

MRD 10-1-98

10-13-1998

ARIES 98.01

FORM PTO-1595
1-31-92

SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings ⇨ ⇨ ⇨ ▼

100848326

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Lyndon S. Holmes
James King, III

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: October 1, 1998
October 1, 1998

2. Name and address of receiving party(ies):

Name: ARIES SYSTEMS CORPORATION

Internal Address: _____

Street Address: 200 Sutton Street

City: North Andover State: MA ZIP: 01845

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: October 1, 1998

A. Patent Application No.(s)

B. Patent No.(s)

09/164971

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Norman P. Soloway

10/07/1998 POL EXAM 00000068 09164971

03 FC:561 40.00 OP

Street Address: HAYES, SOLOWAY, HENNESSEY, GROSSMAN & HAGE
175 Canal Street

City: Manchester State: NH ZIP: 03101

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):..... \$ 40.00

- ☒ Enclosed
☒ Any fee deficiency
☒ Authorized to be charged to deposit account

8. Deposit account number:

08-1391

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Norman P. Soloway
Name of Person Signing

Norman P. Soloway
Signature

October 1, 1998
Date

Total number of pages comprising cover sheet:

4

ASSIGNMENT

We Lyndon S. Holmes and James King, III, respectively of 79 Boxford Street North Andover, MA 01845, and 15A Summit Avenue, Groton, MA 01450, both of the United States of America, having invented certain inventions and improvements in SECURE ELECTRONIC PUBLISHING SYSTEM, and having executed an application for Letters Patent of the United States of America describing the same and based thereon respectively on the 1st day of October, 1998; and the 1st day of October, 1998, for good and valuable consideration, the receipt of which is hereby acknowledged ARIES SYSTEMS CORPORATION, a Massachusetts corporation having its principal place of business at 200 Sutton Street, North Andover, MA 01845, U.S.A., (hereinafter called the Assignee), do hereby sell, assign and transfer unto the Assignee, its successors, and assigns our entire right, title, and interest, in and throughout the United States of America (including its territories and dependencies) and all countries foreign thereto, in, and to and under said application and the inventions and any of them therein set forth and described, and any and all patents and like rights of exclusion (including extensions thereof) of any country which may be granted on or for said inventions or any of them or any part thereof or on said application or any divisional, continuing, reissue, or other patent application based thereon;

And for the same consideration we do also hereby sell, assign, and transfer unto the Assignee, its successors, and assigns all our rights under the International Convention for the Protection of Industrial Property and all other treaties of like purpose in respect of said inventions and any of them and all parts thereof and said application, and we do hereby authorize the Assignee, its successors, and assigns to apply in our name or its or their own name or names for patents and like rights of exclusion on or for said inventions or any of them or any part

thereof in all countries claiming if it or they so desire the priority of the filing date of said application under the provisions of said Convention or any such other treaty;

And for the same consideration, we do hereby agree for ourselves and for our heirs, executors, and administrators, promptly upon request of the Assignee, its successors, or assigns, to execute and deliver without further compensation any power of attorney, assignment, original, divisional, continuing, reissue or other application or applications for patent or patents or like rights of exclusion of any country, or other lawful documents and any further assurances that may be deemed necessary or desirable by the Assignee, its successors, or assigns fully to secure to it or them said right, title, and interest as aforesaid in and to said inventions and any of them and all parts thereof and in and to and under said several patents and like rights of exclusion (including extensions thereof) or any of them, all, however, at the expense of the Assignee, its successors, or assigns;

And we do hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America and the corresponding Officer of each country foreign thereto to issue to the Assignee, its successors, or assigns, any and all patents and like rights of exclusion which may be granted in any country upon said United States application or other applications or any of them or on or for said inventions or any of them or any part thereof; and we do hereby covenant for ourselves and our legal representatives and agree with the Assignee, its successors, and assigns that we have granted no right or license to make, use, or sell said inventions or any of them or any part thereof to anyone except the Assignee, that prior to the execution of this deed our right, title, and interest in and to said inventions or any of them or any part thereof has not been otherwise encumbered by us, and that we have not executed and will not execute any instrument in conflict herewith.

IN WITNESS WHEREOF, we hereunto set our hands and seals on the day and year
hereinafter noted.

October 1, 1998
Date

Lyndon S. Holmes
Lyndon S. Holmes

UNITED STATES OF AMERICA
STATE OF MASSACHUSETTS
COUNTY OF ESSEX

)
) ss:
)

On this _____ day of _____, 1998, Lyndon S. Holmes
personally appeared before me, a Notary Public in and for the above-mentioned county, to me
known, and known to me to be the individual described in and who executed the foregoing
document, and he duly acknowledged to me that he executed same for the uses and purposes
therein expressed.

(SEAL)

witness 10/1/98 Lyndon S. Holmes
Notary Public

Oct. 1, 1998
Date

James E. King III
James King, III

UNITED STATES OF AMERICA
STATE OF MASSACHUSETTS
COUNTY OF ESSEX

)
) ss:
)

On this _____ day of _____, 1998, James King, III
personally appeared before me, a Notary Public in and for the above-mentioned county, to me
known, and known to me to be the individual described in and who executed the foregoing
document, and he duly acknowledged to me that he executed same for the uses and purposes
therein expressed.

(SEAL)

witness 10/1/98 Lyndon S. Holmes
Notary Public