

10-15-1998

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SHEET

FORM PTO-1595  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Nicholas Richard James Opperman

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: August 21, 1998

2. Name and address of receiving party(ies)

Name: Pharmasol Limited

Internal Address:

Street Address: Walworth Industrial Estate

Andover, Hampshire SP10 5AZ, United Kingdom

Additional name(s) & addresses(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No.(s)  
09/011,586

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence  
Concerning document should be mailed:

Name: Edward R. Gates  
Address: WOLF, GREENFIELD & SACKS, P.C.  
Federal Reserve Plaza  
600 Atlantic Avenue  
Boston, MA 02210

6. Total number of applications and patents involved: **[1]**

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

The Commissioner is authorized to charge:

8. Deposit Account No: 23/2825

DO NOT USE THIS SPACE

9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

William G. Gosz

*William G. Gosz*

10/1/98

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: **[3]**

10/14/1998 TTDW11

00000151 09011586

Mail documents to be recorded with required cover sheet information to:

Box Assignment, Assistant Commissioner for Patents,

Washington, D.C. 20231

01 FC:581

40.00 DP

208654.1

PATENT  
REEL: 9501 FRAME: 0482

**ASSIGNMENT**

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, I the undersigned Nicholas Richard James Opperman hereby

Sell, assign and transfer to Pharmasol Limited, a United Kingdom corporation having a place of business at Walworth Industrial Estate, Andover, Hampshire SP10 5AZ, United Kingdom, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent filed in the United States Patent and Trademark Office on International Filing Date: August 16, 1996; US Filing Date: February 13, 1998 under Serial No. 09/011,586, which is based on International Application No. PCT/GB96/02011, filed on August 16, 1996 and which claims a priority date of August 16, 1995 and entitled SPRAY APPLICATOR, and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

I agree that said Assignee may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, I agree to carry out in good faith the intent and purpose of this assignment, by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts known to me relating to said inventions and the history thereof, and generally by doing everything possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee.

I hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said Assignee.

I covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made

to others by me and that full right to convey the same as herein expressed is possessed by me.

21<sup>ST</sup> AUGUST 1998

Date



Nicholas Richard James Opperman

In **TESTIMONY WHEREOF**, Assignor has signed his name on the date indicated.

Date: \_\_\_\_\_


M S Mayhew 21-8-98  
Signature of Witness

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Nicholas R. J. Opperman  
Serial No: 09/011,586  
Filed: (International Filing Date) 8/16/96  
For: SPRAY APPLICATOR  
Examiner: unknown  
Art Unit: 5621

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on the 1st day of October, 1998.

  
Jill R. Clarke

Assistant Commissioner for Patents  
Box Assignment  
Washington, D.C. 20231

TRANSMITTAL

Sir:

Transmitted herewith are the following documents:

- [x] A return mail room postcard;
- [x] A check in the amount of \$40.00 for the recordation fee;
- [x] Recordation Form Cover Sheet (Form PTO-1595); and
- [x] An executed Assignment to Pharmasol Limited.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

One check in the amount of \$40.00 is enclosed to cover the recordation fee. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully Submitted,

By: William G. Gosz

William G. Gosz  
Reg. No. 27,787  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
Telephone: (617) 720-3500

**Docket No.:** V0005/7082  
**Date:** October 1, 1998