

10-06-1998

Docket No.: 0953.060

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
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P08/REV02



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OVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

ONLY

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Brian L. Lawrence
Kevin J. McCallion

2. Name and address of receiving party(ies):

Name: Molecular OptoElectronics Corporation

Internal Address: _____

Street Address: 877 25th StreetCity: Watervliet State: NY ZIP: 12189Additional name(s) & address(es) attached? ☐ Yes ☒ NoAdditional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 9/22/98; 9/22/98

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 9/ /98

A. Patent Application No.(s)

B. Patent No.(s)

10/01/1998 TWILLIAM 00000001 09159012

04 FC:581

40.00 DP

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kevin P. Radigan, Esq.

Internal Address: _____

Street Address: Heslin & Rothenberg, P.C.5 Columbia CircleCity: Albany State: NY ZIP: 122036. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41):.....\$ 40.00☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

08-1935

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kevin P. Radigan - Reg. No. 31,789

Kevin P. Radigan

September 23, 1998

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

PATENT

REEL: 9482 FRAME: 0869

ASSIGNMENT

WHEREAS, We, **Brian L. Lawrence**, a citizen of the United States of America, and **Kevin J. McCallion**, a citizen of the United Kingdom, residing at **85 Harvard Road, Watervliet, New York 12189**, and **30 Buckingham Drive, Albany, New York 12208**, respectively, have invented certain new and useful improvements in **OPTICAL CHANNEL WAVEGUIDE AMPLIFIER** for which We have executed an application for Letters Patent of the United States, of even date herewith; and

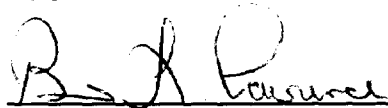
WHEREAS, **Molecular OptoElectronics Corporation** having offices at **877 25th Street, Watervliet, New York 12189**, is desirous of obtaining the entire right, title and interest in, to and under the said improvements and the said application;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, We the said **Brian L. Lawrence** and **Kevin J. McCallion** have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said **Molecular OptoElectronics Corporation**, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and We hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said **Molecular OptoElectronics Corporation**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that We have full right to convey the entire interest herein assigned, and that We have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that We will communicate to the said **Molecular OptoElectronics Corporation**, its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said **Molecular OptoElectronics Corporation**, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

22ND IN TESTIMONY WHEREOF, I hereunto set my hand and seal this
day of September, 1998.

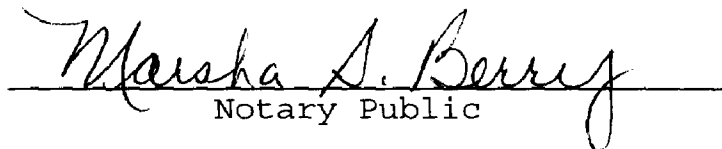


Brian L. Lawrence

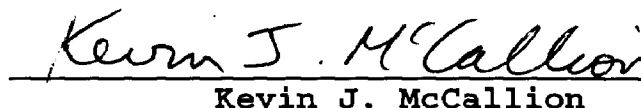
STATE OF NEW YORK)
) ss.:
COUNTY OF ALBANY)

On this 22nd day of September, 1998, before me personally
came BRIAN L. LAWRENCE, to me known and known to me to be the
person of that name, who signed and sealed the foregoing
instrument, and he acknowledged the same to be his free act and
deed.

MARSHA S. BERRY
Notary Public, State of New York
No. 01BE6010380
Qualified in Saratoga County
Commission Expires July 20, 2000

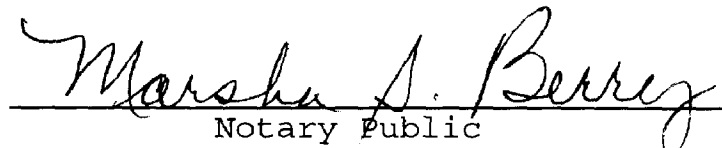

Notary Public

22nd IN TESTIMONY WHEREOF, I hereunto set my hand and seal this
day of September, 1998.


Kevin J. McCallion

STATE OF NEW YORK)
) ss.:
COUNTY OF ALBANY)

On this 22nd day of September, 1998, before me personally
came KEVIN J. MCCALLION, to me known and known to me to be the
person of that name, who signed and sealed the foregoing
instrument, and he acknowledged the same to be his free act and
deed.


Notary Public

MARSHA S. BERRY
Notary Public, State of New York
No. 01BE6010380
Qualified in Saratoga County
Commission Expires July 20, 2000