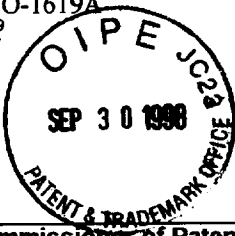


09/11/98

MLD 9-30-98

FORM PTO-1619A
Expires 06/30/99
OMB 0651-0027



10-15-1998

U.S. Department of Commerce
Patent and Trademark Office
PATENT



Atty Docket No. RRMO-052

100850877

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New
- ☐ Resubmission (Non-Recordation)
Document ID#
- ☐ Correction of PTO Error
Reel # Frame #
- ☐ Corrective Document
Reel # Frame #

Conveyance Type

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other
- U.S. Government**
(For Use ONLY by U.S. Government Agencies)
- ☐ Departmental File ☐ Secret File

Conveying Party(ies)

- ☒ Mark if additional names of conveying parties attached
- Name (line 1) Carl Julius Carlson Execution Date 09/08/98
Month Day Year

Second Party

- Name (line 1) Joseph James Miceli, Jr. Execution Date 09/09/98
Month Day Year
- Name (line 2)

Receiving Party

- ☐ Mark if additional names of receiving parties attached
- ☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

- Name (line 1) Read-Rite Corporation
- Name (line 2)
- Address (line 1) 345 Los Coches Street
- Address (line 2)
- Address (line 3) Milpitas CA 95035
City State/Country Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

- Name
- Address (line 1)
- Address (line 2)
- Address (line 3)
- Address (line 4)

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Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT
REEL: 9501 FRAME: 0937

Correspondent Name and Address

Area Code and Telephone Number

(408) 323-5111

Name **Samuel A. Kassatly**

Address (line 1) **6819 Trinidad Drive**

Address (line 2) **San Jose, California 95120**

Address (line 3)

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

7

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/111,098

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ **40.00**

Method of Payment:
Deposit Account

Enclosed ☒

Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

50-0219

Authorization to charge additional fees:

Yes ☒

No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Samuel A. Kassatly

Name of Person Signing

Signature

Date

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CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☒ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Chuan He

Execution Date
Month Day Year
08/25/98

Name (line 2)

Name (line 1) Hong Chen

Execution Date
Month Day Year
08/25/98

Name (line 2)

Name (line 1) Charles Chen-Kang Cheng

Execution Date
Month Day Year
09/09/98

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3) City State/Country Zip Code

Name (line 1)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3) City State/Country Zip Code

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) **Ross Wayne Stovall**

Execution Date
Month Day Year

08/26/98

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

ASSIGNMENT

WHEREAS, I, as a below named inventor, residing at the address stated next to my name, am a joint inventor of certain new and useful improvements in "NEAR FIELD MAGNETO-OPTICAL HEAD MADE USING WAFER PROCESSING TECHNIQUES" for which application for Letters Patent of the United States of America was executed by me on the date indicated next to my name and address;

AND WHEREAS, Read-Rite Corporation with offices at 345 Los Coches Street, Milipitas, California 95035 (hereinafter "Assignee") is desirous of acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar legal protection which may be granted therefor in the United States and in any and all foreign countries, said interest to be owned in its entirety by the Assignee;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I, as a joint inventor, by these presents do hereby assign, sell and transfer unto the said Assignee, its successors, assigns, and legal representatives, the entire right, title and interest in the said invention, said application, including but not limited to any division, continuation, and continuation-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any and all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Letters Patent to said Assignee, as the Assignee of the whole right, title, and interest thereto;

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of Assignee or its designees, as Assignee or its successors, assigns and legal representatives may from time-to-time present to me and without further remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of the United States and countries foreign thereto;

And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining reissue or reissues of my Letters Patent which may be granted for my aforesaid invention, as the Assignee thereof shall hereafter require and prepare at its own expense;

And I further agree that Assignee will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will testify as to the same in any interference or litigation related thereto;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

And I hereby authorize and request my attorneys of record in this application to insert the serial number and filing date of this application in the spaces that follow: Serial Number: 09/111,098, Filing Date: July 6, 1998.

This assignment is executed on the date indicated below:

Carl Julius Carlson

Name of First Inventor

9/8 1998
Execution Date of U.S. Patent Application

1075 Hopkins Way, Pleasanton, CA 94566

Residence of First Inventor

Carl J. Carlson
Signature of First Inventor

9/8 1998
Date of this Assignment

State of California) SS:

County of Santa Clara)

On this 8 day of September in the year of 1998, before me, the undersigned notary public, Carl J. Carlson appeared personally and acknowledged the above-named assignors, known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged execution of this instrument.



