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U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office Docket No.:NEC-520-US

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

Name of conveying party(ies):	Name and address of receiving party(ies):
Masatsugu Ogawa	Name: NICO CORPORATION
Additional names of conveying party(ies) attached?  ☐ yes ☑ no  ☐ 1998	Name: NEC CORPORATION  Internal Address:
3. Nature of Conveyance  ☑ Assignment □ Merger	Street Address: 3-1, Shiba 5-chome, Minato-ku, City: Tokyo Country: Japan
☐ Security Agreement ☐ Change of Name ☐ Other	Additional name(s) & address(es) attached? ☐ yes ☑ no
Execution Date: April 1, 1998	
4. Application number(s) or patent number(s):     Title: METHOD FOR INITIALIZING A PHASE CHANGE RECORDING MEDIUM     If this document is being filed together with a new application, the execution date of the application is	
A. Patent Application No(s). 09/024,296 filed on February 17, 1998	B. Patent No(s).
Additional numbers attached? ☐ Yes ☑ No	
Name and address of party to whom correspondence concerning document should be mailed:	Total number of applications and patents involved:1
C. Lamont Whitham Whitham, Curtis & Whitham Reston International Center 11800 Sunrise Valley Drive	7. Total fee (37 CFR 3.41): \$_40.00 ☑ Enclosed
Suite 900 Reston, Virginia 20191	<ul> <li>✓ Authorized to be charged to deposit account</li> <li>☐ Total fee due</li> <li>✓ Any deficiencies in the enclosed fees</li> </ul>
	Deposit account number: 23-1951 (WHITHAM, CURTIS & WHITHAM)
935 Americanic (0000000) 09064296	
C. 40.00 UF	
9. Statement and signature	
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original	
document.	
C. Lamont Whitham  Name of Person Signing  Signature	Mithur Cd 5, 1998
Name of Person Signing Signature	Date Total number of pages including cover sheet:3

PATENT REEL: 9494 FRAME: 0905

## ASSIGNMENT OF APPLICATION FOR PATENT

## WHEREAS

NAME AND ADDRESS OF ASSIGNOR Masatsugu Ogawa

of

c/o NEC Corporation

7-1, Shiba 5-chome, Minato-ku, Tokyo, Japan

(HEREINAFTER REFERRED TO AS ASSIGNOR), have invented and own a certain invention entitled:

TITLE OF INVENTION:

METHOD FOR INITIALIZING A PHASE CHANGE RECORDING MEDIUM

for which application for Letters Patent of the United States has been executed on even date herewith;

## WHEREAS

FULL NAME AND ADDRESS
OF ASSIGNEE

NEC Corporation 7-1, Shiba 5-chome, Minato-ku, Tokyo, Japan

(hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and the United States Letters Patent to be obtained therefor:

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment of ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to said invention and all Letters Patent of the United States to be obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof for the full term or terms for which the same may be granted.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance

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has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

DATE OF SIGNING

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this <u>lst</u> day of <u>April</u>, 1998.

SIGNATURE

By: Masatsugu Ogawa Masatsugu Ogawa

WITNESS

**RECORDED: 10/05/1998** 

(Assignment of Application for Patent -- page 2 of 2)

PATENT REEL: 9494 FRAME: 0907