

10-13-1998

Form PTO-1595  
1-31-92



U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

100849629

To the Honorable Commission. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): **MRB**  
 Douglas W. Bell  
 David Hinton  
 David Martin  
**9/28/98**  
 Additional Name(s) attached: [ ] Yes [X] No

2. Name and address of receiving party(ies)  
 Name: Caterpillar, Inc.  
 Internal Address: Patent Department  
 Street Address:  
100 N.E. Adams Street  
 City: Peoria State: Illinois  
 ZIP: 61629-6490

JCS11 U.S. PTO  
 09/162396  
 09/28/98

3. Nature of Conveyance:  
 Assignment  
 Security Agreement  
 Other  
 Execution Date(s): 09/24/98

Additional name(s) & address(es) attached: [ ] Yes [X] No

4. Application number(s) or patent number(s): **09/162396**  
 If this document is being filed together with a new application, the execution date of the application is 09/24/98.  
 A. Patent Application No. (s) Patent No. (s)  
 Additional numbers attached? [ ] Yes [X] No  
 Title of Invention: Traction Assembly for a Work Machine  
 Attorney Docket No. 1002-0569  
 Caterpillar Docket No: 97-613

5. Name and address of party to whom correspondence concerning document should be mailed:  
 Name: Paul J. Maginot  
Maginot, Addison & Moore  
 Internal Address: \_\_\_\_\_  
 Street Address: Bank One Center/Tower  
111 Monument Circle, Suite 3000  
 City: Indianapolis State: IN  
 Zip: 46204-5130

6. Total number of applications and patents involved [1]  
 7. Total fee (37 CFR 3.41) \$40.00  
 Enclosed  
 Authorized to be charged to deposit account  
 8. Deposit account number: \_\_\_\_\_ **E**  
 (If charged duplicate copy attached)

9. Statement and signature.  
 To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  
Paul J. Maginot **Paul J. Maginot** **9/28/98**  
 Name of Person Signing Signature Date  
 Total number of pages comprising cover sheet, attachments, and document: [4]

Mail document to be recorded with required cover sheet information to:

10/09/1998 TTON11 00000130 09162396  
 01 FC:581 40.00 OP

Commissioner of Patents and Trademarks  
 Box Assignments  
 Washington, D.C. 20231

PATENT  
 REEL: 9496 FRAME: 0051

ASSIGNMENT

WHEREAS, DAVID HINTON, residing at Mt. Zion, Illinois, hereinafter referred to as ASSIGNOR, has invented a new and useful

TRACTION ASSEMBLY FOR A WORK MACHINE

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on 24 Sept. 1998; and

WHEREAS, CATERPILLAR INC., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to CATERPILLAR INC.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR's heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

David R. Hinton  
DAVID HINTON

24 Sept 1998  
Date

IN WITNESS OF the execution hereof by DAVID HINTON we have hereunto set our hands.

David W. Bruce 9-24-98  
Witness (1) (Date)

Jon P. White 9-24-98  
Witness (2) (Date)

Address: 5100 Birch Church Road  
Oceana IL 62554

Address: 636 E. Meyers  
Monticello IL 61856

ASSIGNMENT

WHEREAS, DOUGLAS W. BELL, residing at Mattoon, Illinois, hereinafter referred to as ASSIGNOR, has invented a new and useful

TRACTION ASSEMBLY FOR A WORK MACHINE

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on 24 Sept 1998; and

WHEREAS, CATERPILLAR INC., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to CATERPILLAR INC.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR's heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

Douglas W. Bell  
DOUGLAS W. BELL

24 Sept 1998  
Date

IN WITNESS OF the execution hereof by DOUGLAS W. BELL we have hereunto set our hands.

Donald W. Bruce 9-24-98  
Witness (1) (Date)

Jon P. White 9-24-98  
Witness (2) (Date)

Address: 5000 Birch Church Road  
Osceola IL 62554

Address: 636 E. Meyers  
Monticello IL 61856

ASSIGNMENT

WHEREAS, DAVID MARTIN, residing at Decatur, Illinois, hereinafter referred to as ASSIGNOR, has invented a new and useful

TRACTION ASSEMBLY FOR A WORK MACHINE

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on 9-24-98; and

WHEREAS, CATERPILLAR INC., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to CATERPILLAR INC.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR's heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

David Martin  
DAVID MARTIN

9-24-98  
Date

IN WITNESS OF the execution hereof by DAVID MARTIN we have hereunto set our hands.

David M. Bruce 9-24-98  
Witness (1) (Date)

Jon P. White 9-24-98  
Witness (2) (Date)

Address: 5000 Birch Church Road  
Oreana IL 62554

Address: 636 E. Meyers  
Monticello IL 61856