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COVER SHEET

U.S. DEPARTMENT OF COMMERCE  
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

RENSELAER POLYTECHNIC INSTITUTE

*MLO 10-5-98*

Additional names(s) of conveying party(ies)

Yes  No

3. Nature of conveyance:

Assignment

Merger

Security Agreement

Change of Name

Other

Execution Date: **September 28, 1998**

2. Name and address of receiving party(ies):

Name: **Research Corporation Technologies, Inc.**

Address: **101 North Wilmot, Suite 600**

City: **Tucson**

State/Prov.: **AZ**

Country: **USA**

ZIP: **85711-3335**

Additional name(s) & address(es)

Yes  No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

09/101,649

July 14, 1998

Additional numbers

Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Philip E. Hansen, Heslin & Rothenberg, P.C.**

Registration No. **32,700**

Address: **5 Columbia Circle**

City: **Albany**

State/Prov.: **NY**

Country: **USA**

ZIP: **12203**

6. Total number of applications and patents involved:

**1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

Enclosed - Any excess or insufficiency should be credited or debited to deposit account

Authorized to be charged to deposit account

8. Deposit account number:

**08-1935**

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**Philip E. Hansen**

*Philip E. Hansen*

October 1998

Name of Person Signing

Signature

**3**

Total number of pages including cover sheet, attachments, and

**ASSIGNMENT OF PATENT APPLICATION**

**"INVENTOR(S)"** mean KAY C. DEE, THOMAS T. ANDERSEN and RENA BIZIOS.

**"INVENTION"** means PEPTIDES FOR ALTERING OSTEOBLAST ADHESION.

**"APPLICATION"** means PCT Application Serial No. PCT/US97/00716, filed January 15, 1997, which is now 09/101,649, filed July 14, 1998.

**"ASSIGNOR"** means Rensselaer Polytechnic Institute, Troy, New York 12180.

**"RCT"** means Research Corporation Technologies, Inc., a Delaware corporation at 101 North Wilmot, Suite 600, Tucson, Arizona 85711-3335.

1. The INVENTORS made the INVENTION. Each INVENTOR has assigned, or has caused to be assigned, his or her rights, title and interest in the INVENTION and APPLICATION to the ASSIGNOR, as evidenced by Assignments filed for recording in the U.S. Patent and Trademark Office.

2. RCT and the ASSIGNOR are parties to an agreement under which RCT has evaluated and is now attempting to commercialize the INVENTION. As provided in that agreement, RCT is obligated to pay to the ASSIGNOR or ASSIGNOR's designee a share of the revenues generated by the INVENTION.

3. In furtherance of its obligation to RCT, the ASSIGNOR wishes to assign its rights, title and interest in the INVENTION and APPLICATION to RCT.

4. The ASSIGNOR hereby assigns to RCT its entire right, title and interest in:

(a) the INVENTION;

(b) the APPLICATION, all foreign and all U.S. national phase applications and all divisional and continuation, in whole and in part, applications based on the APPLICATION;

(c) all patents resulting from the APPLICATION and applications described in (b); and

(d) all reissues, extensions, renewals and reexaminations of the patents described in (c).

5. This assignment shall allow RCT to file the applications described in paragraph 4 in its own name (but naming the INVENTORS as the inventors) in all countries of the world (where it is permissible) and the right to claim the benefit of the priority right provided by the International Convention of 1883, as amended.

6. The ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States of America to issue to RCT all U.S. patents described in paragraph 4 that may be granted.

