FORM PTO-1595 (Modified) (Rev. 6-93) OMB No. 0651-0011 (exp.4/94) Copyright 1996-97 LegalStar P08A/REV02

Name of Person Signing

10-02-1998



<u>VER SHEET</u>

INLY

U.S. DEPARTMENT OF COMMERCE

Docket No.: 0094.026B

Patent and Trademark Office

Tab settings → → → ▼ 100845	3029	V	* •	
To the Honorable Commissioner of Patents and	Frademarks: Please record the at	tached original documer	its or copy thereof.	
1. Name of conveying party(ies): RENSSELAER POLYTECHNIC INSTITUTE ML9 10-5-98		Name and address of receiving party(ies): Name: Research Corporation Technologies, Inc. Address: 101 North Wilmot, Suite 600		
41/Le 10-3-48				
	Address: 101 N	orth williot, Suite 600		
Additional names(s) of conveying party(ies)	s 🛛 No			
3. Nature of conveyance:				
∆ Assignment				
☐ Security Agreement ☐ Change of	of Name City: Tucson	State	e/Prov.: AZ	
☐ Other			85711-3335	
	Oddniry	ΖΠ .		
Execution Date: September 28, 1998	Additional name(s) & a	address(es)	Yes 🖾 No	
4. Application number(s) or registration numbers(s)	:			
If this document is being filed together with a new	v application, the execution date o	of the application is:		
Patent Application No. Filing date	B. Patent I	B. Patent No.(s)		
00/101 (40				
09/101,649 July 14, 1998				
Addit	ional numbers			
Name and address of party to whom correspond concerning document should be mailed:	ence 6. Total number of	6. Total number of applications and patents involved:		
Name: Philip E. Hansen, Heslin & Rothenberg, I	2.C. 7. Total fee (37 CF	R 3.41):\$ 4	0.00	
Registration No. 32,700	· ·	☑ Enclosed - Any excess or insufficiency should be credited or debited to deposit account		
Address: 5 Columbia Circle				
Address. 5 Columbia Chelo	———— □ Authorized t			
	7,14(10)1204			
	8. Deposit account	: number:	2	
City: Albany State/Prov.:	NY 08-1935	!	\$ \$	
Country: USA ZIP: 12203			910160	
	DO NOT USE THIS SPACE			
			6) 100000	
 Statement and signature. To the best of my knowledge and belief, the fore 	aning information is true and corr	`	•	
of the original document.	Wall 3 A	sol and any adached co	i is a trace copy	
Philip E. Hansen	they I Ham	October	Z 1998	

Signature

Total number of pages including cover sheet, attachments, and

PATENT REEL: 9481 FRAME: 0980

ASSIGNMENT OF PATENT APPLICATION

- "INVENTOR(S)" mean KAY C. DEE, THOMAS T. ANDERSEN and RENA BIZIOS.
- "INVENTION" means PEPTIDES FOR ALTERING OSTEOBLAST ADHESION.
- "APPLICATION" means PCT Application Serial No. PCT/US97/00716, filed January 15, 1997, which is now 09/101,649, filed July 14, 1998.
- "ASSIGNOR" means Rensselaer Polytechnic Institute, Troy, New York 12180.
- "RCT" means Research Corporation Technologies, Inc., a Delaware corporation at 101 North Wilmot, Suite 600, Tucson, Arizona 85711-3335.
- 1. The INVENTORS made the INVENTION. Each INVENTOR has assigned, or has caused to be assigned, his or her rights, title and interest in the INVENTION and APPLICATION to the ASSIGNOR, as evidenced by Assignments filed for recording in the U.S. Patent and Trademark Office.
- 2. RCT and the ASSIGNOR are parties to an agreement under which RCT has evaluated and is now attempting to commercialize the INVENTION. As provided in that agreement, RCT is obligated to pay to the ASSIGNOR or ASSIGNOR's designee a share of the revenues generated by the INVENTION.
- 3. In furtherance of its obligation to RCT, the ASSIGNOR wishes to assign its rights, title and interest in the INVENTION and APPLICATION to RCT.
- 4. The ASSIGNOR hereby assigns to RCT its entire right, title and interest in:
- (a) the INVENTION;
- (b) the APPLICATION, all foreign and all U.S. national phase applications and all divisional and continuation, in whole and in part, applications based on the APPLICATION;
- (c) all patents resulting from the APPLICATION and applications described in (b); and
- (d) all reissues, extensions, renewals and reexaminations of the patents described in (c).
- 5. This assignment shall allow RCT to file the applications described in paragraph 4 in its own name (but naming the INVENTORS as the inventors) in all countries of the world (where it is permissible) and the right to claim the benefit of the priority right provided by the International Convention of 1883, as amended.
- 6. The ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States of America to issue to RCT all U.S. patents described in paragraph 4 that may be granted.

1

- 7. The ASSIGNOR agrees to execute any further lawful documents that RCT might consider necessary to fully protect RCT's interest in the INVENTION and the documents described in paragraph 4.
- 8. The ASSIGNOR covenants that it has not granted any right or license to make, use or sell the INVENTION to anyone.
- 9. The ASSIGNOR also covenants that it has not encumbered its right, title and interest in the INVENTION or APPLICATION, and has not, and will not, sign any document that conflicts with this assignment, except for any rights The Government of the United States of America may have in the INVENTION and APPLICATION by reason of sponsorship of research leading to the making of the INVENTION.

Signature for:

Date SEPTEMBER 28, 1998.

Rensselaer Polytechnic Institute

Charles F. RANGOUNT

DIRECTOR, OF the of TECHNOLOGY COMMERCIALIZATION

STATE OF NEW YORK)

COUNTY OF Rensselges)

On this day of A day of Sept 1998, personally appeared the above named, personally known to me and known by me to be the one who executed the foregoing instrument, and subscribed the same in/my presence, and acknowledged the same to be a free act and deed, before me.

My Commission Expires:

2