

10-05-1998



Document ID No. 100760642
Attorney Docket No.: 81396/0252082

100844390

To the Assistant Commissioner for Patents. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): **1998 SEP 23 PM 2:51**

Kim Maynard Aaron

OPR/FINANCE

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Global Aerospace Corporation
P. O. Box 93305
Pasadena, CA 91109-3305

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date 6/26/98

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 09/106563

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Steven W. Smyrski, Esq.
PILLSBURY MADISON & SUTRO LLP
725 South Figueroa Street, Suite 1200
Los Angeles, CA 90017-5443

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed [previously submitted]

☐ Authorized to be charged to deposit account

8. Deposit account number:

16-1805

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

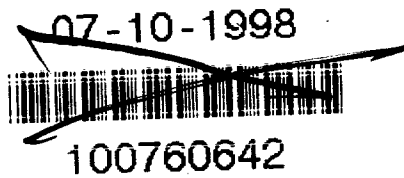
To the best of my knowledge and belief, the foregoing is true and correct and the attached is the original document.

Date: September 23, 1998

Steven W. Smyrski

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:
Assistant Commissioner for Patents
Box Assignments
Washington, D.C. 20231



Case Docket No. 81396-0252082
Express Mail Label No. EL073550349US

Jc549 U.S. PTO
09/106563
06/29/98

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)
Kim Maynard Aaron)
Serial No:)
Filed: June 29, 1998)
For: BALLOON TRAJECTORY CONTROL SYSTEM)

Art Unit:
Examiner:

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Dear Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): **Kim Maynard Aaron**
For: **BALLOON TRAJECTORY CONTROL SYSTEM**

Enclosed are:

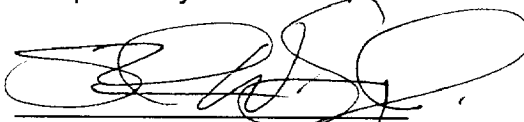
- ☒ A copy of the application.
- ☒ An assignment of the invention to Global Aerospace Corporation.
- ☐ An associate power of attorney.
- ☒ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27.
- ☒ Declaration and Power of Attorney.
- ☐ Certified copy of U.S. Patent Application No. filed May 29, 1998 from which priority is claimed under 35 USC §119.
- ☐ IDS enclosed. ☐ with references.
- ☐ Preliminary Amendment

CALCULATION OF FEES					
ITEM		NO. OF CLAIMS FILED MINUS BASE*	NO. OF CLAIMS OVER BASE	X SM/LG ENTITY FEE	\$ AMOUNT
A	TOTAL CLAIMS FEE	26 - 20* =	6	X \$11 X \$22	\$66
B	INDEPENDENT CLAIMS FEE**	5 - 3* =	2	X \$41 or X \$2	\$82
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)				\$148
D	MULTIPLE-DEPENDENT CLAIMS FEE				SMALL ENTITY FEE = \$135 LARGE ENTITY FEE = \$270 \$135

E	BASIC FEE*	SMALL ENTITY FEE = \$395 LARGE ENTITY FEE = \$790	\$395
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)		\$678
F	ASSIGNMENT RECORDING FEE	\$ 40	\$
	**LIST INDEPENDENT CLAIMS 1, 9, 13, 14, 15		

- ☐ Please charge my Deposit Account No. the amount of \$ **A copy of this letter is enclosed.**
- ☒ A check in the amount of \$678 to cover the filing fee is enclosed.
- ☒ A check in the amount of \$40 to cover Assignment Recordation fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 16-1805.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No.
- ☐ Any patent application processing fees under 37 CFR 1.17.
- ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
- ☐ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,


 Steven W. Smyrski
 Reg. No. 38,312

Date: June 29, 1998

PILLSBURY MADISON & SUTRO LLP
 725 South Figueroa Street, Suite 1200
 Los Angeles, CA 90017-5443
 Telephone: (213) 488-7100
 Facsimile: (213) 629-1033

Please return signed/recorded to:
Pillsbury Madison & Sutro LLP
Intellectual Property Group
Suite 1200
725 S. Figueroa Street
Los Angeles, CA 90017-5443

Atty. Dkt. PMS 252082

M#

Client Ref.

ASSIGNMENT
of U.S. Origin Patent Application
(to Corporation)
(or Limited Partnership)

WHEREAS, the undersigned, to wit:

1) Kim Maynard Aaron

2) _____

3) _____

4) _____

(hereinafter collectively ASSIGNOR), has/have made an invention known as Dkt. _____

and entitled: Balloon Trajectory Control System

for which an application for Letters Patent of the United States

☒ was executed even date herewith and is about to be filed in the United States Patent and Trademark Office;

☐ was filed on _____, Appln. No. _____ / _____;

AND WHEREAS Global Aerospace Corporation (hereinafter ASSIGNEE), ☒ a corporation ☐ Limited Partnership duly organized and existing under the laws of the State of California and having its principal office and place of business at P.O. Box 93305, Pasadena, CA 91109-3305, desires to acquire an interest therein;

NOW, THEREFORE, in consideration of Ten Dollars (\$10.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the said ASSIGNOR, does hereby sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right, title and interest to the said invention in the United States and all foreign countries, as described in the aforesaid application, and to the said application and to all continuations, divisions, reissues and substitutes of said application, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and ASSIGNOR hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives.

AND ASSIGNOR hereby agrees to execute any papers requested by ASSIGNEE, its successors, assigns and legal representatives, deemed essential to ASSIGNEE's full protection and title in and to the invention hereby transferred.

ASSIGNOR furthermore agrees upon request of said ASSIGNEE, and without further remuneration, to execute any and all papers desired by said ASSIGNEE for the filing and granting of foreign applications and the perfecting of title thereto in said ASSIGNEE.

NOTE: The undersigned hereby authorizes Pillsbury Madison & Sutro LLP of the above address to insert hereon any further identification necessary or desirable for recordation of this document.

Executed on the date(s) below indicated.

<u>Signature</u>	<u>Date Signed</u>	<u>Witness</u>
1) <u>Kim Aaron</u> Name: Kim Maynard Aaron	<u>6/26/98</u>	<u>Barbara Haplin</u>
2) _____ Name: _____	_____	_____
3) _____ Name: _____	_____	_____
4) _____ Name: _____	_____	_____