

10-14-1998

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PATENTS ONLY



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Patents and Trademarks, Washington, D.C. 20231

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Pat. Hysell

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OPR/FINANCE

MAS 10-5-98

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

1. Roger A. Parker  
3. Mark T. Yates  
5. Kim S. Chen

2. Paul S. Wright  
4. Steven J. Busch

Additional name(s) of conveying party(ies) attached? ☐ YES ☒ NO

3. Nature of Conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: 1. Aug. 7, 1998, 2. Aug. 3, 1998, July 24,  
1998, July 28, 1998, July 31, 1998

2. Name and address of receiving party(ies):

Name: Hoechst Marion Roussel, Inc.

Internal Address:

Street Address: 2110 E. Galbraith Road

City: Cincinnati State: Ohio ZIP: 45215

Additional name(s) and address(es) attached? ☐ YES ☒ NO

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s): 08/974,112

B. Patent No.(s):

Additional numbers attached? ☐ YES ☒ NO

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ruth E. Homan, Reg. No. 37,555  
Internal Address: Hoechst Marion Roussel, Inc.  
Street Address: 2110 E. Galbraith Road  
City: Cincinnati State: Ohio ZIP: 45215

Our Reference No.: M01856A

6. Total number of applications and patents involved: 1

7. Total (37 CFR 3.41): \$40.00

☐ Enclosed  
☒ Authorized to be charged to deposit account

8. Deposit account number: 13-2764

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ruth E. Homan, Reg. No. 37,555

Name of Person Signing

Signature

Date: \_\_\_\_\_

Total number of pages comprising cover sheet: 11

OMB No. 0651-0011 (exp. 4/94)

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Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks

Box Assignments

Washington, D.C. 20231

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PATENT  
REEL: 9499 FRAME: 0705

**ASSIGNMENT  
(with Priority Rights)  
and  
INVENTORSHIP DECLARATION**

I do hereby claim and declare that I am/we are the sole, true and first inventor(s) of an invention relating to and entitled:

Title of  
Invention

**Substituted Phenols and Thiphenols Useful as Antioxidant Agents**

Assignee which invention was made by me/us out of and in the course of my/our employment with Hoechst Marion Roussel, Inc. (hereinafter referred to as the "Assignee").

I/we acknowledge that I/we hold the invention and all patent and other rights and powers obtainable or exercisable in respect thereof in trust for the Assignee.

As trustee(s), and in consideration of the remuneration for my/our work, effective as of 11/19/97, I/we do hereby declare, sell, assign, and transfer unto Assignee my/our entire right, title and interest throughout the world, in and to the invention and all rights and powers to make applications for Letters Patent in its own name, or in the name of its nominee; and all rights and powers to file any reissue, divisional, continuing or substitute application and any corresponding applications in any and all countries, including all right to claim priority under all international Conventions and Treaties in the name of said Assignee, and do hereby authorize said Assignee to insert here the

Priority No.,  
Date, Country

Number 60/092,110 and Filing Date November 20, 1996

Reference No.

in the United States of America

of the application on which the priority will be based (Case No. M01856A); and in and to any Letters Patent that may be issued for said invention in any and all countries; and I/we do hereby agree to assist said Assignee in the prosecution of the said applications and in any interference, conflict, opposition or litigation which may arise involving said invention or the patent or patents thereon, and to execute any reissue, divisional, continuing or substitute application and assent to their making any applications in other countries corresponding to the above and below specifically identified application(s) as may be requested by said assignee; and I/we do hereby authorize said Assignee to insert here the

Corresponding  
Application No.  
Date, Country

Number 08/974,112 and Filing Date November 19, 1997

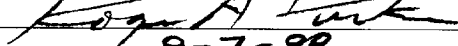
in the United States of America

of the corresponding application in any country where that patent property must be entered for recordal of this Assignment; and I/we do hereby authorize and request that any such Letters Patent be issued to said Assignee in accordance herewith; and consent to the recordal of this Assignment being under covenant, not only that I/we have full power to make the same, but also that such assignment rights are not encumbered by any grant, license, or other right heretofore given.

☒ Additional Names and Signatures attached.

