

10-09-1998

Attorney Docket: YO998-290 (8728-158)

9-28-98



100847498

2 SHEET

09/16/98
09/26/98

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Evan G. Colgan, Kai R. Schleupen
Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies):
Name: International Business Machines Corporation
Armonk, New York 10504

3. Nature of conveyance:
 Assignment Merger
 Security Agreement Change of Name
 Other _____
Execution Date: September 18, 1998 and September 23, 1998

Additional name(s) & address(es) attached:
 Yes No

4. Application number(s) or patent number(s): 09161,915
If this document is being filed together with a new application, the execution date of the application is: September 18, 1998, September 23, 1998

A. Patent Application No. (s)

B. Patent No. (s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:
Name: Frank Chau, Esq.
Street Address: F. CHAU & ASSOCIATES, LLP
1900 Hempstead Turnpike, Suite 501
City: East Meadow State: NY Zip: 11554

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. §3.41): \$40.00
 Enclosed
 Authorized to be charged to deposit account

8. Deposit account number:
50-0510/IBM (Yorktown Heights)
(Attach duplicate copy of this page if paying by deposit account)

10/09/1998 TTON11 00000167 500510 09161915
01 FC:581 40.00 CH

DO NOT USE THIS SPACE

9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Frank Chau
Registration No. 34,136
Name of Person Signing

[Signature]
Signature

9/28/98
Date

Total number of pages including cover sheet, attachments and documents: 2

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:
Assistant Commissioner for Patents
Box Assignments
Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

Assignment

Whereas, I/we

INVENTOR
AND CITY

(1) **Evan George Colgan** of **Chestnut Ridge**
County of **Rockland County** and State of **New York**

INVENTOR
AND CITY

(2) **Kai Richard Schleupen** of **Yorktown Heights**
County of **Westchester County** and State of **New York**

have invented certain improvements in

TITLE

PIXEL CAPACITOR AND METHOD OF FABRICATION

DATES THAT
INVENTORS
SIGNED THE
DECLARATION

and executed, respectively, a United States patent application therefor on (1) September 23, 1998; and (2) September 18, 1998.

Whereas, **INTERNATIONAL BUSINESS MACHINES CORPORATION**, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called **IBM**), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to **IBM**, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said applications to **IBM**, its successors and assigns; and we hereby agree that **IBM** may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by **IBM**.

Signed and sealed

CITY AND
DATE

(1) at Yorktown, N.Y.
on September 23, 1998, *Evan George Colgan* SIGNATURE
(**Evan George Colgan**) INVENTOR
FIRST NAME MIDDLE INITIAL LAST NAME

CITY AND
DATE

(2) at Yorktown, N.Y.
on September 18, 1998, *Kai Richard Schleupen* SIGNATURE
(**Kai Richard Schleupen**) INVENTOR
FIRST NAME MIDDLE INITIAL LAST NAME

State of New York) ss.:
County of Westchester)

(1)

On this 23rd day of September 1998, before me INVENTOR personally came **Evan George Colgan** to me personally known, and known to me to be the person described in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed.

State of New York) ss.:
County of Westchester)

(2)

On this 23rd day of September 1998, before me INVENTOR personally came **Kai Richard Schleupen** to me personally known, and known to me to be the person described in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed.

Sandra M. Emma
Notary

SANDRA M. EMMA
Notary Public, State of New York
No. 01PO4935290
Qualified in Westchester County
Commission Expires July 5, 2000

Sandra M. Emma
Notary

SANDRA M. EMMA
Notary Public, State of New York
No. 01PO4935290
Qualified in Westchester County
Commission Expires July 5, 2000

PATENT