

10-09-1998



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CERTIFICATE OF MAILING

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on Sept. 28, 1998.

By

Malcolm J. Chisholm, Jr.
Malcolm J. Chisholm, Jr., Attorney for Applicant

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: JAMES R.) Group Art Unit: 1762
DUBE) Examiner: DUDASH, D.
Serial No. 08/928,169) Filed: 09/12/97
For: PROCESS FOR FORMATION OF AN)
ELECTRODE ON AN ANION)
EXCHANGE MEMBRANE)

10-2-98

ASSIGNMENT COVER SHEET

Commissioner of Patents and
Trademarks
Box Assignment
Washington, D.C. 20231

Dear Sir:

Please record the enclosed Assignment.

Pursuant to 37 C.F.R. § 3.31, and M.P.E.P. § 302.08, the following information is offered:

1. The name of the party conveying the interest is: JAMES R. DUBE.
2. The name and address of the party receiving the interest is: UNITED TECHNOLOGIES CORPORATION, of HARTFORD CONNECTICUT.
3. A description of the interest conveyed or transaction to be recorded is: ASSIGNMENT.
4. The patent application serial number against which the document is to be recorded is: 08/928,169.
5. The name and address of the party to whom correspondence concerning the request to record the document should be mailed is: MALCOLM J. CHISHOLM, JR., P.O. BOX 278, 195 MAIN STREET, LEE, MA 01238, Telephone (413) 243-0551.
6. The number of patent applications and patents identified in

10/08/1998 JSNABRZZ 00000242 08928169

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40.00 DP

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PATENT
REEL: 9493 FRAME: 0693

the cover sheet and the total fee are: ONE PATENT APPLICATION, and a TOTAL FEE OF \$40.00.

7. The date the Assignment document was executed is: October 30, 1997.

8. The undersigned hereby states that to the best of my knowledge and belief, the information contained on this cover sheet is true and correct, and the enclosed copy of the Assignment document is a true copy of the original Assignment document.

A check in the amount of \$40.00 is enclosed for the recordation fee.

Respectfully submitted:



Malcolm J. Chisholm,
Registration No. 33,665
P.O. Box 278, 195 Main Street
Lee, MA 01238
Telephone No. (413) 243-0551

Date: 9/28/98

ASSIGNMENT

Serial No. 08/928,169
Filing Date: September 9, 1997

WHEREAS, I, JAMES R. DUBÉ have invented certain improvements in a "PROCESS FOR FORMATION OF AN ELECTRODE ON AN ANION EXCHANGE MEMBRANE" invention identified as Attorney Docket No. H2120-SS/EL, and described in the Combined Declaration and Power of Attorney and in the original patent application executed by the undersigned on September 1, 1997;

WHEREAS, UNITED TECHNOLOGIES CORPORATION, of Hartford, Connecticut, a corporation of the State of Delaware (assignee) is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned does hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the undersigned's entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorizes and requests the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agrees, without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper

patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned has hereunto set his hand and seal on the date after his signature.

(L.S.)

James R. Dube
JAMES R. DUBÉ

OCT 30, 1997

State of Massachusetts

County of Middlesex ss:

On this 30th day of October, 1997, before me personally appeared JAMES R. DUBÉ, to me personally known, and to me known to be the person who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.

(Seal)

Frederick H. West
Notary Public, State of Massachusetts
MY COMMISSION EXPIRES: August 14, 2003