

10-06-1998

U.S. DEPARTMENT OF COMMERCE
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Docket No. 235/099

R



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To the Honorable Commissioner of Patents and Trade

100843635

1. Name of conveying party(ies):

Peng Cho Tang, Li Sun, and Gerald McMahon

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date May 20, 1998

2. Name and address of receiving party:

Name: **Sugen, Inc.**

Internal Address: 351 Galveston Drive, Redwood City, CA 94063-4720

Street Address: 351 Galveston Drive, Redwood City, CA 94063-4720

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.

B. Patent No.

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard J. Warburg, Esq. Lyon & Lyon LLP

Internal Address: 633 W. Fifth Street, Ste 4700

City: Los Angeles State: California ZIP 90071 -2066

Street Address: 633 W. Fifth Street, Ste 4700

City: Los Angeles State: California ZIP 90071 -2066

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):\$ 40.00 **E**

☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit Account Number: **12-2475**

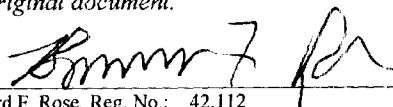
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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.


Bernard F. Rose, Reg. No.: 42,112

Date: September ~~24~~ ²⁵, 1998

Total number of pages comprising cover sheet: 2

OMB No. 0651-0011 (exp. 4/94)

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10/01/1998 JWS:JMS 09/16/98 40.00 00

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ASSIGNMENT

WHEREAS, I, **PENG CHO TANG**, a citizen of the United States of America, residing at 827 Camino Ricardo, Moraga, California 94556; **LI SUN** , a citizen of China, residing at 64 Rockharbor Lane, Foster City, California 94404; and **GERALD MCMAHON**, a citizen of United States of America, residing at 1800 Schultz Road, Kenwood, California 95452, . have invented a new and useful invention, titled **“NOVEL 3-(SUBSTITUTED)-2-INDOLINONES COMPOUNDS AND USE THEREOF AS INHIBITORS OF PROTEIN TYROSINE KINASE ACTIVITY”** for which we have filed application papers for United States Letters Patent thereon under attorney docket number 235/098; and

WHEREAS **SUGEN, INC.**, a Delaware corporation, having a place of business at 351 Galveston Drive, Redwood City, California 94063, is desirous of acquiring the exclusive right, title and interest in and to said invention and in to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and all countries foreign thereto;

NOW THEREFORE for a valuable consideration, the receipt of which is hereby acknowledged, we, **PENG C. TANG, LI SUN, and GERALD McMAHON**, do hereby sell, assign, transfer and set over unto the said **SUGEN, INC.** its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all Letters Patents to be granted and issued therefore, not only form to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention for the Protection of Industrial property for every member country, and all applications for patents heretofore or hereafter filed for said invention in any foreign countries and all patents (including all extensions, renewals and reissues thereof) granted for said invention in any foreign countries; and we hereby authorize and request the Commissioner of Patents and Trademarks, and any officials of foreign countries whose duty it is to issue patents on applications as aforesaid, to issue all patents for said invention to **SUGEN, INC.**, its successors and assigns, in accordance with this Assignment.

IN TESTIMONY WHEREOF, I hereunto set my hand this 20th day of May,

1998

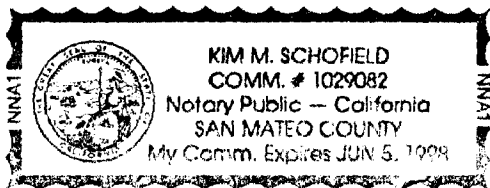


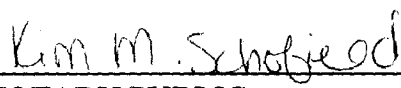
PENG CHO TANG

STATE OF CALIFORNIA)
) ss.
COUNTY OF SAN MATEO)

On this 20th day of May, 1998, before me, a Notary Public, State of California,
duly commissioned and sworn, personally appeared **PENG CHO TANG** known to me to be the
person whose name is subscribed to in the within instrument and acknowledged to me that he
executed the same.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official
seal in Redwood City, California the day and year first above written.





NOTARY PUBLIC

IN TESTIMONY WHEREOF, I hereunto set my hand this 20th day of May,

1998



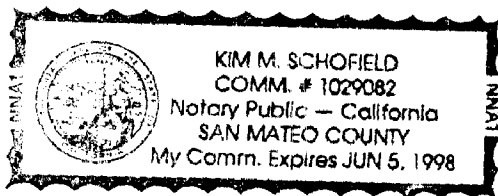
LI SUN

STATE OF CALIFORNIA)
) ss.
COUNTY OF SAN MATEO)

On this 20th day of May, 1998, before me, a Notary Public, State of California,

duly commissioned and sworn, personally appeared **LI SUN** known to me to be the person
whose name is subscribed to in the within instrument and acknowledged to me that he executed
the same.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official
seal in Redwood City, California the day and year first above written.





NOTARY PUBLIC

IN TESTIMONY WHEREOF, I hereunto set my hand this 20th day of May,

1998

GERALD McMAHON

STATE OF CALIFORNIA)
) ss.
COUNTY OF SAN MATEO)

On this 20th day of May, 1998, before me, a Notary Public, State of California,

duly commissioned and sworn, personally appeared **GERALD McMAHON** known to me to be the person whose name is subscribed to in the within instrument and acknowledged to me that he executed the same.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal in Redwood City, California the day and year first above written.



Kim Schefield
NOTARY PUBLIC