Subscribed and Sworn by me/us:

1. Full Name: Roger A. Parker

Signature:   
Date: 8-7-98

Country of Citizenship: United States of America

Residence: Cincinnati, Ohio  
(City and State/City and Country only)

P. O. Address: 480 Meadowcrest Road  
Cincinnati, Ohio 45231

3. Full Name: Steven J. Busch

Signature: \_\_\_\_\_  
Date: \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Stewartsville, New Jersey  
(City and State/City and Country only)

P. O. Address: 20 Leah Lane  
Stewartsville, New Jersey 08886

2. Full Name: Paul S. Wright

Signature: \_\_\_\_\_  
Date: \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Cincinnati, Ohio  
(City and State/City and Country only)

P. O. Address: 9332 Hunters Creek Drive  
Cincinnati, Ohio 45242

4. Full Name: Kim S. Chen

Signature: \_\_\_\_\_  
Date: \_\_\_\_\_

Country of Citizenship: Malaysia

Residence: San Diego, California  
(City and State/City and Country only)

P. O. Address: 111 West Pennsylvania Avenue, Apt. 2M  
San Diego, California 92103

5. Full Name: Mark T. Yates

Signature: \_\_\_\_\_  
Date: \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Ann Arbor, Michigan  
(City and State/City and Country only)

P. O. Address: 3805 Greenbrier Blvd., Bldg. 9, Apt. #280A  
Ann Arbor, Michigan 48105

6. Full Name: \_\_\_\_\_

Signature: \_\_\_\_\_  
Date: \_\_\_\_\_

Country of Citizenship: \_\_\_\_\_

Residence: \_\_\_\_\_  
(City and State/City and Country only)

P. O. Address: \_\_\_\_\_

Attach Notarial Certificate (Individual)

# NOTARIAL CERTIFICATE (Individual)

UNITED STATES OF AMERICA )  
 STATE OF )  
 COUNTY/Parish of *Hamilton* ) ss.

On this *7<sup>th</sup>* day of *August*, 1998, personally appeared before me,

Roger A. Parker,

known or identified to me to be the individual(s) described in and who executed the foregoing document

## Assignment (with Priority Rights) and Inventorship Declaration

and acknowledged the same as a free act and deed for uses and purposes therein expressed.

SEAL

*Janet Grubb*  
 \_\_\_\_\_  
 Notary Public

Notary Public, State of  
 My Commission Expires

*4/2/01*

Notary Signature  
 Print or Type Name  
 of Notary, Expiration  
 Date, if any, Title or  
 Jurisdiction Area

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(with Priority Rights)  
and  
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Invention

**Substituted Phenols and Thiphenols Useful as Antioxidant Agents**

Assignee

which invention was made by me/us out of and in the course of my/our employment with Hoechst Marion Roussel, Inc. (hereinafter referred to as the "Assignee").

I/we acknowledge that I/we hold the invention and all patent and other rights and powers obtainable or exercisable in respect thereof in trust for the Assignee.

As trustee(s), and in consideration of the remuneration for my/our work, effective as of 11/19/97, I/we do hereby declare, sell, assign, and transfer unto Assignee my/our entire right, title and interest throughout the world, in and to the invention and all rights and powers to make applications for Letters Patent in its own name, or in the name of its nominee; and all rights and powers to file any reissue, divisional, continuing or substitute application and any corresponding applications in any and all countries, including all right to claim priority under all international Conventions and Treaties in the name of said Assignee, and do hereby authorize said Assignee to insert here the

Priority No.,  
Date, Country

Number 60/092,110 and Filing Date November 20, 1996

Reference No.

in the United States of America

of the application on which the priority will be based (Case No. M01856A); and in and to any Letters Patent that may be issued for said invention in any and all countries; and I/we do hereby agree to assist said Assignee in the prosecution of the said applications and in any interference, conflict, opposition or litigation which may arise involving said invention or the patent or patents thereon, and to execute any reissue, divisional, continuing or substitute application and assent to their making any applications in other countries corresponding to the above and below specifically identified application(s) as may be requested by said assignee; and I/we do hereby authorize said Assignee to insert here the

Corresponding  
Application No.  
Date, Country

Number 08/974,112 and Filing Date November 19, 1997

in the United States of America

of the corresponding application in any country where that patent property must be entered for recordal of this Assignment; and I/we do hereby authorize and request that any such Letters Patent be issued to said Assignee in accordance herewith; and consent to the recordal of this Assignment being under covenant, not only that I/we have full power to make the same, but also that such assignment rights are not encumbered by any grant, license, or other right heretofore given.

