

10-02-1998

Form PTO-1595



Docket No. CROSS-1280

R SHEET

MRD 9.23.98

100843097

To the Honorable Commissioner for Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of Conveying party(ies):

Stephen K. Wilson
807 Theresa Avenue
Austin, Texas 78703

Citizenship: United States of America

Additional name(s) of conveying party(ies)
attached? ___ Yes : X No

2. Name and address of receiving Party(ies)

Name: Crossroads Systems, Inc.
9390 Research Blvd., Suite II-300
Austin, Texas 78759

State of Incorporation: Texas

Additional name(s) & address(es) attached?
___ Yes X No

1c551 U.S. PTO

09/159163

09/23/98

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of name
☐ Other _____

Execution Date: September 16, 1998

4. Application number(s) or patent number(s): _____

If this document is being filed together with a new application, the execution date of the application is: September 23, 1998

A. Patent Application No.(s) _____ filed on _____

B. Patent No.(s)

Additional numbers attached? Yes : X No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name : Steven R. Sprinkle
GRAY CARY WARE & FREIDENRICH
100 Congress Avenue, Suite 1440
Austin, Texas 78701

6. Total number of Applications and patents involved: 17. Total fee (37 CFR 3.41)....\$ 40.00 E8. X Enclosed

9. **Authorized to charge the recordation fee or any underpayment to deposit account No. 50-0456.**
A duplicate copy of this page is attached.

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Steven R. Sprinkle
Registration No. 40,825

September 23, 1998
Date

Total number of pages including cover sheet, attachments, and document: 3

/1998 TTDH11 00000414 09159163

:581

40.00 OP

AU4006013.1
103671-991280

PATENT
REEL: 9480 FRAME: 0749

ASSIGNMENT

This Assignment is made by Stephen K. Wilson of Austin, Texas (Assignor”).

WHEREAS, Assignor has invented a new and useful invention entitled SYSTEM AND METHOD FOR PROVIDING A PROXY FARP FOR LEGACY STORAGE DEVICES, for which an application for United States Letters Patent is made, said application having been executed on the date set forth below; and

WHEREAS, Assignor believes himself to be the original inventor of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, the parties desire to have a recordable instrument assigning the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said inventions in the United States and throughout the world;


NOW, THEREFORE, in accordance with the obligations to assign the invention and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor(s) sell(s), assign(s), and transfer(s) to CROSSROADS SYSTEMS, INC. hereinafter referred to as “Assignee”), the entire right, title, and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties, or otherwise.

Assignor agrees that, upon request and without further compensation, but at no expense to Assignor, he and his legal representatives and assign(s) will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignor represents and warrants that he has not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignor authorizes and requests the Assistant Commissioner for Patents of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignor has executed this Assignment on the date(s) provided below.

Full name of inventor:	Stephen K. Wilson
Inventor's signature:	
Date:	<u>9-16-98</u>
Residence (City, County, State)	Austin, Travis County, Texas
Citizenship:	United States of America
Post Office Address:	807 Theresa Avenue Austin, Texas 78703