

MRD 10-8-98

10-16-1998



Docket No. 016197-001800 US

FORM PTO-1595  
(Rev. 6-93)

RECORD

100851745  
PATENTS ONLYDEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Asst. Commissioner for Patents. Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Richard C.K. Yen

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: Hemosphere, Inc.

Street Address:

1251 North Lakeview Avenue, Suite C

City: Anaheim State: CA ZIP: 92807

## 3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other:

Execution Date: October 2, 1998

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 09/090,557

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Randolph T. Apple  
TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, California 94111-3834  
(415) 576-02006. Total number of applications and patents involved: 

## 7. Total fee (37 CFR 3.41):..... \$ 40.00



Enclosed



Charge Fees to Deposit Account



Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account

## 8. Deposit account number: 20-1430

10/15/1998 SBURNS 00000115 201430 09090557  
01 FC:581 40.00 CH

DO NOT USE THIS SPACE

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Randolph T. Apple

Name of Person Signing

Signature

10/15/98  
Date

Atty. Reg. No. 36,429

Total number of pages including cover sheet, attachments, and document: 10. Change Correspondence Address to that of Part 5? ☐ Yes ☒ No

OMB No. 0651-0011 (exp. 4/94)

PATENT  
REEL: 9504 FRAME: 0775

**ASSIGNMENT OF PATENT APPLICATION**

WHEREAS, Richard C.K. Yen, of 27830 Aleutia Way, Yorba Linda, CA 92887, hereinafter referred to as "Assignor," is the inventor of the invention described and set forth in the below identified application for United States Letters Patent:

Title of the Invention: **FIBRINOGEN-COATED MICROSPHERES**

Date(s) of execution of Declaration: \_\_\_\_\_

Filing date: 06/04/98 Application No.: 09/090,557; and

WHEREAS, Hemosphere, Inc. a Delaware corporation, located at 1251 North Lakeview Avenue, Suite C, Anaheim, CA 92807, hereinafter referred to as "Assignee," is desirous of acquiring an interest in the invention and application and in any Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs) and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor further agrees that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Date: October 2, 1998

Richard C.K. Yen

Richard C.K. Yen

STATE OF

California

COUNTY OF

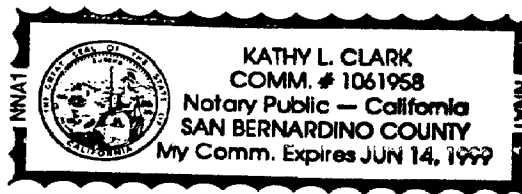
San Bernardino

On October 2, 1998, before me, Kathy L. Clark (here insert name and title of the officer), personally appeared Richard C.K. Yen personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is ~~are~~ subscribed to the within instrument and acknowledged to me that he ~~she~~ ~~they~~ executed the same in his ~~her~~ ~~their~~ authorized capacity(ies), and that by his ~~her~~ ~~their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, execute the instrument.

WITNESS my hand and official seal.

Signature

Kathy L. Clark (Seal)



I:\RTA\WORK\HEMOSPHE\001800\ASSIGNME.