

10-14-1998



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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Attorney Docket No. 600-1-229

To the Assistant Commissioner for Patents, Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Xiaomin Chen; Uwe Vinkemeier; Yanxiang Zhao;
David Jeruzalmi; and James E. Darnell, Jr.
(submitted in two counterparts)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name

Other: _____

Execution Date: August 25, 27, and 28, 1998

2. Name and address of receiving party(ies):

Name: THE ROCKEFELLER UNIVERSITY

Address: 1230 York Avenue

New York, New York 10021-6399

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/087,465

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David A. Jackson, Esq.

Address: KLAUBER & JACKSON

411 Hackensack Avenue, 4th Floor

Hackensack, New Jersey 07601

6. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account, if necessary -
for overages or underpayments only

8. Deposit account number:

11-1153

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael D. Davis, Reg. No. 39,161
Name of Person Signing

Michael D. Davis
Signature

October 1, 1998
Date

Total number of pages including cover sheet, attachments, and document: 7

10/09/1998 DNGUYEN 00000228 09087465

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Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner For Patents
Box Assignments
Washington, D.C. 20231

PATENT
REEL: 9497 FRAME: 0961

ASSIGNMENT

WHEREAS, WE,

XIAOMIN CHEN, a citizen of the People's Republic of China, residing at
500 East 63rd Street, Apt. 12H
New York, New York 10021;

UWE VINKEMEIER, a citizen of Germany, residing at
504 East 63rd Street, Apt. 8P
New York, New York 10021;

YANXIANG ZHAO, a citizen of the People's Republic of China, residing at
1230 York Avenue, #376
New York, New York 10021;

DAVID JERUZALMI, a citizen of the United States, residing at
1161 York Avenue, #11I
New York, New York 10021; and

JAMES E. DARNELL, JR., a citizen of the United States, residing at
22 Chestnut Avenue
Larchmont, New York 10538;

have invented certain new and useful improvements in

**A CRYSTAL OF THE CORE PORTION OF A STAT
AND METHODS OF USE THEREOF**

for which we have filed an Application for Letters Patent in the United States on May 29,
1998, and was accorded U.S. Serial No. 09/087,465; and

WHEREAS, THE ROCKEFELLER UNIVERSITY,
an education corporation organized and existing under
the laws of the State of New York,
with office at 1230 York Avenue, New York, New York 10021-6399,

ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said
improvements and the said application;

NOW, THEREFORE, for other good and valuable consideration, the receipt of which
is hereby acknowledged, we the said

XIAOMIN CHEN; UWE VINKEMEIER; YANXIANG ZHAO;
DAVID JERUZALMI; AND JAMES E. DARNELL, JR.

have sold, assigned, transferred and set over, and by these presents do hereby sell, assign,
transfer and set over, to the said

THE ROCKEFELLER UNIVERSITY,

its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said improvements, and the said application and all divisions, renewals, and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, including the right to claim priority under the terms of any appropriate International Convention based upon said application for Letters Patent of the United States, and all Letters Patent which may be granted from said improvements in any country or countries foreign to the United States and extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any country or countries foreign to the United States, whose duty is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said

THE ROCKEFELLER UNIVERSITY,

its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that we have full right to convey the interest herein assigned in the manner hereinabove set forth, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said

THE ROCKEFELLER UNIVERSITY,

its successors, legal representatives and assigns, any fact known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisions, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said

THE ROCKEFELLER UNIVERSITY,

its successors, legal representatives and assigns, to obtain and enforce proper Patent Protection for said improvements in the United States.

IN TESTIMONY WHEREOF, we hereunto set our hand and seal the day and year set opposite our signatures.

Date 8/27, 1998

Xiaomin Chen L.S.
XIAOMIN CHEN

Date _____, 19

UWE VINKEMEIER L.S.

Date 8/27, 1998

Yanxiang Zhao L.S.
YANXIANG ZHAO

Date 8/27, 1998

David Jeruzalmski L.S.
DAVID JERUZALMSKI

Date _____, 19

JAMES E. DARNELL, JR. L.S.

ASSIGNMENT

WHEREAS, WE,

XIAOMIN CHEN, a citizen of the People's Republic of China, residing at
500 East 63rd Street, Apt. 12H
New York, New York 10021;

UWE VINKEMEIER, a citizen of Germany, residing at
504 East 63rd Street, Apt. 8P
New York, New York 10021;

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New York, New York 10021;

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with office at 1230 York Avenue, New York, New York 10021-6399,

ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said
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NOW, THEREFORE, for other good and valuable consideration, the receipt of which
is hereby acknowledged, we the said

XIAOMIN CHEN; UWE VINKEMEIER; YANXIANG ZHAO;
DAVID JERUZALMI; AND JAMES E. DARNELL, JR.

have sold, assigned, transferred and set over, and by these presents do hereby sell, assign,
transfer and set over, to the said

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its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said improvements, and the said application and all divisions, renewals, and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, including the right to claim priority under the terms of any appropriate International Convention based upon said application for Letters Patent of the United States, and all Letters Patent which may be granted from said improvements in any country or countries foreign to the United States and extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any country or countries foreign to the United States, whose duty is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said

THE ROCKEFELLER UNIVERSITY,

its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that we have full right to convey the interest herein assigned in the manner hereinabove set forth, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said

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its successors, legal representatives and assigns, any fact known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisions, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said

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its successors, legal representatives and assigns, to obtain and enforce proper Patent Protection for said improvements in the United States.

IN TESTIMONY WHEREOF, we hereunto set our hand and seal the day and year set opposite our signatures.

Date _____, 19

XIAOMIN CHEN L.S.

Date 8/28/98, 19


UWE VINKEMEIER L.S.

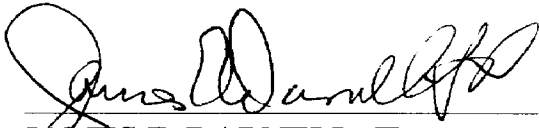
Date _____, 19

YANXIANG ZHAO L.S.

Date _____, 19

DAVID JERUZALMI L.S.

Date 8/25/98, 19


JAMES E. DARNELL, JR. L.S.