☒ Additional Names and Signatures attached.  
Subscribed and Sworn by me/us:

1. Full Name: Roger A. Parker

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Cincinnati, Ohio  
(City and State/City and Country only)

P. O. Address: 480 Meadowcrest Road  
Cincinnati, Ohio 45231

3. Full Name: Steven J. Busch

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Stewartsville, New Jersey  
(City and State/City and Country only)

P. O. Address: 20 Leah Lane  
Stewartsville, New Jersey 08886

2. Full Name: Paul S. Wright

Signature: Paul S. Wright

Date: 8/13/98

Country of Citizenship: United States of America

Residence: Cincinnati, Ohio  
(City and State/City and Country only)

P. O. Address: 9332 Hunters Creek Drive  
Cincinnati, Ohio 45242

4. Full Name: Kim S. Chen

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Country of Citizenship: Malaysia

Residence: San Diego, California  
(City and State/City and Country only)

P. O. Address: 111 West Pennsylvania Avenue, Apt. 2M  
San Diego, California 92103

5. Full Name: Mark T. Yates

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Ann Arbor, Michigan  
(City and State/City and Country only)

P. O. Address: 3805 Greenbrier Blvd., Bldg. 9, Apt. #280A  
Ann Arbor, Michigan 48105

6. Full Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Country of Citizenship: \_\_\_\_\_

Residence: \_\_\_\_\_  
(City and State/City and Country only)

P. O. Address: \_\_\_\_\_

Attach Notarial Certificate (Individual)

# NOTARIAL CERTIFICATE (Individual)

UNITED STATES OF AMERICA )  
 STATE OF *Ohio* ) ss.  
 COUNTY/Parish of *Hamilton* )

On this *3rd* day of *August*, 1998, personally appeared before me,

Paul S. Wright,

known or identified to me to be the individual(s) described in and who executed the foregoing document

## Assignment (with Priority Rights) and Inventorship Declaration

and acknowledged the same as a free act and deed for uses and purposes therein expressed.

SEAL

*Janet Grubb*  
 \_\_\_\_\_  
 Notary Public

Notary Public, State of *Ohio*  
 My Commission Expires *4/2/01*

Notary Signature  
 Print or Type Name  
 of Notary, Expiration  
 Date, if any, Title or  
 Jurisdiction Area

**ASSIGNMENT  
(with Priority Rights)  
and  
INVENTORSHIP DECLARATION**

I do hereby claim and declare that I am/we are the sole, true and first inventor(s) of an invention relating to and entitled:

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Invention

**Substituted Phenols and Thiphenols Useful as Antioxidant Agents**

Assignee

which invention was made by me/us out of and in the course of my/our employment with Hoechst Marion Roussel, Inc. (hereinafter referred to as the "Assignee").

I/we acknowledge that I/we hold the invention and all patent and other rights and powers obtainable or exercisable in respect thereof in trust for the Assignee.

As trustee(s), and in consideration of the remuneration for my/our work, effective as of 11/19/97, I/we do hereby declare, sell, assign, and transfer unto Assignee my/our entire right, title and interest throughout the world, in and to the invention and all rights and powers to make applications for Letters Patent in its own name, or in the name of its nominee; and all rights and powers to file any reissue, divisional, continuing or substitute application and any corresponding applications in any and all countries, including all right to claim priority under all international Conventions and Treaties in the name of said Assignee, and do hereby authorize said Assignee to insert here the

Priority No.,  
Date, Country

Number 60/092,110 and Filing Date November 20, 1996

Reference No.

in the United States of America

of the application on which the priority will be based (Case No. M01856A); and in and to any Letters Patent that may be issued for said invention in any and all countries; and I/we do hereby agree to assist said Assignee in the prosecution of the said applications and in any interference, conflict, opposition or litigation which may arise involving said invention or the patent or patents thereon, and to execute any reissue, divisional, continuing or substitute application and assent to their making any applications in other countries corresponding to the above and below specifically identified application(s) as may be requested by said assignee; and I/we do hereby authorize said Assignee to insert here the

Corresponding  
Application No.  
Date, Country

Number 08/974,112 and Filing Date November 19, 1997

in the United States of America

of the corresponding application in any country where that patent property must be entered for recordal of this Assignment; and I/we do hereby authorize and request that any such Letters Patent be issued to said Assignee in accordance herewith; and consent to the recordal of this Assignment being under covenant, not only that I/we have full power to make the same, but also that such assignment rights are not encumbered by any grant, license, or other right heretofore given.

