-8011	U. S. A.	14JL1992	5130028	10000010
A Method of Tree		14161776	3130028	17SE2010
NL-8016A	U, S. A.	20SE1994	53.40011	222777
Polyamide Ester F		~U3L1774	5349011	20 <b>SE</b> 2011
NL-8017	U. S. A.	09AU1994	£22 <i>66</i> .42	- د ممشقه فر و
Organoclay Comp		V3/AU [374	533 <del>664</del> 7	14NO2011
NL-8020A	U. S. A.	20DE1994	6774697	A0770
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NL-8021	U. S. A.	10NO1992	5162600	28DE2010
Method of Treatir	_			
NL-8023	Ŭ. S. A.	29SE1992	5151155	<b>09MY2011</b>
Process for Deink				
NL-8023A	U. S. A.	09AU1994	53363 <i>7</i> 2	29SE2009
Process for Deink				
NL-8024A	U. S. A.	09AU1994	5336733	09 <b>AU</b> 2011
High Performance	<del>-</del>			
NL-8028	U. S. A.	17NO1992	5164433	17 <b>AP2</b> 011
Rheological Agent	ts and Thicken			
NL-8029	Ú. S. A.	09AU1994	5336647	14NO2011
Organoclay Comp	ositions Prepar			
NL-8031	U. S. A.	23NO1993	5264515	24DE2008
Moisture Curable	Compositions			
NL-8034	Ü. S. A.	23AU1994	5340390	29OC2012
Rheological Addit	ive Comprisin			
NL-8039	U. Š. A.	18AP1995	5407477	16AU2013
Thixotropic Organ	noclay Additiv			
NL-8042	U. S. A.	25OC1994	535 <b>85</b> 62	08JL2013
Use of finely groun	nd Organocia			
NL-8044	U. S. A.	14FE1995	5389200	29SE2009
Process for remov	ing inorganic			
NL-8048A	U. S. A.	03JE1997	5634969	10FE2015
Quat/Fatty Acid C	ompositions a			
NL-8049	U. S. A.	23AP1996	5510452	11Л.2015
Pourable Liquid R	heological ad			
NL-8049A	Ŭ. S. A.	16JL1996	5536871	11Л.2014
Pourzble Liquid Ri	heological ad			• • • • • • • • • • • • • • • • • • • •
NL-8050	Ŭ. S. A.	20JE1995	5425806	12MY2014
A Pourable Water	Dispersible			
NL-8055	U. S. A.	04JL1995	5429999	09AU2011
Organoclay Compo	ositions Contai			.,
L-8058	U. S. A	24SE1996	5558777	13AP2015
Oil Spill Flocculati	·		<b>-</b>	20.22013
NL-8081	Ü. S. A.	07JA1997	5591796	02OC2015
Anti-rub Ink Addit	- · <del>-</del> ·	<u> </u>		

See also Schedule 5.1.12(a), which includes a description of a jointly-owned patent.

**PATENT** 

## U.S. PATENT APPLICATIONS

DOCKET NUMBER	APP DATE	APP. NO.
NL_8020	04NO1991	07/786463
Antisettling agents for aqu	eou.	
NL-8036	07MY1993	08/066773
organoclay thickener comp		
NL-8043	21 <b>JA</b> 1994	08/184526
Epoxy, polysulfide and cya		
NL-8048(C)	18MR1997	08/820498
Process For Producing Or		
NL-8048B	04NO1996	08/745906
Novel Quaternary Ammon		
NL-8051	10FE1996	08/388059
Process for Making Quats	Using	
NL-8052	29SE1994	08/315000
High performance alkali-sv		
NL-8052A	03OC1995	0 <b>8</b> /53 <b>86</b> 65
Water Retention Additives	for	
NL_8053	28AU1995	08/520317
Process for the removal of		
NL-8058A	20JE1996	08/667165
Oil Spill Flocculating Ager	nt a	
NL-8061	09DE1996	08/761870
Liquid Rheological Additiv	/CS	
NL-8068	15MY1995	08/440961
Oil well drilling fluids, oil		
NL-8070	07NO1995	08/554885
Super Dispersible Solid As	soci	
NL-8079	03SE1996	O8/697970
Pumpable Organophilic Cla	<b>ay/P</b> oi	
NL-8080	07MR1997	08/813,192
Urethane-Acrylic Thickeni	ng Co	
NL-8084	05AP1996	08/628368
Biopolymer/Oil Suspension	n Co <del>mp</del>	
NL-8087	26MOR 1996	08/621932
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NL-8092	04SE1996	08/707563
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Anti-Abrasion Ink Additives an

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### FARKAS & MANELLI PLLC ATTORNEYS

September 25, 1998

By Hand

U.S. Patent and Trademark Office Assignment Division Box Assignments, CG-4 1213 Jefferson Davis Highway, Suite 320 Washington, D.C. 20231

Re:

Correction of PTO Error in Non-Recordation

of Document Id No. 100757853

Dear Sir:

Enclosed please find the following:

- 1. Notice of Non-Recordation of Document;
- Recordation Form Cover Sheet Patents Only; and 2.
- Release of Conditional Assignment of and Security Interest in Patent Rights ("Release").

