

10-07-1998

FORM PTO-1595  
(Rev. 6-93)  
OMB No. 0651-0011 (Exp. 4/94)

RECORDATION FORM C  
**PATENTS O**



100845228

U.S. DEPARTMENT OF COMMERCE  
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To the honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. 100845228 1172-39

1. Name of conveying party(ies):

Lodewijk Sandkuijl

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Regents of the University of California  
300 Lakeside Drive, 22<sup>nd</sup> Floor  
Oakland, CA 94612-3550

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other:Additional name(s) attached? ☐ Yes ☒ No

Execution Date: July 29, 1998

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

08/976,752

B. Patent No.(s)

Additional numbers attached?

☐ Yes☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Richard L. Neeley, Ph.D.  
Cooley Godward LLP  
Five Palo Alto Square  
3000 El Camino Real  
Palo Alto, CA 94306

6. Total number of application and patents involved: 1

7. Total Fee (37 CFR 3.41).....\$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

10/02/1998 DNGUYEN 00000171 08976752

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8. Deposit account number: 03-3117

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Reg. No.: 30,092 Name of Person Signing: Richard L. Neeley, Ph.D.

Signature:

Date: Sept. 25, 1998

Total number of pages including cover sheet, attachments, and document: 3

Express Mail Label No. EM 570 538 585 US Date of Deposit: September 25, 1998

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Honorable Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231.

Date: 25 Sep 98

By:

# ASSIGNMENT

U.C. Case No. 95-332-3

UCAL-243/02US

For good and valuable consideration, the receipt of which is hereby acknowledged, **Assignor(s)**,

- |                          |                       |
|--------------------------|-----------------------|
| 1. Nelson B. Freimer     | 2. Lodewijk Sandkuijl |
| 3. Victor I. Reus        | 4. Michael Escamilla  |
| 5. Lynne Allison McInnes |                       |

hereby sells, assigns and transfers to **Assignee**, the Regents of the University of California, a California Corporation, having its statewide administrative offices located 300 Lakeside Drive, 22nd Floor, Oakland, CA 94612-3550, and the successors, assigns and legal representatives of the **Assignee** all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

## METHODS FOR TREATING BIPOLAR MOOD DISORDER ASSOCIATED WITH MARKERS ON CHROMOSOME 18q

and which is found in

(c) X U.S. application serial no. 08/976,752, filed on November 24, 1997

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

**Assignor(s)** hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

**Assignor(s)** further covenants that **Assignee** will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to **Assignor** and will testify as to the same in any interference, litigation or proceeding relating thereto and will promptly execute and deliver to **Assignee** or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

And the **Assignor(s)** requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the **Assignee**, the Regents of the University of California.

Executed this

Signature of Inventor(s)

\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_

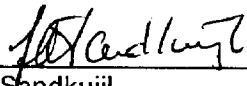
29 day of July, 1998

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Nelson B. Freimer

  
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RECORDED: 09/25/1998

PATENT  
REEL: 9487 FRAME: 0058