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Patent and Trademark Office

To the Assistant Commissioner for Patents

Documents or copy thereof.

100850476

## 1. Name of conveying party(ies):

Orpac, Inc.

## 2. Name and address of receiving party(ies):

Name: ZYP Coatings, Inc.Internal Address: OPR/FINANCE

Additional name(s) of conveying party(ies) attached? [ ] Yes [X] No

## 3. Nature of conveyance:

[XX] Assignment [ ] Merger  
[ ] Security Agreement [ ] Change of Name  
[ ] Other \_\_\_\_\_

Execution Date: September 30, 1998

Street Address: \_\_\_\_\_

120 Valley CourtCity: Oak Ridge State: TN ZIP: 37830

Additional name(s) &amp; address(es) attached? [ ] Yes [XX] No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

## A. Patent Application No.(s)

## B. Patent No.(s)

5,409,622

Additional numbers attached? [ ] Yes [XX] No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jeffrey N. CutlerInternal Address: Pitts & Brittian, P.C.

Street Address: \_\_\_\_\_

P.O. Box 51295City: Knoxville State: TN ZIP: 37950-1295

## 6. Total number of applications and patents involved:

[01]

7. Total fee (37 CFR 3.41):.....\$ 40.00

[XX] Enclosed

[ ] Authorized to be charged to deposit account

8. Deposit account number: 16-1910

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Jeffrey N. Cutler

Signature

Date

Total number of pages including cover sheet, attachments and document: [02]

# ASSIGNMENT

Orpac, Inc., a Tennessee corporation, domiciled in the County of Anderson, Tennessee, for good and valuable consideration, receipt of which is hereby acknowledged, has assigned and do hereby assign to ZYP Coatings, Inc., a Tennessee corporation, domiciled in the county of Anderson, Tennessee, its successors, assigns, and legal representatives the right, title and interest throughout the world in and to certain subject matter, invented by Lloyd R. Chapman and Cressie E. Holcombe, Jr., and disclosed in U.S. Letters Patent No. 5,409,622, issued on April 25, 1995, entitled:

## **SURFACE LUBRICANT FOR OBJECTS CONTACTING FORMS OF WATER AND METHOD OF PREPARATION**

and in and to all patent and all convention and treaty rights of all kinds, in all countries throughout the world, for all such subject matter. I agree to sign all papers necessary to secure all said patent rights, and request issuance of all said patents to the above-identified assignee in accordance with this assignment.

Cressie E. Holcombe, Jr.  
BY: Cressie E. Holcombe, Jr.  
TITLE: President  
Orpac, Inc.

Date: September 30, 1998

IN THE COUNTY OF KNIX )  
STATE OF TENNESSEE ) ss.:

I hereby certify that before me personally appeared Cressie E. Holcolmbe, Jr., President of Orpac, Inc., having full authority to act on behalf of Orpac, Inc. in the disposition of the intellectual property herein described, personally known by me, who then and there was duly sworn by me, and under oath acknowledged that the foregoing instrument was duly signed, sealed and delivered by him on the date appearing at the foot thereof, all of which took place within my jurisdiction.

September 30, 1998

[Signature]  
NOTARY PUBLIC  
My Commission Expires:

My commission expires **Aug. 1, 2001**

**Attorney's**

**Docket No. 19239**

**Filed or Issued: April 25, 1995**

**For: Surface Lubricant for Objects Contacting Forms of Water and Method of Preparation**

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY**

**STATUS (37 CFR 1.9(F) AND 1.27(c) - SMALL BUSINESS CONCERN**

I hereby declare that I am

**[ ] the owner of the small business concern identified below:**

**[XX] an official of the small business concern empowered to act on behalf of the concern identified below:**

**NAME OF CONCERN** ZYP Coatings, Inc.

**ADDRESS OF CONCERN** 120 Valley Court

Oak Ridge, TN, 37830

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35 United States Code, in that number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties control or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled SURFACE LUBRICANT FOR OBJECTS CONTACTING FORMS OF WATER AND METHOD OF PREPARATION by inventors Lloyd R. Chapman and Cressie E. Holcombe, Jr. described in:

**[ ] the specification filed herewith**

**[ ] application serial number \_\_, filed \_\_**

[XX] patent number 5,409,622, issued April 25, 1995

If the rights held by the above identified small business concern are not exclusive each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). \*NOTE\* Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

**FULL NAME****ADDRESS**

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NON PROFIT ORGANIZATION

**FULL NAME****ADDRESS**

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NON PROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING

**TITLE OF PERSON OTHER THAN OWNER**

ADDRESS OF PERSON SIGNING

**Cressie E. Holcombe, Jr.**

President

440 Sugarwood Drive

Knoxville, TN, 37922

SIGNATURE Cassie E. Holcomb Jr DATE September 30, 1998

## PATENT

**RECORDED: 10/05/1998**

REEL: 9490 FRAME: 0929