The PTO mistakenly rejected the above-referenced Release by attaching an unrelated patent application number (09/105210), stating that the Release was rejected for recordation because it did not reference 09/105210, and crediting the \$3040 check sent with the Release to the unrelated patent application 09/105210.

At the instruction of Ms. Jacqueline Moore of the Assignment Division, we have removed the 09/105210 stickers from the Recordation Form Cover Sheet. A new recordation cover sheet is not being submitted because there is no information to correct. The original recordation cover sheet is accurate.

By separate letter we requested that Ms. Moore transfer the \$3040 (mistakenly credited by the PTO to 09/105210) to 07/786,463 and the other numbers listed in our assignment cover sheet. Ms. Moore confirmed transfer of the money by facsimile dated today. See attached correspondence.

U.S. Patent and Trademark Office Assignment Division September 25, 1998

Page 2

We expect the Release to be accorded its original filing date of June 26, 1998.

Please telephone me immediately at 202.261.1044 if you have any questions.

Very truly yours,

Mary Borley Denison

**Enclosures** 



PTAS

## UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

SEPTEMBER 17, 1998

FARKAS & MANELLI PLLC MARY BONEY DENISON 2000 M. STREET, N.W. SUITE 700 WASHINGTON, D.C. 20036-3307 \*100757853A\*

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF NON-RECORDATION OF DOCUMENT

DOCUMENT ID NO.: 100757853

THE ENCLOSED DOCUMENT HAS BEEN EXAMINED AND FOUND NON-RECORDABLE BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. THE REASON(S) FOR NON-RECORDATION ARE STATED BELOW. DOCUMENTS BEING RESUBMITTED FOR RECORDATION MUST BE ACCOMPANIED BY A NEW COVER SHEET REFLECTING THE CORRECT INFORMATION TO BE RECORDED AND THE DOCUMENT ID NUMBER REFERENCED ABOVE.

THE ORIGINAL DATE OF FILING OF THIS ASSIGNMENT DOCUMENT WILL BE MAINTAINED IF RESUBMITTED WITH THE APPROPRIATE CORRECTION(S) WITHIN 30 DAYS FROM THE DATE OF THIS NOTICE AS OUTLINED UNDER 37 CFR 3.51. THE RESUBMITTED DOCUMENT MUST INCLUDE A STAMP WITH THE OFFICIAL DATE OF RECEIPT UNDER 37 CFR 3. APPLICANTS MAY USE THE CERTIFIED PROCEDURES UNDER 37 CFR 1.8 OR 1.10 FOR RESUBMISSION OF THE RETURNED PAPERS, IF THEY DESIRE TO HAVE THE BENEFIT OF THE DATE OF DEPOSIT IN THE UNITED STATES POSTAL SERVICE.

SEND DOCUMENTS TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

1. THERE IS A SERIAL NUMBER ASSIGNED TO THIS COVER SHEET (09/105210) BY THE APPLICATION DIVISION, BUT IT IS NOT MENTIONED IN YOUR SCHEDULE OF NUMBERS. IF THIS IS AN ERROR, PLEASE CONTACT APPLICATION DIVISION AT 703-308-3617 FOR VERIFCATION OF THIS NEWLY ASSIGNED SERIAL NUMBER. WHEN RESPONDING, RETURN ALL THE ATTACHED PAPERS INCLUDING THIS NON RECORDATION NOTICE.

DIANE RUSSELE, PARALEGAL

ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

2000 M Street, N.W. 7th Floor Tel 202.261.1000 Washington, D.C. 20036-3307 Fax 202.887.0336

## FARKAS & MANELLI PLLC ATTORNEYS

September 24, 1998

Via Facsimile 703.308.7124

Ms. Jacqueline Moore
U.S. Patent and Trademark Office
Assignment Division
Box Assignments, CG-4
1213 Jefferson Davis Highway, Suite 320
Washington, D.C. 20231

Re: <u>Document Id No. 100757853</u>

Dear Ms. Moore:

Thank you very much for your assistance this afternoon. As discussed, the PTO has mistakenly rejected the above-referenced document.

We hereby request that you transfer the \$3040 (mistakenly credited by the PTO to 09/105210) to 07/786,463 and the other numbers listed in our assignment cover sheet.

As you instructed, we will mail in the assignment with cover sheet after removing the stickers for 09/105210 which were inadvertently attached to our assignment documents.

Please telephone me immediately at 202.261.1044 if you have any questions.

Best regards.

**RECORDED: 06/26/1998** 

Very truly yours,

Mary Boney Denison