

10-07-1998

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FORM PTO-1595 (Substitute)  
(Rev. 8-96)

RECORDATION FORM COVER SHEET  
**PATENTS ONLY**



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To the Honorable Commissioner of Patents and Trademarks. Please record the attached

1. Name of conveying party(ies):  
Charles Gluchowski, Carlos C. Forray,  
George Chiu, Theresa A. Branchek,  
John M. Wetzel and Paul R. Hartig  
Additional name(s) of conveying party(ies) attached?  
 Yes  No

2. Name and address of receiving party(ies):  
Name: Synaptic Pharmaceutical Corporation  
Internal Address: \_\_\_\_\_  
Street Address: 215 College Road  
City/State/Zip: Paramus, New Jersey 07652  
Additional name(s) & address(es) attached?  Yes  No

3. Nature of Conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_  
Execution Date(s): June 12, 15, 17, 1998  
August 24, 1998

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date(s) of the application is(are):  
A. Patent Application No.(s) \_\_\_\_\_  
U.S. Serial No. 08/244,354  
Filed: May 3, 1995

B. Patent No.(s) \_\_\_\_\_  
Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: John P. White  
Internal Address: Cooper & Dunham LLP  
Street Address: 1185 Avenue of the Americas  
City/State/Zip: New York, New York 10036

6. Total number of applications and patents involved: 1  
7. Total fee (37 CFR §3.41): ..... \$ 40.00  
 Enclosed  
 Authorized to be charged to deposit account  
8. Deposit account number:  
03-3125

10/06/1998 JSNBRZZ 00000161 033125 08244354  
01 FC-581 40.00 CH

DO NOT USE THIS SPACE

9. Statement and signature.  
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  
John P. White [Signature] September 28, 1998  
Name of Person Signing Signature Date

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231  
[Signature] September 28, 1998  
John P. White Date  
Reg. No. 28,678

Total Number of pages including cover sheet, attachments and document 7

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

# Assignment

*In consideration of One Dollar (\$1.00), and other good and valuable considerations, the receipt of which is hereby acknowledged, we, the undersigned, Charles Gluchowski residing at 154 Coolspring Court, Danville, California 94506, U.S.A.; Carlos C. Forray residing at 304 Spring Valley Road, Paramus, New Jersey, 07652, U.S.A.; George Chiu residing at 13 Kelly Court, Bridgewater, New Jersey, 08807, U.S.A.; Theresa A. Brancheck residing at 518 Standish Road, Teaneck, New Jersey, 07666, U.S.A.; John M. Wetzel residing at 72B Miles Street, Elmwood Park, New Jersey, 07407, U.S.A.; and Paul R. Hartig residing at 5 Lake Baldwin Drive, Pennington, New Jersey 08534, U.S.A.*

*Hereby sell, assign and transfer to Synaptic Pharmaceutical Corporation  
a corporation of the State of  
Delaware having a place of business at 215 College Road, Paramus,  
in the County of Bergen and State of New Jersey 07652, U.S.A.*

*its successors, assigns and legal representatives, the entire right, title and interest for all countries, in and to any and all inventions which are disclosed and claimed, and any and all inventions which are disclosed but not claimed, in the application for United States Patent, which has been executed by the undersigned on 3/21/97; 3/21/97; 3/21/97; 3/24/97; and 3/24/97; and 3/25/97  
and is entitled*

*USE OF ALPHA-1C SPECIFIC COMPOUNDS TO TREAT BENIGN PROSTATIC HYPERPLASIA  
U.S. Serial No.: 08/244.354, filed May 3, 1995, national stage of PCT  
International Application No. PCT/US93/109590, filed November 12, 1993  
claiming priority of Charles Gluchowski, et al., U.S. Serial No. 07/975,867  
filed November 13, 1992*

*and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for U.S. Letters Patent or other related property rights in any and all foreign countries which have been or shall be filed on any of said inventions disclosed in said application; and in and to all original and reissued patents or related foreign documents which have been or shall be issued on said inventions;*

*Authorize and request the Commissioner of Patents of the United States to issue to said Assignee, the corporation above named, its successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said inventions or any of them disclosed in said application;*

Agree that said Assignee may apply for and receive foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned or the undersigned's executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

**Covenant** with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: 6, 17 1998

Witness: [Signature]

Whitney Smith  
1122 Richmond St.  
El Cerrito, CA 94530

[Signature] [L.S.]  
Charles Gluchowski

Date: \_\_\_\_\_ 19

Witness: \_\_\_\_\_

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\_\_\_\_\_  
[L.S.]  
Carlos C. Forray

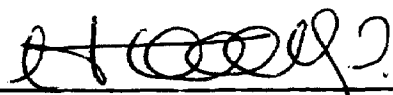
Agree that said Assignee may apply for and receive foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned or the undersigned's executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

**Covenant** with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: \_\_\_\_\_ 19  
Witness: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
Charles Gluchowski [L.S.]

Date: June 15, 1998 19  
Witness: Mary C DiNunzio  
Mary C. DiNunzio  
200 East 72nd St #8C  
New York, NY 10021

  
\_\_\_\_\_  
Carlos C. Forray [L.S.]

George Chiu

[L.S.]

Date: June 12 1998

Witness: John A. Dixon

JOHN A. DIXON

135 CANTERBURY COURT

NEWTOWN, PA 18940

Date: \_\_\_\_\_ 19

Witness: \_\_\_\_\_

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Date: \_\_\_\_\_ 19

Witness: \_\_\_\_\_

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Date: \_\_\_\_\_ 19

Witness: \_\_\_\_\_

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[L.S.]

Theresa A. Branchek

\_\_\_\_\_  
[L.S.]

John M. Wetzel

\_\_\_\_\_  
[L.S.]

Paul R. Hartig

[L.S.]

Date: \_\_\_\_\_ 19

Witness: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

George Chiu

Date: June 15 1998

Witness: Mary C Dinunzio

MARY C DINUNZIO

200 East 72nd St 8C

New York, NY 10021

Theresa A. Branchek [L.S.]

Theresa A. Branchek

Date: June 12, 1998

Witness: Mary C Dinunzio

Mary C Dinunzio

200 East 72nd St. 8C

New York, NY 10021

John M. Wetzel [L.S.]

John M. Wetzel

Date: \_\_\_\_\_ 19

Witness: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
Paul R. Hartig [L.S.]

[L.S.]

Date: \_\_\_\_\_ 19

Witness: \_\_\_\_\_

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Date: \_\_\_\_\_ 19

Witness: \_\_\_\_\_

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Date: \_\_\_\_\_ 19

Witness: \_\_\_\_\_

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Date: 9/24/98 19

Witness: Theresa C. Donaldson

37 E Moravian Church Rd.

Woolwich Twp., NJ 08085

George Chiu

[L.S.]

Theresa A. Branchek

[L.S.]

John M. Wetzel

[L.S.]

  
Paul R. Hartig