10-13-1998

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Atty Dkt No. 0235.01 2750-0235



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## **RECORDATION COVER SHEET (PATENT)**

1. Name of conveying party/parties:

Ronald T. KURNIK, Jonathan James OLIVER, Russell O. POTTS and Steven Richard WATERHOUSE

2. Name and address of receiving party/parties:

CYGNUS, INC. 400 Penobscot Drive Redwood City, CA 94063

09/163856

3. Nature of conveyance: <u>X</u> Assignment \_\_\_\_\_ Name Change \_\_\_ Other

Execution date: September 30, 1998

- 4. Application number(s) or patent number(s):
  - A. Patent Application No(s).:
  - B. Patent No(s).:

If this document is being filed together with a new application, the execution date of the application is: September 30, 1998 and the title of the new application is: METHOD AND DEVICE FOR PREDICTING PHYSIOLOGICAL VALUES

5. Name and address of party to whom correspondence concerning document should be mailed:

10/07/1998 5CHAPMAN 00000046 09163856 10/07/1998 5CHAPMAN 00000046 09163856 04 FC:581 40.00 0P Barbara G. McClung, Esq. CYGNUS, INC. Intellectual Property Dept. 400 Penobscot Drive Redwood City, CA 94063

- 6. Total number of applications/patents involved: 1
- 7. Total fee:  $1 \times 40.00 = 40.00$ 
  - $\underline{X}$  Enclosed is a check for \$ 40.00.
    - Authorized to be charged to deposit account
- 8. Deposit account number: 18-1648.
- 9. The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 18-1648.
- 10. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Dated: 30 Sept 1998

By: Jary R. Fabran Gary R. Fabian, Ph.D.

Gary R. Fabian, Ph.D. Registration No. 33,875 Agent for Applicants

CYGNUS, INC. Intellectual Property Dept. 400 Penobscot Drive Redwood City, CA 94063 Telephone: 650-369-4300 Facsimile: 650-599-3913

# Total no. of pgs. incl. cover sheet, attachments, document: 4

Mail to: Assistant Commissioner for Patents, Box Assignment, Washington, D.C. 20231 415 599 3913 TO 93257610

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415 599 3513 TO 915100452292

Any Dkc No. 0235-01

### ASSIGNMENT

#### JOINT

THIS ASSIGNMENT, by Runald T. KURNIK, Jonathan James OLIVER, Russell O. POTTS and Steven Richard WATERHOUSE (hereinafter referred to as the assigners), residing at Poster City, CA. Oathand, CA. Sen Francisco, CA and San Francisco, CA respectively, witnesseth:

WHEREAS, the said assigners have invented certain new and useful improvements in METHOD AND DEVICE FOR PREDICTING PHYSIOLOGICAL VALUES set forth in an application for Louers Patent of the United States, hearing Serial No. \_\_\_\_\_\_ and filed on September 30. 1998; and

WHEREAS, CYGNUS, INC., a corporation duly organized under and pursuant to the laws of Delaware, and having its principal place of business at 400 Penobscot Drive. Redwood City. CA 94065 (hereinafter referred to as the assignee) is desirous of acquiring the online right, title and interest in and to said inventions and said application for Letters Fatent of the United States, and in and to any Letters Patent of Patente, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and sofficient considerations, the receipt of which is hereby acknowledged, the said assigners have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, thit the assignee, its successors, legal representatives and assigns, the entire right, this and interest in and to the above-mentioned investions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign contaries which may be gratited therefor and therean, and in and to any and all divisions, continuations, and continuations-in-part of said application, or missues or extensions of said Letters Patent or Patents, and all rights under the International Union for the Protection of Industrial Property, the same to be held and enjoyed by the said assignee, for its own use and baboof and the use and behoof of its successors, legal representatives ated ensigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and ensigns as the same would have been held and enjoyed by the assigners, had this sale and assignment not been made.

AND for the same consideration, the said assignors hereby covenent and agree to and with the said assignee, its successors, legal representatives and assigns, that, at the time of excoution and delivery of these presents, the said excignors are the sole and havful owners of the entire right, title and interest in and to the said inventions and the application for Letters Patent above-thentioned, and that the same are uncompleted and that the said assignors have good and full right and lawful enthority to sell and convey the same in the manner harein set forth.

AND for the same consideration, the said assignets hereby covener and agree to and with the seld assignce, its successors, legal representatives and assigns, that the said assigners will, whenever counsel of the said assignce, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding ÷

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in connection with said inventions, or said application for Letters Parent, or any proceeding in connection with limiters Parent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Parent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all nets necessary or required to be done for the procurement. maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assigner, its successors. legal representatives and assigns, but at the root and expense of the said altigance, its successors, legal representatives and assigns.

AND the said assigners also agree that the U.S. serial number may be untered above by assignee or its agenes upon designation of the serial number by the U.S. Patent and Trademark Office.

AND the soid assigners hereby request the Commissioner of Patents to issue said Letters Patent of the United States to the said assignee as the assignee of soid invastions and the Lonorn Patent to be issued thereon for the sole use and behoof of the said assignee, in successors, legst representatives and assigns.

AT 18 Name of bry Name of Inventor Due 30 Sept 98 Name of Inventor Russell O. POTTS Date 30 Sept 1 8. Name of lavenus

Steven Richard WATERHOUSE

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**RECORDED: 09/30/1998**