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09/051259

Attorney Docket No. 15258-321

FORM PTO-1595 (Rev. 6-93)

MRO 4-3-98

100847036

SHEET

Ad

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

To the Honorable Asst. Commissioner for Patents. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Andreas Vogel; Joachim Schmied

2. Name and address of receiving party(ies):

Name: Sulzer Turbo AG

Internal Address:

Street Address: Hardstrasse 319

City: Zuerich State: Switzerland ZIP: CH-8023

Additional name(s) of conveying party(ies) attached?  Yes  No

Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other:

Execution Date: December 24, 1997; January 7, 1998

4. Application number(s) or patent number(s). 09/051259

If this document is being filed together with a new application, the execution date of the application is: December 24, 1997; January 7, 1998

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: J. Georg Seka  
TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, California 94111-3834  
(415) 576-0200

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):..... \$ 40.00

- Enclosed  Charge Fees to Deposit Account
- Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account

8. Deposit account number: 20-1430

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

J. Georg Seka  
Name of Person Signing

*J. Georg Seka*  
Signature

April 3, 1998  
Date

Atty. Reg. No. 24,491

Total number of pages including cover sheet, attachments, and document: 3

10. Change Correspondence Address to that of Part 5?  Yes  No

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PATENT  
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1. Additional name(s) of conveying party(ies):  
(Continued from Page 1)

2. Additional name(s) and address(es) of receiving party(ies):  
(Continued from Page 1)

**Sulzer Pumpen AG of Zuercherstrasse 15, CH-8401 Winterthur, Switzerland**

4. Additional application number(s) or patent number(s):  
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

15258-321.aes

ASSIGNMENT

WHEREAS, Dr. Andreas Vogel and Dr. Joachim Schmied

hereinafter

referred to as ASSIGNOR, has/have invented certain new and useful improvements as described and set forth in the below identified application for United States Letters Patent:

Title: ROTODYNAMIC MACHINE FOR THE FORWARDING OF A FLUID

Filed: \_\_\_\_\_ Serial No. \_\_\_\_\_

Executed on: December 24, 1997; January 7, 1998

WHEREAS, Sulzer Turbo AG, Hardstrasse 319, CH-8023 Zürich / SWITZERLAND  
and Sulzer Pumpen AG, Zürcherstrasse 15, CH-8401 Winterthur / SWITZERLAND

hereinafter referred to as ASSIGNEE, is desirous of acquiring ASSIGNOR'S interest in the said invention and application and in any U.S. Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has/have sold, assigned and transferred, and by these presents does/do sell, assign and transfer unto the said Assignee, and Assignee's successors and assigns, all his/her/their rights, title and interest in and to the said invention and application and all future improvements thereon, and in and to any Letters Patent which may hereafter be granted on the same in the United States, the said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignor had this Assignment and transfer not been made, to the full end and term of any Letters Patent which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor further agrees/agree that he/she/they will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or maintain Letters Patent for said invention and improvement, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignor has/have signed his/her/their name(s) on the date(s) indicated.

Andreas Vogel  
Dr. Andreas Vogel

24.12.97  
Date:

Joachim Schmied  
Dr. Joachim Schmied

7.1.98  
Date:

\_\_\_\_\_  
Date:

\_\_\_\_\_  
Date:

Assignfa.wp