☒ Additional Names and Signatures attached.  
Subscribed and Sworn by me/us:

1. Full Name: Roger A. Parker

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Cincinnati, Ohio  
(City and State/City and Country only)

P. O. Address: 480 Meadowcrest Road  
Cincinnati, Ohio 45231

2. Full Name: Paul S. Wright

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Cincinnati, Ohio  
(City and State/City and Country only)

P. O. Address: 9332 Hunters Creek Drive  
Cincinnati, Ohio 45242

5. Full Name: Mark T. Yates

Signature: Mark T. Yates

Date: 7/24/98

Country of Citizenship: United States of America

Residence: Ann Arbor, Michigan  
(City and State/City and Country only)

P. O. Address: 3805 Greenbrier Blvd., Bldg. 9, Apt. #280A  
Ann Arbor, Michigan 48105

3. Full Name: Steven J. Busch

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Stewartsville, New Jersey  
(City and State/City and Country only)

P. O. Address: 20 Leah Lane  
Stewartsville, New Jersey 08886

4. Full Name: Kim S. Chen

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Country of Citizenship: Malaysia

Residence: San Diego, California  
(City and State/City and Country only)

P. O. Address: 111 West Pennsylvania Avenue, Apt. 2M  
San Diego, California 92103

6. Full Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Country of Citizenship: \_\_\_\_\_

Residence: \_\_\_\_\_  
(City and State/City and Country only)

P. O. Address: \_\_\_\_\_

Attach Notarial Certificate (Individual)

# NOTARIAL CERTIFICATE (Individual)

UNITED STATES OF AMERICA )  
 STATE OF ) ss.  
 COUNTY/Parish of )

On this 24 day of September, 1998, personally appeared before me,

Mark T. Yates,

known or identified to me to be the individual(s) described in and who executed the foregoing document

## Assignment (with Priority Rights) and Inventorship Declaration

and acknowledged the same as a free act and deed for uses and purposes therein expressed.

SEAL

Rasela M. Dillon  
 Notary Public

RASELA M. DILLON

Notary Public, Washtenaw County, Michigan  
 My Commission Expires July 13, 2000

Notary Signature  
 Print or Type Name  
 of Notary, Expiration  
 Date, if any, Title or  
 Jurisdiction Area

Notary Public, State of Michigan  
 My Commission Expires July 13 2000

**ASSIGNMENT**  
**(with Priority Rights)**  
**and**  
**INVENTORSHIP DECLARATION**

I do hereby claim and declare that I am/we are the sole, true and first inventor(s) of an invention relating to and entitled:

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**Substituted Phenols and Thiphenols Useful as Antioxidant Agents**

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Priority No.,  
Date, Country

in the United States of America

Reference No.

of the application on which the priority will be based (Case No. M01856A); and in and to any Letters Patent that may be issued for said invention in any and all countries; and I/we do hereby agree to assist said Assignee in the prosecution of the said applications and in any interference, conflict, opposition or litigation which may arise involving said invention or the patent or patents thereon, and to execute any reissue, divisional, continuing or substitute application and assent to their making any applications in other countries corresponding to the above and below specifically identified application(s) as may be requested by said assignee; and I/we do hereby authorize said Assignee to insert here the Number 08/974,112 and Filing Date November 19, 1997

Corresponding  
Application No.  
Date, Country

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of the corresponding application in any country where that patent property must be entered for recordal of this Assignment; and I/we do hereby authorize and request that any such Letters Patent be issued to said Assignee in accordance herewith; and consent to the recordal of this Assignment being under covenant, not only that I/we have full power to make the same, but also that such assignment rights are not encumbered by any grant, license, or other right heretofore given.

☒ Additional Names and Signatures attached.  
Subscribed and Sworn by me/us:

1. Full Name: Roger A. Parker

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Cincinnati, Ohio  
(City and State/City and Country only)

P. O. Address: 480 Meadowcrest Road  
Cincinnati, Ohio 45231

2. Full Name: Paul S. Wright

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Cincinnati, Ohio  
(City and State/City and Country only)

P. O. Address: 9332 Hunters Creek Drive  
Cincinnati, Ohio 45242

5. Full Name: Mark T. Yates

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Ann Arbor, Michigan  
(City and State/City and Country only)

P. O. Address: 3805 Greenbrier Blvd., Bldg. 9, Apt. #280A  
Ann Arbor, Michigan 48105

3. Full Name: Steven J. Busch

Signature: St J Busch

Date: 7/28/98

Country of Citizenship: United States of America

Residence: Stewartsville, New Jersey  
(City and State/City and Country only)

