

10-19-1998



10-06-98

100852469

Docket: 0819-178

To the Honorable Assistant Commissioner for Patent. Please record the attached original documents or copy thereof.

1. Name of conveying parties: Yasuhiro KOBAYASHI

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party:

Name: Matsushita Electric Industrial Co., Ltd.

09,166903

Street Address: 1006, Oaza Kadoma, Kadoma-shi, Osaka 571-8501, Japan

City \_\_\_\_\_ State \_\_\_\_\_ ZIP \_\_\_\_\_

Additional name(s) & addresses(es) attached? ☐ Yes ☒ No

3. Name of Conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other \_\_\_\_\_

Execution Date: September 7, 1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: September 7, 1998

Title: SURFACE EMITTING SEMICONDUCTOR LASER AND METHOD OF FABRICATING THE SAME

A: Patent Application No(s)

B. Patent No(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: SIXBEY, FRIEDMAN, LEEDOM & FERGUSON, P.C.

Street Address: 2010 Corporate Ridge, Suite 600

City: McLean State: Virginia ZIP 22102

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): .....\$40.00

☒ Enclosed

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8. Deposit Account Number: \_\_\_\_\_

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gerald J. Ferguson, Jr.

Name of Person Signing

Signature

Date

10/5/98

Total number of pages including cover sheet, attachments, and document: 3

PATENT  
REEL: 9508 FRAME: 0706

ASSIGNMENT

WHEREAS, Yasuhiro KOBAYASHI

(hereinafter designated as the undersigned) has (have) invented certain new and useful improvements in **SURFACE EMITTING SEMICONDUCTOR LASER AND METHOD OF FABRICATING THE SAME**

for which an application for Letters Patent of the United States of America has been executed by the undersigned on \_\_\_\_\_, and;

WHEREAS, Matsushita Electric Industrial Co., Ltd. of 1006, Oaza Kadoma, Kadoma-shi, Osaka 571-8501, Japan

its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent(s) that may be granted therefor in the United States of America;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to the undersigned in hand paid, the receipt of which is hereby acknowledged, and other good and valuable consideration, the undersigned has (have) sold, assigned and transferred, and by these presents do sell, assign and transfer unto said Assignee the full and exclusive right to the said invention in the United States of America and its territories and for all foreign countries, dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s) which may be granted therefor in the United States of America and its territories, dependencies and possessions, and in and to any and all divisions, reissues, continuations and extensions thereof for the full term or terms for which the same may be granted.

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or any continuation, division or reissue thereof or Letters Patent(s) or reissue patent issued thereon and to cooperate with the Assignee in every way possible in obtaining and producing evidence and proceeding with such interference.

The undersigned agree(s) to execute all papers and documents and to perform any act which may be necessary in connection with claims under or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

a grant of a valid United States patent(s) to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue any and all Letters Patents of the United States of America resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) the full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the law firm of Sixbey, Friedman, Leedom & Ferguson, P.C. the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

*In witness thereof*, this Assignment has been executed by the undersigned on the date(s) opposite the undersigned name(s).

Date September 7, 1998 Name of Inventor Yasuhiro Kobayashi (SEAL)  
Yasuhiro KOBAYASHI

Date \_\_\_\_\_, Name of Inventor \_\_\_\_\_ (SEAL)

Date \_\_\_\_\_, Name of Inventor \_\_\_\_\_ (SEAL)

Date \_\_\_\_\_, Name of Inventor \_\_\_\_\_ (SEAL)

Date \_\_\_\_\_, Name of Inventor \_\_\_\_\_ (SEAL)

(This assignment should preferably be acknowledged before a United States Consul or Notary Public. If not, then the execution by the Inventor(s) should be witnessed by at least two other persons who should sign here.)

Witness 9/10, 1998  
(name)

Hisahiko Ueki  
(signature) Hisahiko UEKI

Witness 9/9/1998  
(name)

Yukiko Mine  
(signature) Yukiko MINE