

**MRD 9-28-98**

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100849539

To the Honorable Commissioner of Patents

attached original documents or copy thereof.

1. Name of conveying party(ies):

Yeger, David                      Lawal, Gafar  
Kliegman, Jay                    Myers, Rick  
Cicconi, Roger

Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies):

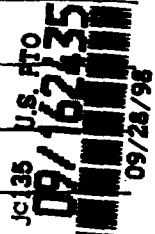
Name: Merrill Lynch & Co., Inc.

Internal Address: \_\_\_\_\_

Street Address: 250 Vesey Street

City: New York State: NY ZIP: 10281

Additional name(s) & address(es) attached?  Yes  No



3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other \_\_\_\_\_

Execution Date: September 17, 1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: September 17, 1998

A. Patent Application No.(s) 09/162435

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: James M. Bollinger, Esq.

10/06/1998 BUSHING 0000016 09162435

Internal Address: 40.00 OP

HOPGOOD, CALIMAFDE, KALIL & JUDLOWE LLP

Street Address: 60 East 42nd Street

City: New York State: NY ZIP: 10165

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):..... \$ 40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

James Bollinger  
Name of Person Signing

[Signature]  
Signature

9/28/98  
Date

Total number of pages comprising cover sheet:

JOINT

**A S S I G N M E N T**

**FOR VALUE RECEIVED**, WE, DAVID YEGER, JAY KLIEGMAN, ROGER CICCONI, GAFAR LAWAL, AND RICK MYERS hereby sell, assign and transfer to Merrill Lynch & Co., Inc., a corporation of the State of Delaware, having its principal place of business at 250 Vesey Street, New York, New York 10281, as assignee, and its successors, assigns and legal representatives, the entire right, title and interest, for all countries in and to certain inventions relating to

**COMPLIANCE ARCHIVAL DATA PROCESS AND SYSTEM**

described in an application for Letters Patent in the United States, executed by me on the 17 day of SEPTEMBER, 1998, and all the rights and privileges under any and all Letters Patent that may be granted therefor.

We request that any and all patents for said inventions be issued to said assignee, its successors, assigns and legal representatives, or to such nominees as it may designate.

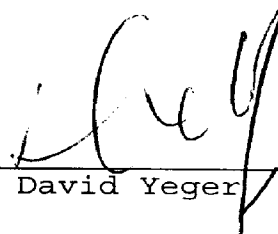
We agree that, when requested, we will, without charge to said assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in said assignee, its successors, assigns and legal representatives or nominees.

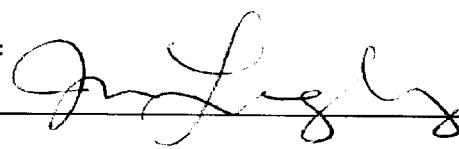
We authorize and empower the said assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of the said assignee or nominee to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

We covenant with said assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

Dated: 9/17/98

  
\_\_\_\_\_  
David Yeger

Witness:   
\_\_\_\_\_

Dated: 9/17/98

Dated: 9/17/98

Jay Kliegman  
Jay Kliegman

Witness: [Signature]

Dated: 9/17/98

Dated: 9/17/98

Roger Cicconi  
Roger Cicconi

Witness: [Signature]

Dated: 9/17/98

Dated: 9/17/98

Gafar Lawal  
Gafar Lawal

Witness: [Signature]

Dated: 9/17/98

Dated: 9/17/98

Rick Myers  
Rick Myers

Witness: [Signature]

Dated: 9/17/98