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RECC



U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

100846860

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

PAUL J. FLANIGAN  
CURTIS E. JOHNS

Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies)

Name: CARRIER CORPORATION

Internal Address:

INTELLECTUAL PROPERTY DEPARTMENT

Street Address: CARRIER WORLD HEADQUARTERS

ONE CARRIER PLACE BLDG.

City: FARMINGTON State: CT ZIP: 06034

Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other \_\_\_\_\_

Execution Date: AUGUST 31, 1998

4. Application number(s) or patent number(s): 09/146,854

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: CARRIER CORPORATION/WILLIAM HABELT

Internal Address: INTELLECTUAL PROPERTY DEPT.

Street Address: CARRIER PARKWAY

City: SYRACUSE State: NY ZIP: 13221

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

03-0835

(Attach duplicate copy of this page if paying by deposit account)  
(ORDER NO: 417 )

10/07/1998 JSWBAZZ 00000150 030835 09146854

DO NOT USE THIS SPACE

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

COLLEEN S. KUKLINSKI

Name of Person Signing

*Colleen Kuklinski*

Signature

SEPTEMBER 28, 1998

Date

(ATTY DOCKET NO: 9325 ) Total number of pages including cover sheet, attachments, and document: 5

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

PATENT  
REEL: 9492 FRAME: 0570

ASSIGNMENT

IN CONSIDERATION of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, We, being the inventors of a certain invention as fully set forth and described in a patent application entitled **SIPHON PREVENTION IN A COMPRESSOR LUBRICATION SYSTEM** (Carrier Docket No. 9325)

executed by: PAUL J. FLANIGAN  
residing at: 8660 BACKSTRETCH PATH  
CICERO, NEW YORK 13039

on this 31 day of August, 1998,

and by: CURTIS E. JOHNS  
residing at: 606 WALNUT STREET  
FAYETTEVILLE, NEW YORK 13066

on this 31 day of August, 1998, being the owners of all right, title and interest in and to the invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned, do hereby sell, assign, transfer and set over unto CARRIER CORPORATION, a Delaware corporation, with an office and principal place of business at Carrier World Headquarters Building, One Carrier Place, Farmington, Connecticut 06034-4015, its successors, assigns and legal representatives, the entire right, title and interest in and to said invention and application (including all inventions disclosed therein) in and for all countries, and all divisions, reissues, continuations, and extensions thereof. We hereby authorize and request the Commissioner of Patents to issue the said Letters Patent to said CARRIER CORPORATION, as Assignee.

AND we hereby covenant and agree that we shall at any time, upon request, without further compensation, testify in any interference proceedings, execute all divisional, continuing or reissue applications, make all rightful oaths, and generally execute and deliver any and all papers or instruments that may be necessary or desirable to secure to said Assignee the full enjoyment of the rights and properties herein conveyed or intended to be conveyed.

IN WITNESS WHEREOF, we have hereunto set our hand and seal.

Paul J. Flanigan (L.S.)  
PAUL J. FLANIGAN

Date: 8/31/98

Curtis E. Johns (L.S.)  
CURTIS E. JOHNS

Date: 8-31-98

STATE OF NEW YORK )  
  ) SS:  
COUNTY OF ONONDAGA )

On this 31<sup>st</sup> day of AUGUST, 1998, before me the subscriber,  
personally came PAUL J. FLANIGAN, to me known, and known to me to be the person  
described in and who executed the foregoing instrument, and duly acknowledged to me that he  
executed the same as his free act and deed.

David J. Zobkiw  
Notary Public

(seal)

DAVID J. ZOBKIW  
Notary Public in the State of New York  
Qualified in Wyoming County  
My Commission Expires July 31, 1999

STATE OF NEW YORK )  
  ) SS:  
COUNTY OF ONONDAGA )

On this 31<sup>st</sup> day of AUGUST, 1998, before me the subscriber,  
personally came CURTIS E. JOHNS, to me known, and known to me to be the person described  
in and who executed the foregoing instrument, and duly acknowledged to me that he executed  
the same as his free act and deed.

David J. Zobkiw  
Notary Public

(seal)

DAVID J. ZOBKIW  
Notary Public in the State of New York  
Qualified in Wyoming County  
My Commission Expires July 31, 1999

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The patent application referred to in the foregoing instrument was filed in the United States Patent and Trademark Office on September 3, 1998 and was assigned Serial Number 09/146,854.

David J. Zolkiw  
David J. Zolkiw  
Reg. No. 26,627

September 28, 1998  
Date