

10-06-1998

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DOCKET NO. 100-23,304

FORM PTO 1595  
1-31-92

Signature

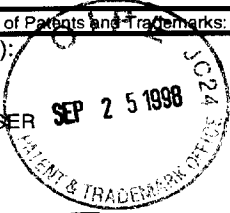
# RECORDATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

JAMES PATRICK LYONS  
 VLATKO (NMN) VLATKOVIC  
 ALBERT ANDREAS MARIA ESSER  
 YIFAN (NMN) ZHAO  
 FEI FRED WANG, SR.



## 2. Name and address of receiving party(ies):

Name: General Electric Company

Internal Address: \_\_\_\_\_

Street Address: One River RoadCity: Schenectady State: NY ZIP: 12345Additional name(s) & address(es) attached? ☐ Yes ☒ NoAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: Sep 15, 1998 Sep 17, 1998  
Sep 18, 1998 Sep 22, 1998

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

Sep 15, 1998 Sep 17, 1998 Sep 18, 1998 Sep 22, 1998

A. Patent Application No.(s)  
09/108,044

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: General Electric Company

Internal Address: CRD Patent Docket Rm. 4A59  
Bldg. K-1, Salamone  
P.O. Box 8

Street Address: One Research CircleCity: Schenectady State: NY ZIP: 123016. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41.....)\$40.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account

## 8. Deposit account number:

07-0868

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

ANN M. AGOSTI

Name of Person Signing

Signature

9/22/98Total number of pages including cover sheet, attachments and document 4

OMB No. 0651-0011 (exp 4/94)

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Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
 Box Assignments  
 Washington, D.C. 20231

# ASSIGNMENT

Docket Number  
RD-25,964

In consideration of One Dollar and Other good and valuable consideration, of which I acknowledge receipt, I (we)

JAMES PATRICK LYONS	of NISKAYUNA, NY
VLATKO (NMN) VLATKOVIC	of SCHENECTADY, NY
PAUL MARTIN ESPELAGE	of SALEM, VA
ALBERT ANDREAS MARIA ESSER	of DELAFIELD, WI
YIFAN (NMN) ZHAO	of NISKAYUNA, NY
FEI FRED WANG, Sr.	of BLACKSBURG, VA
	of
	of
	of
	of
	of
	of
	of
	of
	of

sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in:

FIVE LEVEL HIGH POWER MOTOR DRIVE CONVERTER AND CONTROL SYSTEM

invented by me (us), as described in the application for United States patent

- ☐ executed concurrently herewith, or  
☒ U.S. Serial No.: 09/108,044, filed 06/30/98

and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patents on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I will communicate to said Company or its representatives or nominees any facts known to me respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

9/15/98  
Date

JAMES PATRICK LYONS  
JAMES PATRICK LYONS

State of NEW YORK) SS:

County of SCHENECTADY)

This 15th day of SEPTEMBER, 1998, before me personally came the

above-named JAMES PATRICK LYONS to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

VIVIAN D. BRANDON

Seal  
Notary Public in the State of New York  
Qualified in Albany County No. 4985535  
My Commission Expires August 19, 1999

(Notary Public)

**ASSIGNMENT**Docket Number  
RD-25,9649/22/98

Date

Vlatko

VLATKO (NMN) VLATKOVIC

State of NEW YORK ) SS:County of SCHENECTADY )This 22nd day of September, 1998, before me personally camethe above-named VLATKO (NMN) VLATKOVIC to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

VIVIAN D. BRANDON

Notary Public in the State of New York  
Qualified in Albany County No. 4985535

My Commission Expires August 19, 1999

Seal

(Notary Public)

9/15/1998

Date

Paul Martin Espelage  
PAUL MARTIN ESPELAGEState of VIRGINIA ) SS:County of Roanoke )This 17th day of September, 1998, before me personally camethe above-named PAUL MARTIN ESPELAGE to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

Barbara H Jones  
Comm Exp 6/30/2002  
(Notary Public)9/18/1998

Date

Albert Andreas Maria Esser  
ALBERT ANDREAS MARIA ESSERState of WISCONSIN ) SS:County of Daukeasha )This 18th day of September, 1998, before me personally camethe above-named ALBERT ANDREAS MARIA ESSER to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

Marlene J Bloom  
(Notary Public) July 31, 2000

**ASSIGNMENT**Docket Number  
RD-25,9649/15/98

Date

Yifan Zhao

YIFAN (NMN) ZHAO

State of NEW YORK ) SS:County of SCHENECTADY )This 15<sup>th</sup> day of SEPTEMBER, 1998, before me personally camethe above-named YIFAN (NMN) ZHAO to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

VIVIAN D. BRANDON  
Notary Public in the State of New York  
Qualified in Albany County No. 4985535  
My Commission Expires August 19, 1999

(Notary Public)

9/17/98

Date

Fei Fred Wang Sr.

FEI FRED WANG, Sr.

State of VIRGINIA ) SS:County of Lounake )This 17<sup>th</sup> day of September, 1998, before me personally camethe above-named FEI FRED WANG, Sr. to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

Barbara H Jones  
Comm Exp 6/30/2002

Date

State of \_\_\_\_\_ ) SS:

County of \_\_\_\_\_ )

This \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_, before me personally came

the above-named \_\_\_\_\_ to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)