

09-25-1998



Atty. Docket No.: 425-698P

Page 1 of 2



100837949

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): <b>Mitsuaki MIYAMOTO, Tatsuya YOSHIUCHI, Keizo SATO,</b> Additional name(s) of conveying party(ies) attached? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	2. Name and address of receiving party(ies) Name: <b>Eisai Co., Ltd.</b> Internal Address: Street Address: <b>6-10, Koishikawa 4-chome</b> City: <b>Bunkyo-ku</b> State: <b>Tokyo</b> ZIP: Country: <b>Japan</b> Postal Code: Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: Execution Date: <b>September 10, 1998</b>	

4. Application number(s) or patent number(s): 09/125,451 **MRP 9.21.98**

If this document is being filed together with a new application, the execution date of the application is: **September 10, 1998**

A. Patent Application No(s). <b>09/125,451</b>	B. Patent No.(s)
---	------------------

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed: Name: <b>BIRCH, STEWART, KOLASCH &amp; BIRCH, LLP</b> Internal Address: Street Address: <b>P.O. BOX 747</b> City: <b>FALLS CHURCH</b> State: <b>VA</b> ZIP: <b>22040-0747</b> Country: <b>USA</b>	6. Total number of applications and patents involved: <b>1</b> 7. Total fee (37 C.F.R. § 3.41): <b>\$40.00</b> <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: <b>02-2448</b> (Attach triplicate copy of this page if paying by deposit account)
--	--

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document*

<u>C. Joseph Faraci, #32,350</u> Name of Person Signing/Reg. No.	 Signature	<u>September 21, 1998</u> Date
---	---------------	-----------------------------------

Total number of pages including cover sheet, attachments, and document: 5

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, DC 20231

09/23/1998 TTRM11 00000104 09125451

01 FC:501

40.00 SP

PATENT  
REEL: 9487 FRAME: 0879

Attachment to Recording of Assignment Transmittal

Continuation of Box 1--Name of conveying party(ies):

Makoto KAINO, Masayuki TANAKA, Motohiro SOEJIMA, Katsuhiko MORIYA, Yoshinori SAKUMA, Koji YAMADA, Kokichi HARADA, Yukio NISHIZAWA, Seiichi KOBAYASHI, Makoto OKITA and Koichi KATAYAMA

Continuation of Box 2--Name and address of receiving party(ies):

Continuation of Box 4--Application number(s) or patent number(s):

ATTORNEY DOCKET NO.

425-698P

## ASSIGNMENT

Application No. 09/125,451

Filed 08-19-98

**Insert Name(s)  
of Inventor(s)**

WHEREAS, Mitsuaki MIYAMOTO, Tatsuya YOSHIUCHI, Keizo SATO,  
Makoto KAINO, Masayuki TANAKA, Motohiro SOEJIMA, Katsuhiko  
MORIYA, Yoshinori SAKUMA, Koji YAMADA, Kokichi HARADA, Yukio  
NISHIZAWA, Seiichi KOBAYASHI, Makoto OKITA and Koichi  
KATAYAMA

(hereinafter designated as the undersigned) has (have) invented certain new and useful improvements in \_\_\_\_\_

**Insert Title  
of Invention**

NITROGEN-CONTAINING TRICYCLIC COMPOUNDS AND DRUGS  
CONTAINING THE SAME

for which an application for Letters Patent of the United States of America has been executed by the undersigned

**Insert Date  
of Signing of  
Application**

on September 10, 1998; and

**Insert Name  
of Assignee**

WHEREAS, Eisai Co., Ltd.

**Insert Address  
of Assignee**

of 6-10, Koishikawa 4-chome, Bunkyo-ku, Tokyo, Japan

its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent(s) that may be granted therefor in the United States of America and

**CHECK BOX  
IF APPROPRIATE**

in any and all foreign countries.

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) to the undersigned in hand paid, the receipt of which is hereby acknowledged, and other good and valuable consideration, the undersigned has (have) sold, assigned and transferred, and by these presents does sell, assign and transfer unto said Assignee the full and exclusive right to the said invention in the United States of America, its territories, dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s) which may be granted therefor in the United States of America, its territories, dependencies and possessions, and if the box above is designated, in any and all foreign countries;

and to any and all divisions, reissues, continuations and extensions thereof for the full term or terms for which the same may be granted.

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation, division or reissue thereof or Letter Patent(s) or reissue patent issued thereon and to cooperate with the Assignee in every way possible in obtaining and producing evidence and proceeding with such interference.

The undersigned agree(s) to execute all papers and documents and to perform any act which may be necessary in connection with claims or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States of America patent(s) or a grant of a valid United States of America and any foreign patent(s) to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorize(s) and request(s) the Patent and Trademark Office Officials in the United States of America and in any foreign countries to issue any and all Letters Patents resulting from said application or any continuing, divisional or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) the full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the law firm of Birch, Stewart, Kolasch & Birch, LLP the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

The undersigned hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date <u>Sep. 10, 1998</u> ,	Name of Inventor <u>Mitsuaki Miyamoto</u> Mitsuaki MIYAMOTO (signature)
Date <u>Sep. 10, 1998</u> ,	Name of Inventor <u>Tatsuya Yoshiuchi</u> Tatsuya YOSHIUCHI (signature)
Date <u>Sep. 10, 1998</u> ,	Name of Inventor <u>Keizo Satoh</u> Keizo SATO (signature)
Date <u>Sep. 10, 1998</u> ,	Name of Inventor <u>MAKOTO KAINO</u> Makoto KAINO (signature)
Date <u>Sep. 10, 1998</u> ,	Name of Inventor <u>Masayuki Tanaka</u> Masayuki TANAKA (signature)
Date <u>Sep. 10, 1998</u> ,	Name of Inventor <u>Motohiro Soejima</u> Motohiro SOEJIMA (signature)
Date <u>Sep. 10, 1998</u> ,	Name of Inventor <u>Katsuhiko Moriya</u> Katsuhiko MORIYA (signature)
Date <u>Sep. 10, 1998</u> ,	Name of Inventor <u>Yoshinori Sakuma</u> Yoshinori SAKUMA (signature)
Date <u>Sep. 10, 1998</u> ,	Name of Inventor <u>Koji Yamada</u> Koji YAMADA (signature)

Date Sep. 10, 1998 , Name of Inventor Koukichi Harada  
Kokichi HARADA (signature)

Date Sep. 10, 1998 , Name of Inventor Yukio Nishizawa  
Yukio NISHIZAWA (signature)

Date Sep. 10, 1998 , Name of Inventor Seiichi Kobayashi  
Seiichi KOBAYASHI (signature)

Date Sep. 10, 1998 , Name of Inventor Makoto Okita  
Makoto OKITA (signature)

Date Sep. 10, 1998 , Name of Inventor Koichi Katayama  
Koichi KATAYAMA (signature)

Date \_\_\_\_\_ , Name of Inventor \_\_\_\_\_  
(signature)

Date \_\_\_\_\_ , Name of Inventor \_\_\_\_\_  
(signature)

Date \_\_\_\_\_ , Name of Inventor \_\_\_\_\_  
(signature)

Date \_\_\_\_\_ , Name of Inventor \_\_\_\_\_  
(signature)

Date \_\_\_\_\_ , Name of Inventor \_\_\_\_\_  
(signature)

Date \_\_\_\_\_ , Name of Inventor \_\_\_\_\_  
(signature)

Date \_\_\_\_\_ , Name of Inventor \_\_\_\_\_  
(signature)