Mario Ribeiro
(Notary Public)

And I hereby authorize and request my attorneys of record in this application to insert the serial number and filing date of this application in the spaces that follow: Serial Number: 09/111,098, Filing Date: July 6, 1998.

This assignment is executed on the date indicated below:

Joseph James Miceli, Jr.

Name of Second Inventor

Sept. 9, 1998

Execution Date of U.S. Patent Application

19718 Solana Drive, Saratoga, CA 95070

of Second Inventor

Residence


Signature of Second Inventor

Sept 9, 1998

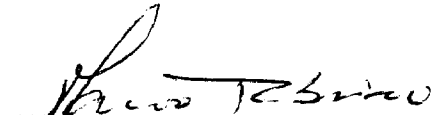
Date of this Assignment

State of California) SS:

County of Santa Clara)

On this 8 day of September in the year of 1998, before me, the undersigned notary public, Mario Ribeiro, J. Miceli Jr. appeared personally and acknowledged the above-named assignors, known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged execution of this instrument.




(Notary Public)

And I hereby authorize and request my attorneys of record in this application to insert the serial number and filing date of this application in the spaces that follow: Serial Number: 09/111,098, Filing Date: July 6, 1998.

This assignment is executed on the date indicated below:

Hong Chen

Name of Third Inventor

Aug 25, 1998

Execution Date of U.S. Patent Application

7375 Rollingdell Road #45, Cupertino, CA 95014

Residence of Third Inventor

Aug 25, 1998

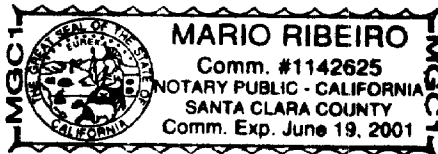
Signature of Third Inventor

Date of this Assignment

State of California) SS:

County of SANTA CLARA)

On this 25 day of August, in the year of 1998, before me, the undersigned notary public, Hong Chen appeared personally and acknowledged the above-named assignors, known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged execution of this instrument.



Mario Ribeiro
(Notary Public)

And I hereby authorize and request my attorneys of record in this application to insert the serial number and filing date of this application in the spaces that follow: Serial Number: 09/111,098, Filing Date: July 6, 1998.

This assignment is executed on the date indicated below:

Chuan He

Name of Fourth Inventor

August 25, 1998

Execution Date of U.S. Patent Application

39800 Fremont Blvd., #165, Fremont, CA 94538

Residence of Fourth Inventor

[Signature]

Signature of Fourth Inventor

August 25, 1998

Date of this Assignment

State of California) SS:

County of SANTA CLARA)

On this 25 day of AUGUST in the year of 1998, before me, the undersigned notary public, Chuan He He appeared personally and acknowledged the above-named assignors, known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged execution of this instrument.



[Signature]
(Notary Public)

And I hereby authorize and request my attorneys of record in this application to insert the serial number and filing date of this application in the spaces that follow: Serial Number: 09/111,098, Filing Date: July 6, 1998.

This assignment is executed on the date indicated below:

Charles Chen-Kang Cheng
Name of Fifth Inventor

09 Sept. 1998
Execution Date of U.S. Patent Application

10392 Scenic Circle, Cupertino, CA 95014
Residence of Fifth Inventor

[Signature]
Signature of Fifth Inventor

09 Sept. 1998
Date of this Assignment

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of SANTA CLARA

} ss.

On 09/09/98, before me, JOHN INOUE, NOTARY PUBLIC,
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")
personally appeared CHARLES KANG CHENG,
Name(s) of Signer(s)

☐ personally known to me
☒ proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



Place Notary Seal Above

WITNESS my hand and official seal.

[Signature]
Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

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Title or Type of Document: ASSIGNMENT

Document Date: 7/6/98 Number of Pages: 7

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer

Signer's Name: _____
☒ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

And I hereby authorize and request my attorneys of record in this application to insert the serial number and filing date of this application in the spaces that follow: Serial Number: 09/111,098, Filing Date: July 6, 1998.

This assignment is executed on the date indicated below:

Ross Wayne Stovall

Name of Sixth Inventor

26 August, 1998

Execution Date of U.S. Patent Application

44848 Trout Ct., Fremont, CA 94539

Residence of Sixth Inventor

[Signature]

Signature of Sixth Inventor

26 August, 1998

Date of this Assignment

State of California) SS:

County of Santa Clara)

On this 26 day of August in the year of 1998, before me, the undersigned notary public, Ross Wayne Stovall appeared personally and acknowledged the above-named assignors, known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged execution of this instrument.



[Signature]
(Notary Public)