P. O. Address: 20 Leah Lane  
Stewartsville, New Jersey 08886

4. Full Name: Kim S. Chen

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Country of Citizenship: Malaysia

Residence: San Diego, California  
(City and State/City and Country only)

P. O. Address: 111 West Pennsylvania Avenue, Apt. 2M  
San Diego, California 92103

6. Full Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Country of Citizenship: \_\_\_\_\_

Residence: \_\_\_\_\_  
(City and State/City and Country only)

P. O. Address: \_\_\_\_\_

Attach Notarial Certificate (Individual)



# NOTARIAL CERTIFICATE (Individual)

UNITED STATES OF AMERICA    )  
 STATE OF *New Jersey*    ) ss.  
 COUNTY/Parish of *Somerset*    )

On this *28<sup>th</sup>* day of *July*, 1998, personally appeared before me,

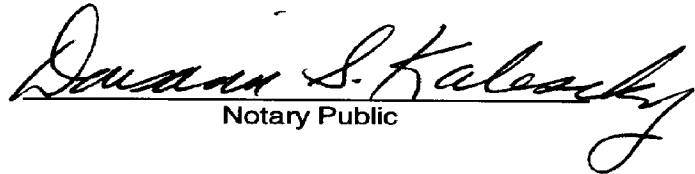
Steven J. Busch,

known or identified to me to be the individual(s) described in and who executed the foregoing document

## Assignment (with Priority Rights) and Inventorship Declaration

and acknowledged the same as a free act and deed for uses and purposes therein expressed.

SEAL

  
 Notary Public

Notary Public, State of  
 My Commission Expires

Notary Signature  
 Print or Type Name  
 of Notary, Expiration  
 Date, if any, Title or  
 Jurisdiction Area

**DORISANN S. KALESCKY**  
**NOTARY PUBLIC OF NEW JERSEY**  
**My Commission Expires May 3, 2000**

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**(with Priority Rights)**  
**and**  
**INVENTORSHIP DECLARATION**

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Reference No.

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1. Full Name: Roger A. Parker

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

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Residence: Cincinnati, Ohio  
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2. Full Name: Paul S. Wright

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Cincinnati, Ohio  
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P. O. Address: 9332 Hunters Creek Drive  
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Date: \_\_\_\_\_

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Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Stewartsville, New Jersey  
(City and State/City and Country only)

P. O. Address: 20 Leah Lane  
Stewartsville, New Jersey 08886

4. Full Name: Kim S. Chen

Signature: Kim S. Chen

Date: 31 July 1998

Country of Citizenship: Malaysia

Residence: San Diego, California  
(City and State/City and Country only)

P. O. Address: 111 West Pennsylvania Avenue, Apt. 2M  
San Diego, California 92103

6. Full Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Country of Citizenship: \_\_\_\_\_

Residence: \_\_\_\_\_  
(City and State/City and Country only)

P. O. Address: \_\_\_\_\_

Attach Notarial Certificate (Individual)

# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of

San Diego

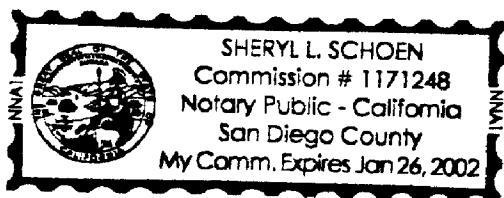
} ss.

On July 31, 1998, before me, Sheryl Schoen "Notary Public",  
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Kim B. Chen,  
Name(s) of Signer(s)

☐ personally known to me

☒ proved to me on the basis of satisfactory evidence



to be the person~~(s)~~ whose name~~(s)~~ is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity~~(ies)~~, and that by his/~~her/their~~ signature~~(s)~~ on the instrument the person~~(s)~~, or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Sheryl L. Schoen  
Signature of Notary Public

Place Notary Seal Above

## OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

### Description of Attached Document.

Title or Type of Document: Assignment MD1856A

Document Date: 11/19/97 Number of Pages: \_\_\_\_\_

Signer(s) Other Than Named Above: \_\_\_\_\_

### Capacity(ies) Claimed by Signer

Signer's Name: Kim B. Chen

☒ Individual

☐ Corporate Officer — Title(s): \_\_\_\_\_

☐ Partner — ☐ Limited ☐ General

☐ Attorney in Fact

☐ Trustee

☐ Guardian or Conservator

☐ Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_

RIGHT THUMBPRINT  
OF SIGNER  
Top of thumb here